

Algèbres d'opérateurs  
et  
Physique combinatoire  
(part 3)

12 Avril 2012  
colloquium de l'IMJ  
Institut mathématiques de Jussieu

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CNRS, LaBRI, Bordeaux

quadratic algebra  
operators  
data structures  
and orthogonal polynomials

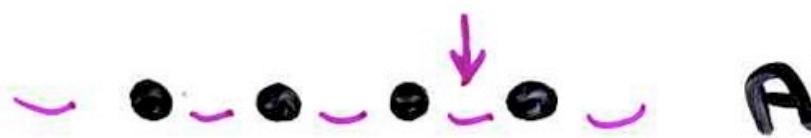
## Operations primitives

A

ajout

S

suppression



I<sub>+</sub>

I<sub>-</sub>

interrogation

positive

negative



Primitive operations

for “dictionnaries” data structure:

add or delete any elements, asking questions (with positive or negative answer)

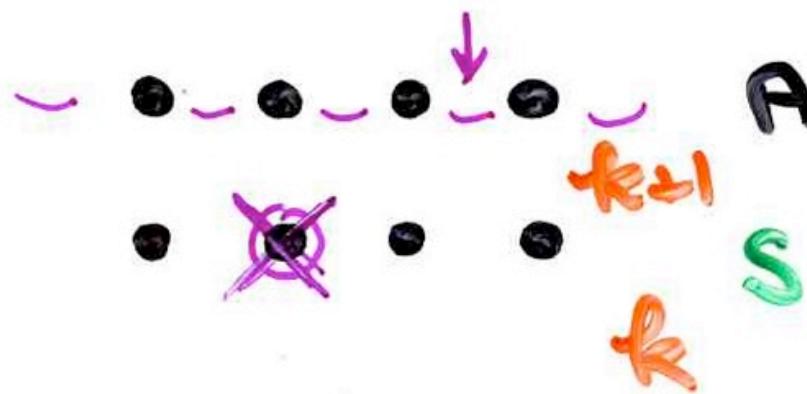
## Opérations primitives

A

ajout

S

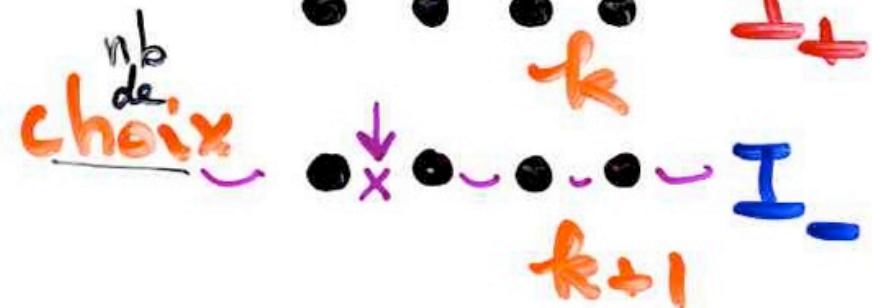
suppression



I<sub>+</sub>

I<sub>-</sub>

positive  
interrogation  
negative



number of choices for each  
primitive operations

(formal)      orthogonal  
                  polynomials

# Orthogonal polynomials

Def.  $\{P_n(x)\}_{n \geq 0}$

orthogonal iff

$P_n(x) \in \mathbb{K}[x]$

$\exists f: \mathbb{K}[x] \rightarrow \mathbb{K}$

linear functional

- |  |                      |
|--|----------------------|
| $\left\{ \begin{array}{l} (i) \quad \deg(P_n(x)) = n \\ (ii) \quad f(P_k P_l) = 0 \quad \text{for } k \neq l \geq 0 \\ (iii) \quad f(P_k^2) \neq 0 \quad \text{for } k \geq 0 \end{array} \right.$ | $(\forall n \geq 0)$ |
|--|----------------------|

$$f(x^n) = \mu_n \quad (n \geq 0)$$

moments

$$f(PQ) = \int_a^b P(x) Q(x) d\mu$$

measure

combinatorial interpretation  
of the moments

## Thm. (Favard)

- $\{P_n(x)\}_{n \geq 0}$  sequence of monic polynomials,  $\deg(P_n) = n$
- $\{b_k\}_{k \geq 0}$ ,  $\{\lambda_k\}_{k \geq 1}$  coeff. in  $\mathbb{K}$

orthogonality  $\iff$

$$P_{k+1}(x) = (x - b_k) P_k(x) - \lambda_k P_{k-1}(x) \quad (\forall k \geq 1)$$

3 terms linear recurrence relation

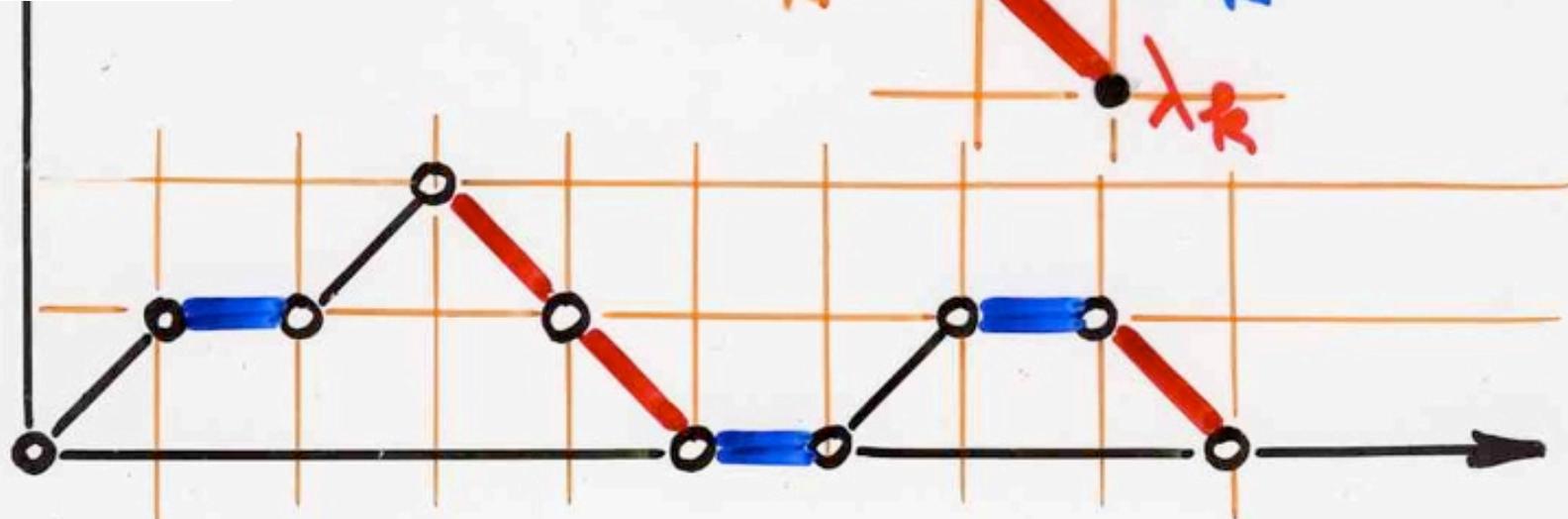
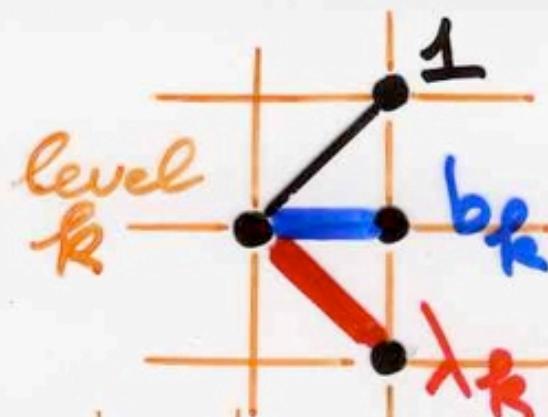


$$\{b_k\}_{k \geq 0}$$

$$\{\lambda_k\}_{k \geq 1}$$

$b_k, \lambda_k \in \mathbb{K}$  ring

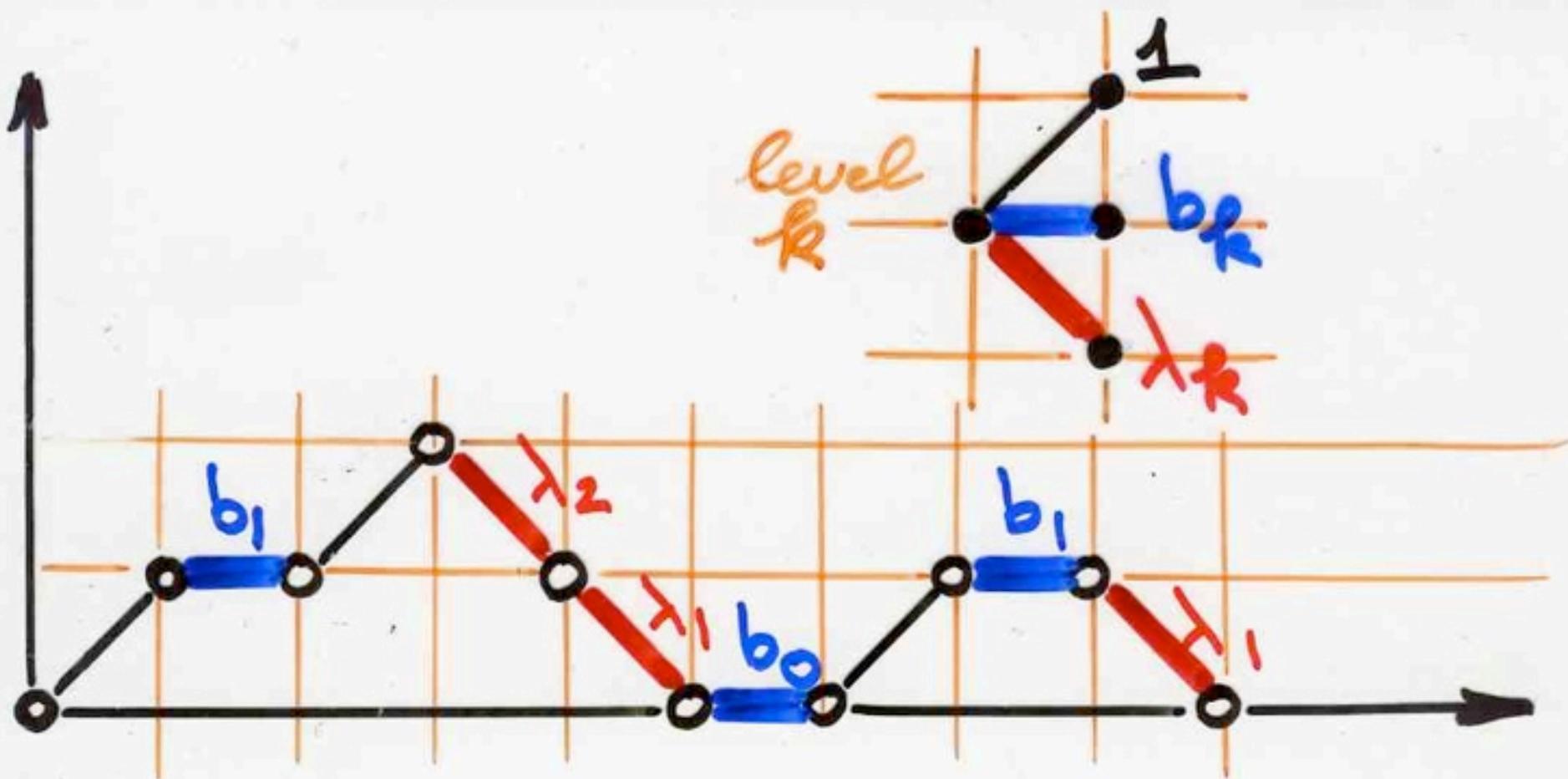
valuation ✓



$\omega$

Motzkin path

# valuation



$\omega$  Motzkin path

$$v(\omega) = b_0 b_1^2 \lambda_1^2 \lambda_2$$

$$f(x^n) = \mu_n \quad (n \geq 0)$$

moments

$$\mu_n = \sum_{\omega} v(\omega)$$

Motzkin path

$$|\omega| = n$$



P. Flajolet

continued  
fractions

1980

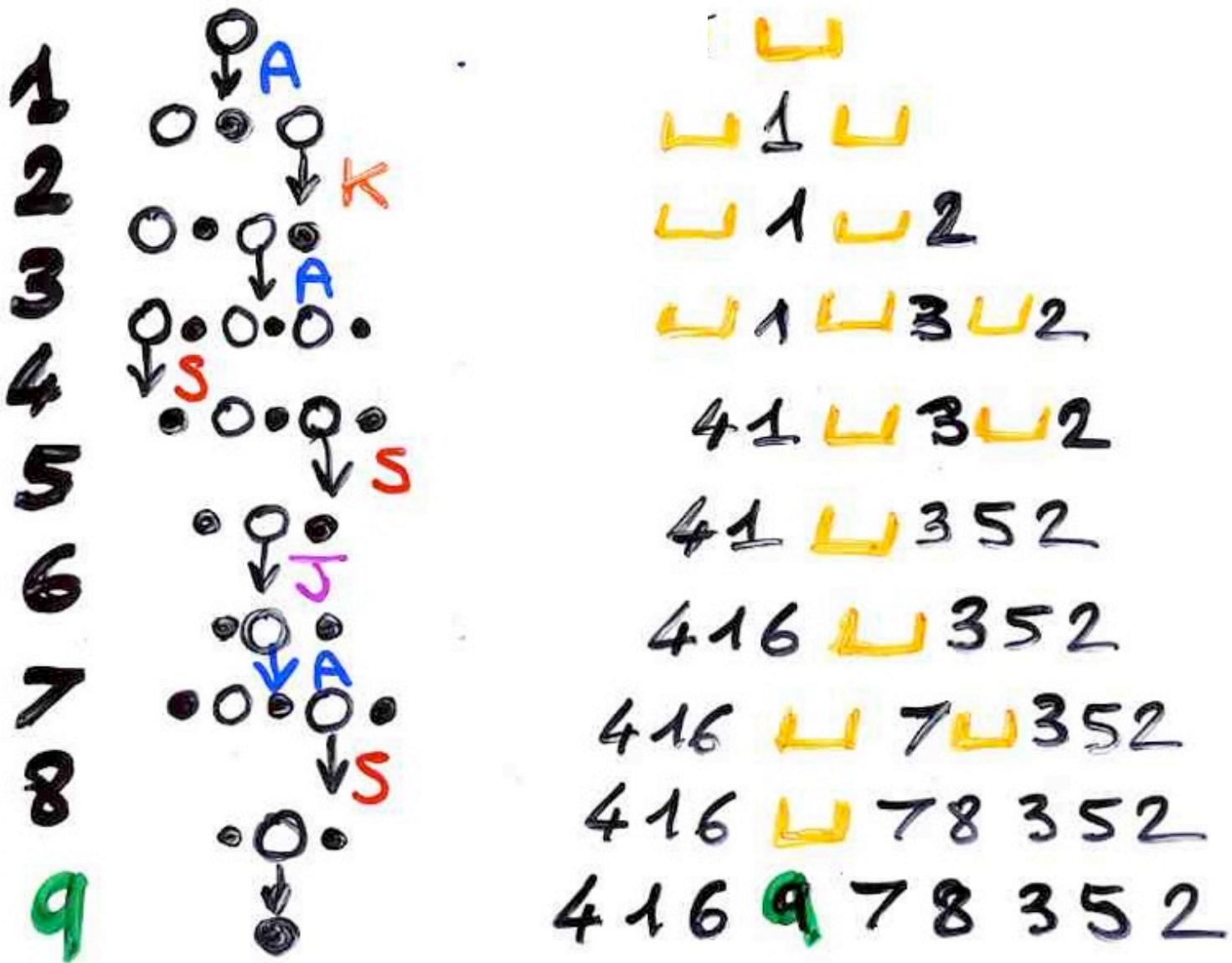
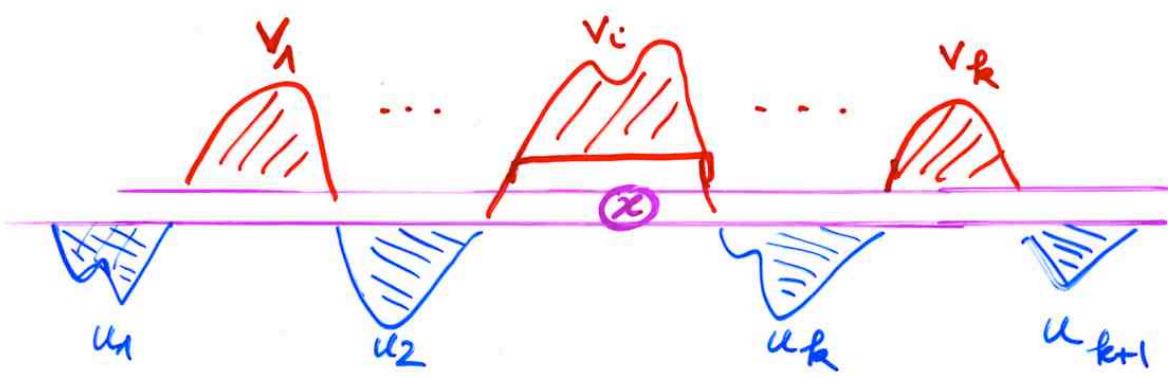
orthogonal  
polynomials

Lecture Note  
X.V. 1983

PASEP algebra

$$DE = qED + E + D$$

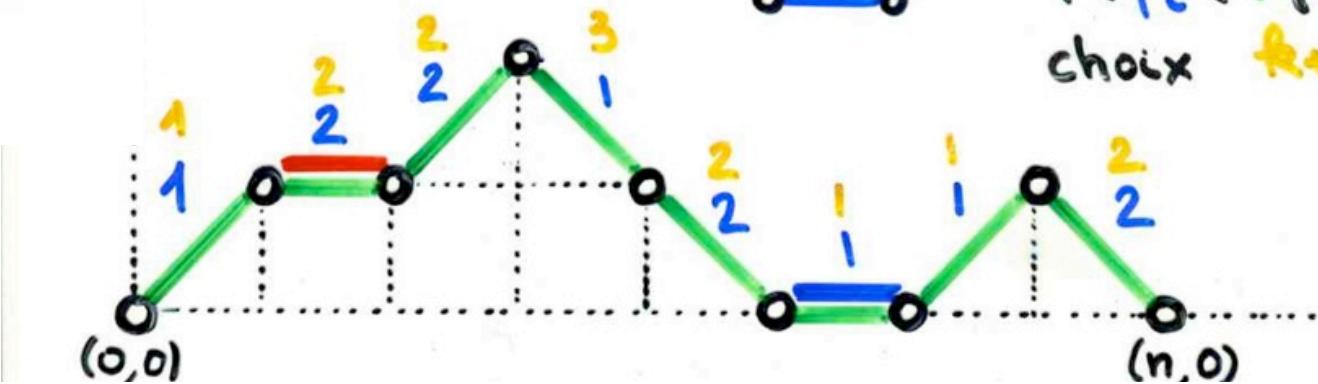
q-Laguerre polynomials



$$f = (\omega_c; (p_1, \dots, p_n))$$



$1 \leq p_i \leq v(\omega_i)$   
choix  $k+1$



$x$	$\omega_c$	pos	v
1		1	1
2		2	2
3		2	2
4		1	3
5		2	2
6		1	1
7		1	1
8		2	2
9	•		

$\sqcup$   
 $\sqcup 1 \sqcup$   
 $\sqcup 1 \sqcup 2$   
 $\sqcup 1 \sqcup 3 \sqcup 2$   
 $41 \sqcup 3 \sqcup 2$   
 $41 \sqcup 3 5 2$   
 $416 \sqcup 3 5 2$   
 $416 \sqcup 7 \sqcup 3 5 2$   
 $416 \sqcup 7 8 3 5 2$   
 $416 9 7 8 3 5 2 = \text{G}$   
 $\in \text{G}_{n+1}$

$$P_{k+1}(x) = (x - b_k) P_k(x) - \lambda_k P_{k-1}(x)$$

$$P_0 = 1 \quad P_1 = x - b_0$$

$$\mu_n = (n+1)!$$

$$\begin{cases} b_k = 2k+2 \\ \lambda_k = -k(k+1) \end{cases}$$

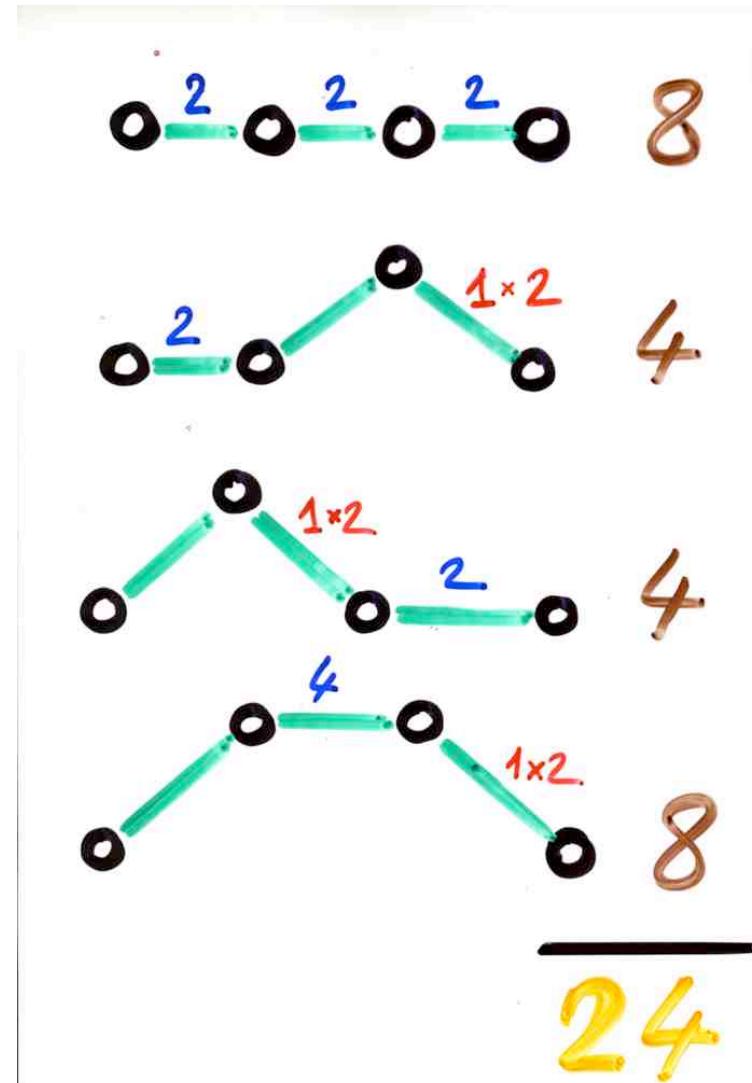
Laguerre  
polynomial

# Laguerre $L_n^{(1)}(x)$

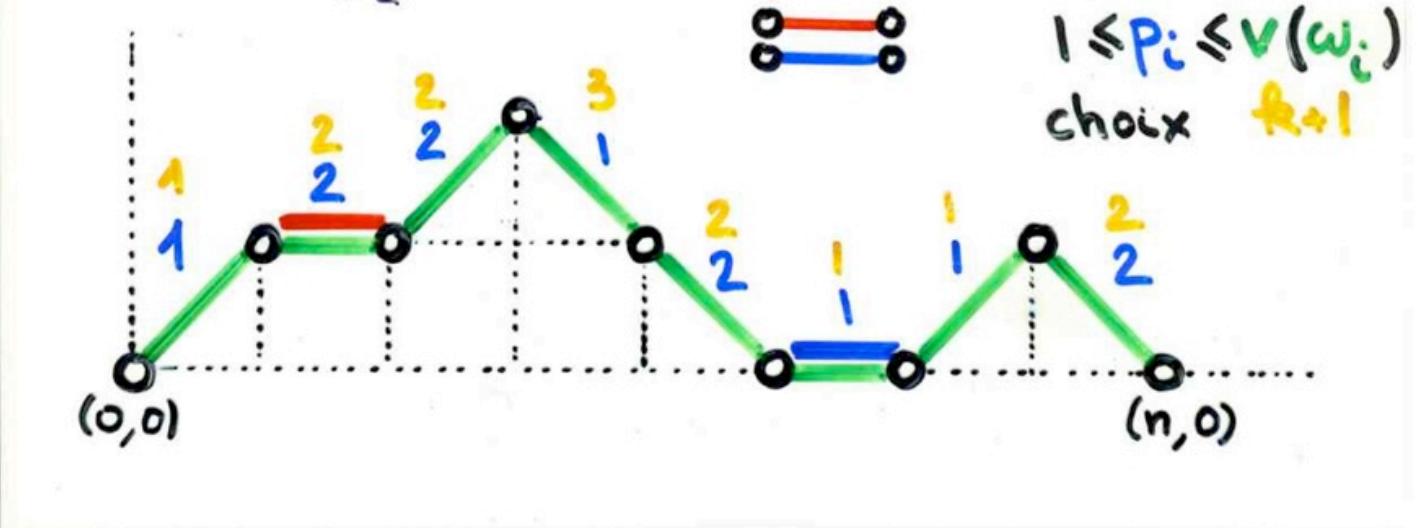
moment  $\mu_n = (n+1)!$

$$b_k = 2k+2$$

$$\lambda_k = k(k+1)$$



“q-analogue”  
of Laguerre  
histories



choices function

1	2	3	4	5	6	7	8
1	2	2	1	2	1	1	2
0	1	1	0	1	0	0	1

q-Laguerre :  $q^4$

█  
 █ 1 █  
 █ 1 █ 2  
 █ 1 █ 3 █ 2  
 4 1 █ 3 █ 2  
 4 1 █ 3 5 2  
 4 1 6 █ 3 5 2  
 4 1 6 █ 7 █ 3 5 2  
 4 1 6 █ 7 8 3 5 2  
 4 1 6 9 7 8 3 5 2 =  $\frac{G}{\epsilon G}$   
 n+1

# $q$ -Laguerre

$$L_n^{(\beta)}(x; q) \quad \left\{ \begin{array}{l} b_{k,q}^{(\beta)} = [k]_q + [k+1; \beta]_q \\ \lambda_{k,q}^{(\beta)} = [k]_q \cdot [k; \beta]_q \\ [k; \beta]_q = \beta + q + q^2 + \cdots + q^{k-1} \end{array} \right.$$

$\beta = \alpha + 1$

$$\mu_n = \frac{1}{(1-q)^n} \sum_{k=0}^n (-1)^k \left( \binom{2n}{n-k} - \binom{2n}{n-k-2} \right) \left( \sum_{i=0}^k i^{(k+1-i)} q^i \right)$$

Corteel, Josuat-Vergès y  
Prellberg, Rubey (2008)

general PASEP


 Orthogonal polynomials  
 Sasamoto (1999)  
 Blythe, Evans, Colaiori, Eosler (2000)

$\alpha, \beta, q$        $\gamma = \delta = 1$   
 q-Hermite polynomial

$$D = \frac{1}{1-q} + \frac{1}{\sqrt{1-q}} \hat{a}$$

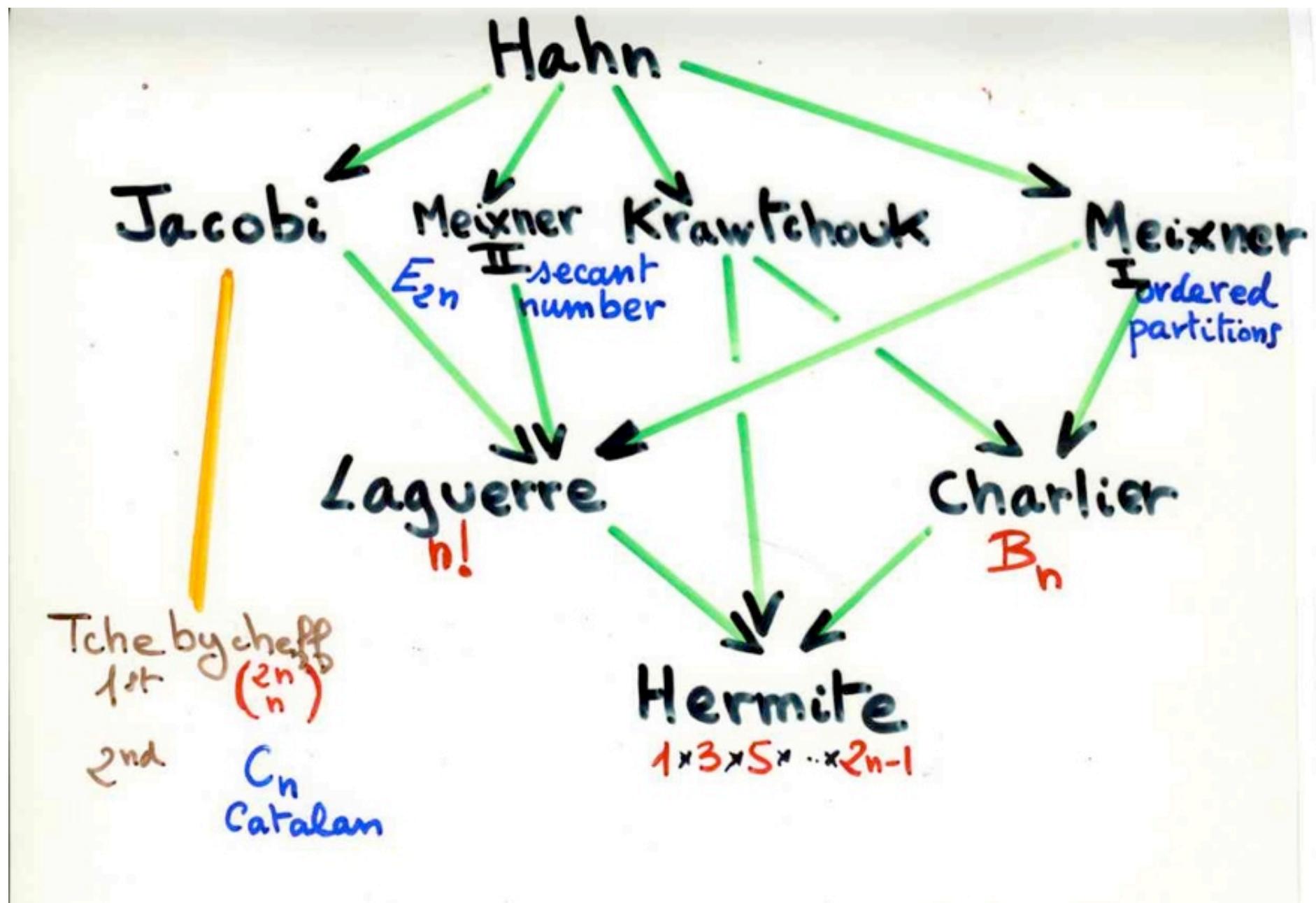
$$E = \frac{1}{1-q} + \frac{1}{\sqrt{1-q}} \hat{a}^+$$

$$\hat{a} \hat{a}^+ - q \hat{a}^+ \hat{a} = 1$$


 Uchiyama, Sasamoto, Wadati (2003)  
 $\alpha, \beta, \gamma, \delta, q$

Askey-Wilson polynomials

# Askey-Wilson



steady state  
probability  
PASEP

$$\frac{1}{Z_n} Z_\tau (\alpha, \beta, \gamma, \delta; q)$$

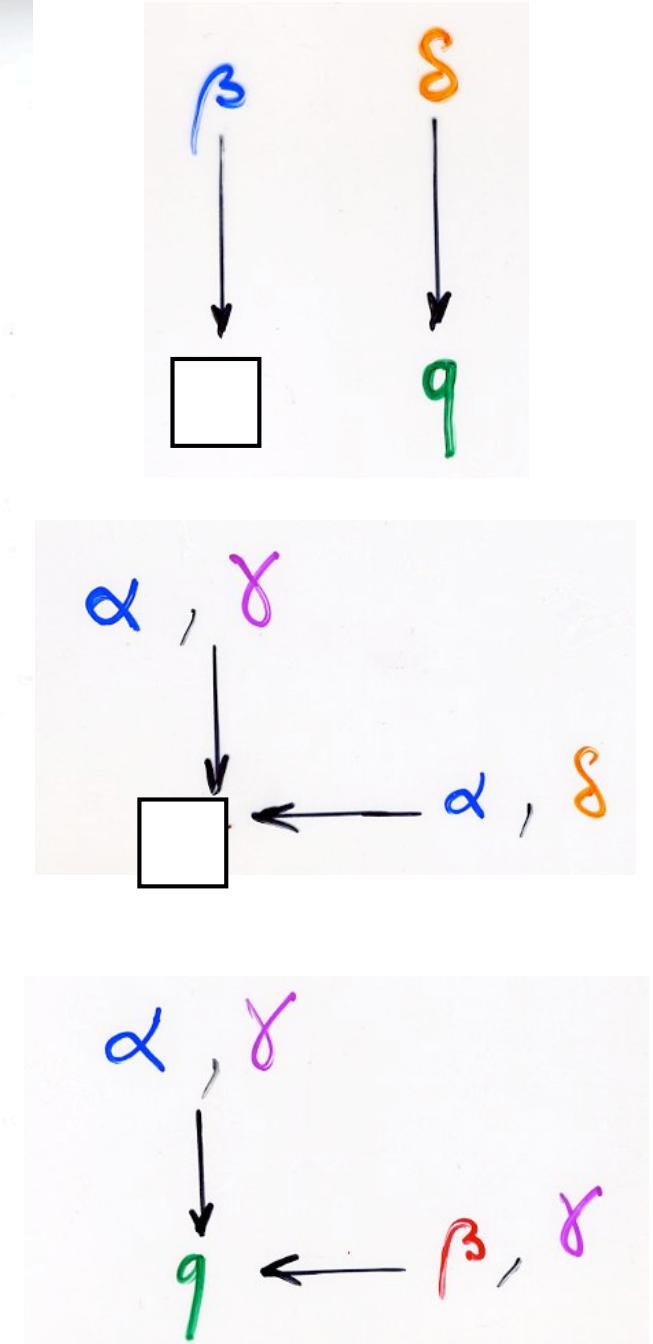
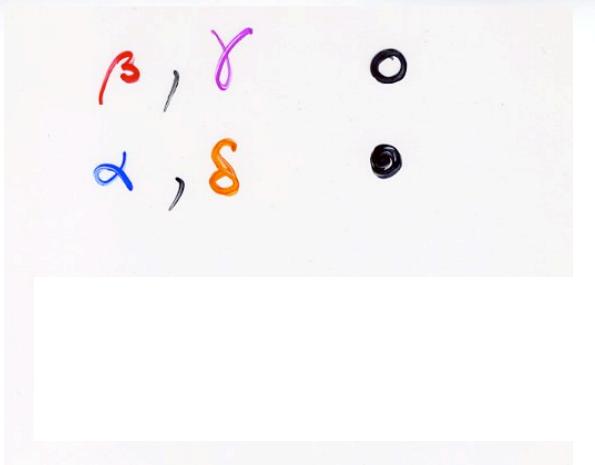
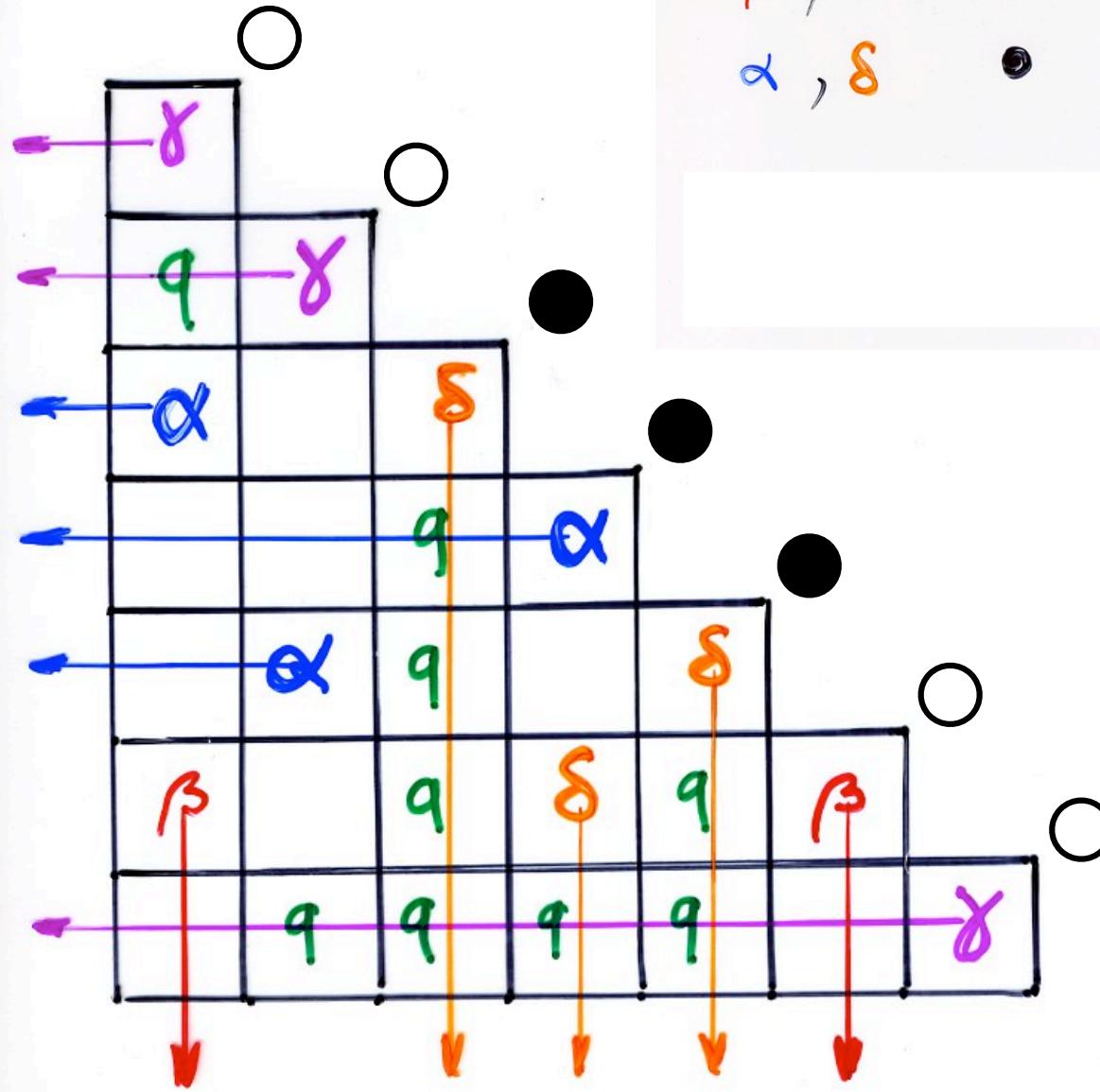
$$Z_n = \sum_{\tau} Z_\tau$$

$\tau = (\tau_1, \dots, \tau_n)$   
state

relation with moments of Askey-Wilson polynomials

Corteel, Williams, 2009

Corteel, Stanley, Stanton, Williams, 2010



# The cellular Ansatz

From quadratic algebra  $Q$   
to combinatorial objects ( $Q$ -tableaux)  
and bijections

# "The cellular Ansatz"

Physics

"normal ordering"

$$UD = DU + \text{Id}$$

Weyl-Heisenberg

$$DE = qED + E + D$$

PASEP

quadratic algebra  $Q$

commutations

rewriting rules

planarisation

combinatorial  
objects  
on a 2d lattice

representation  
by operators

bijections

towers placements

RSK

permutations

tableaux alternatifs



pairs of Tableaux Young

permutations

Laguerre histories

$Q$ -tableaux

ex: ASM,

(alternating sign matrices)

FPL(fully packed loops)

tilings, 8-vertex

planar  
automata

Koszul algebras  
duality

The 8-vertex algebra  
(or XYZ - algebra)  
(or Z - algebra)

# The quadratic algebra $\mathbb{Z}$

4 generators  $B_0 A_0 BA$   
8 parameters  $q_{...}, t_{...}$

$$\left\{ \begin{array}{l} BA = q_{00} AB + t_{00} A_B \\ B_0 A_0 = q_{00} A_0 B_0 + t_{00} AB \\ B_0 A = q_{00} A B_0 + t_{00} A_B \\ BA_0 = q_{00} A_0 B + t_{00} AB_0 \end{array} \right.$$

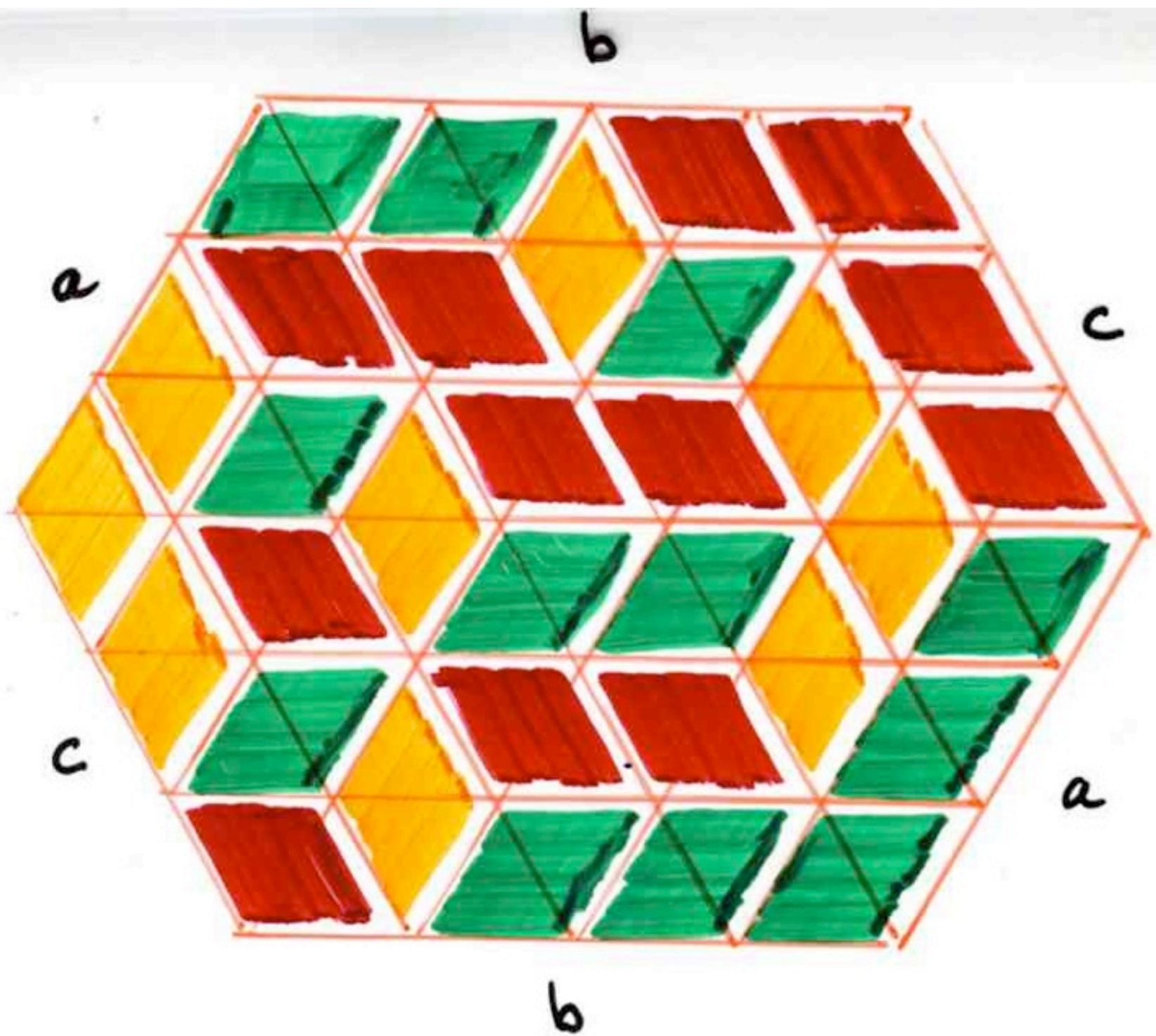
$$\left\{ \begin{array}{l} t_{00} = t_{00} = 0 \\ q_{00} = 0 \end{array} \right. \quad (\text{ASM})$$

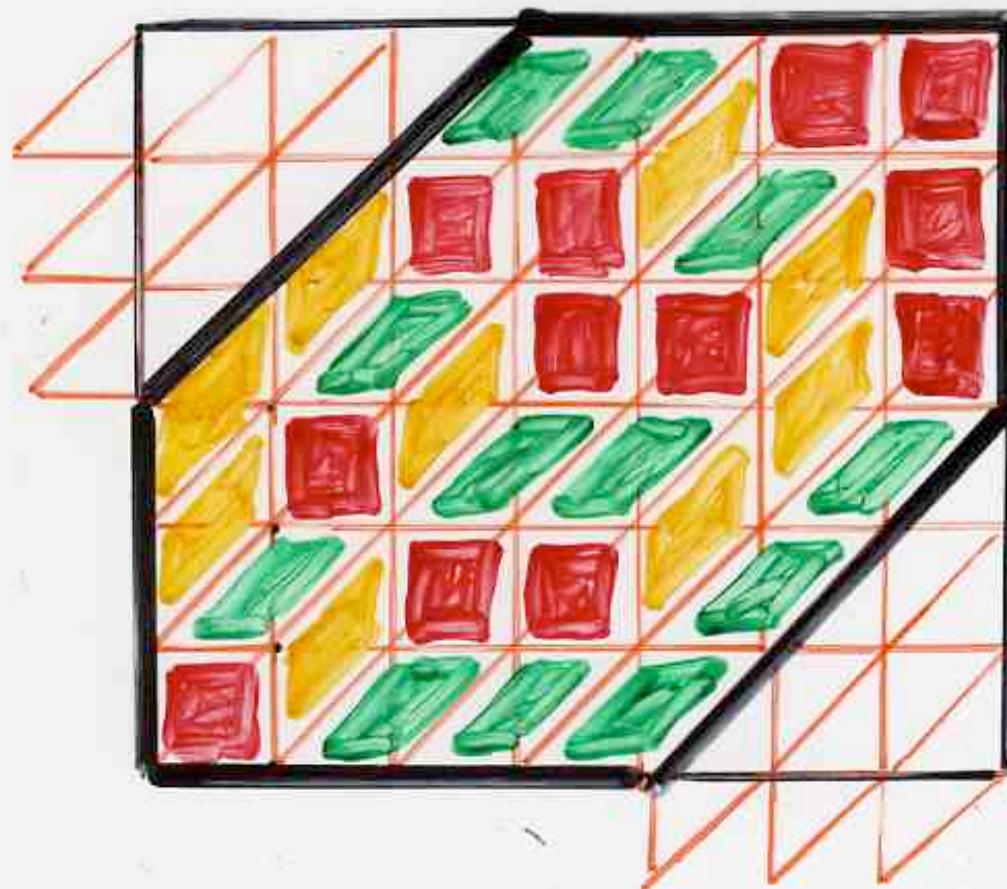
## Rhombus tilings

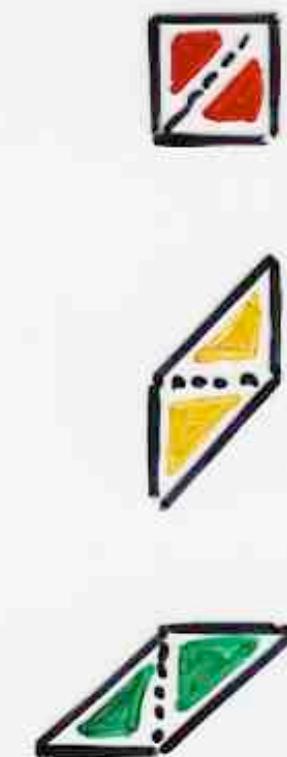
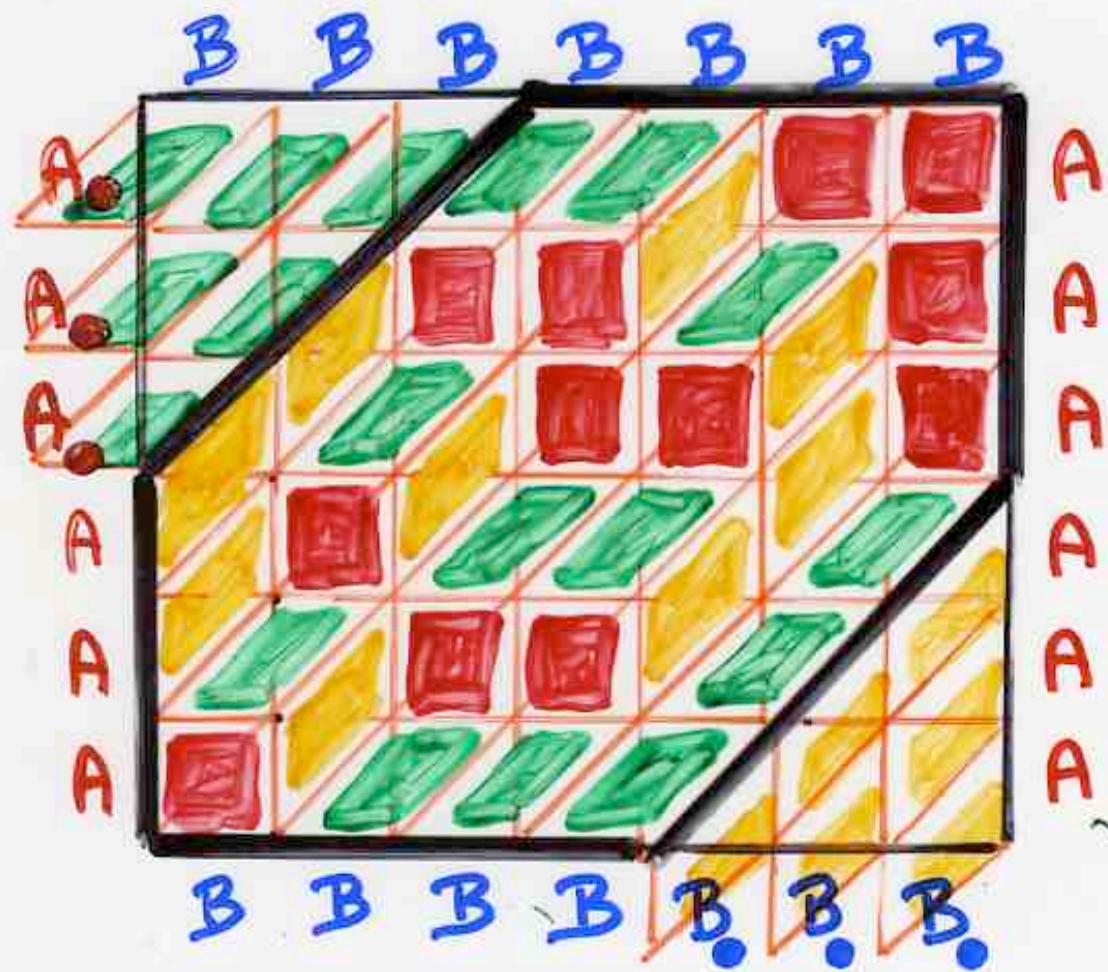
The quadratic algebra  $\mathbb{Z}$

4 generators  $B_0 A_0 B A$   
8 parameters  $q_{...}, t_{...}$

$$\left\{ \begin{array}{l} BA = q_{00} AB + t_{00} A_B \\ B_A = \bigcirc A_B + t_{00} AB \\ B_A = q_{00} AB + \bigcirc A_B \\ BA = q_{00} A_B + \bigcirc AB \end{array} \right.$$







## Aztec tilings

$$t_{00} = t_{00} = 0 \quad (\text{ASM})$$

$$t_{00} = 2 \quad (\text{nb of } -1 \text{ in ASM})$$

The quadratic algebra  $\mathbb{Z}$

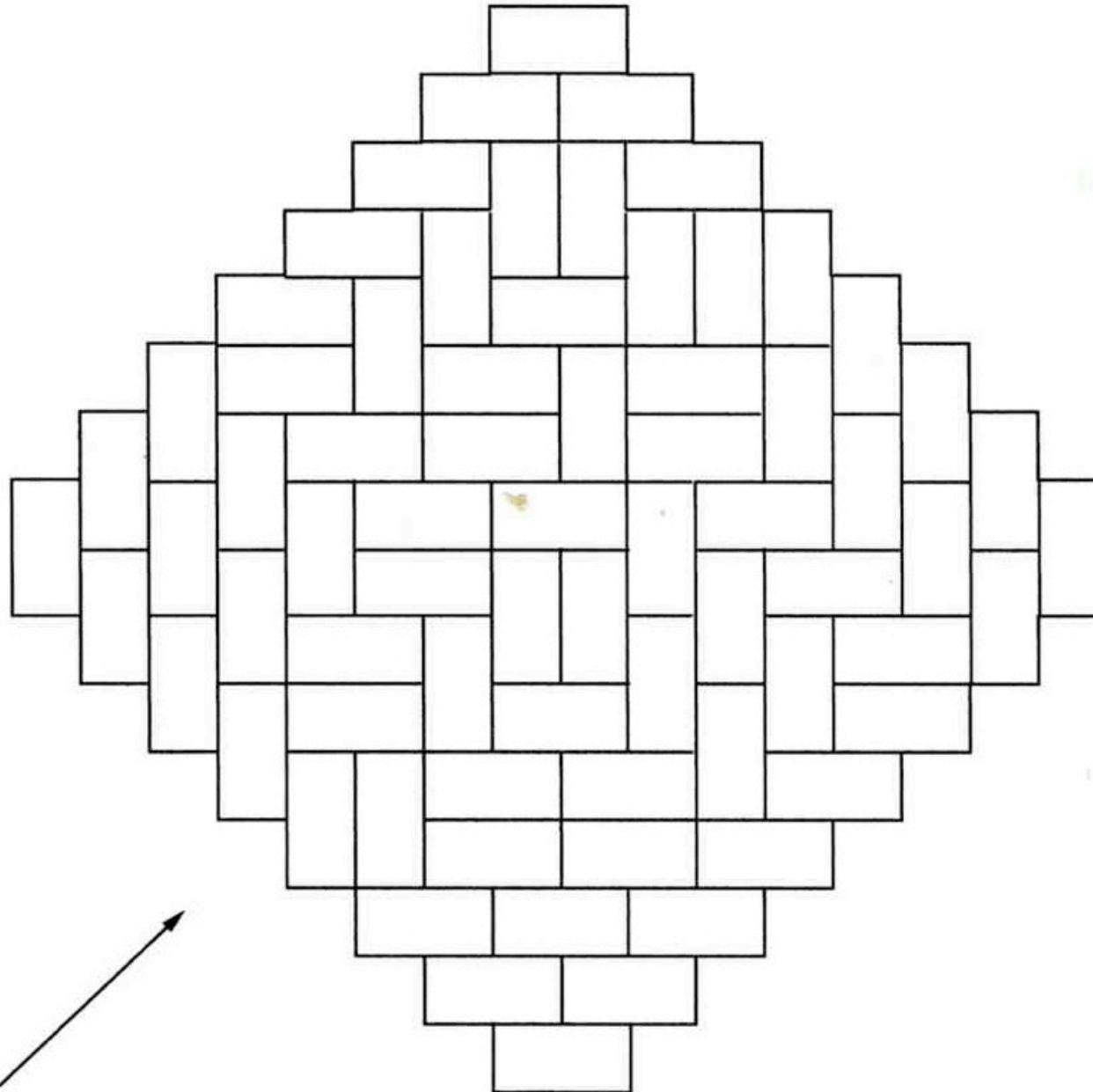
4 generators  $B_0 A_0 B A$   
8 parameters  $q \dots, t \dots$

$$\left\{ \begin{array}{l} BA = q_{00} AB + t_{00} A_0 B_0 \\ B_0 A_0 = q_{00} A_0 B_0 + 2 AB \\ B_0 A = q_{00} AB_0 + \bigcirc A_0 B \\ BA_0 = q_{00} A_0 B + \bigcirc A B_0 \end{array} \right.$$

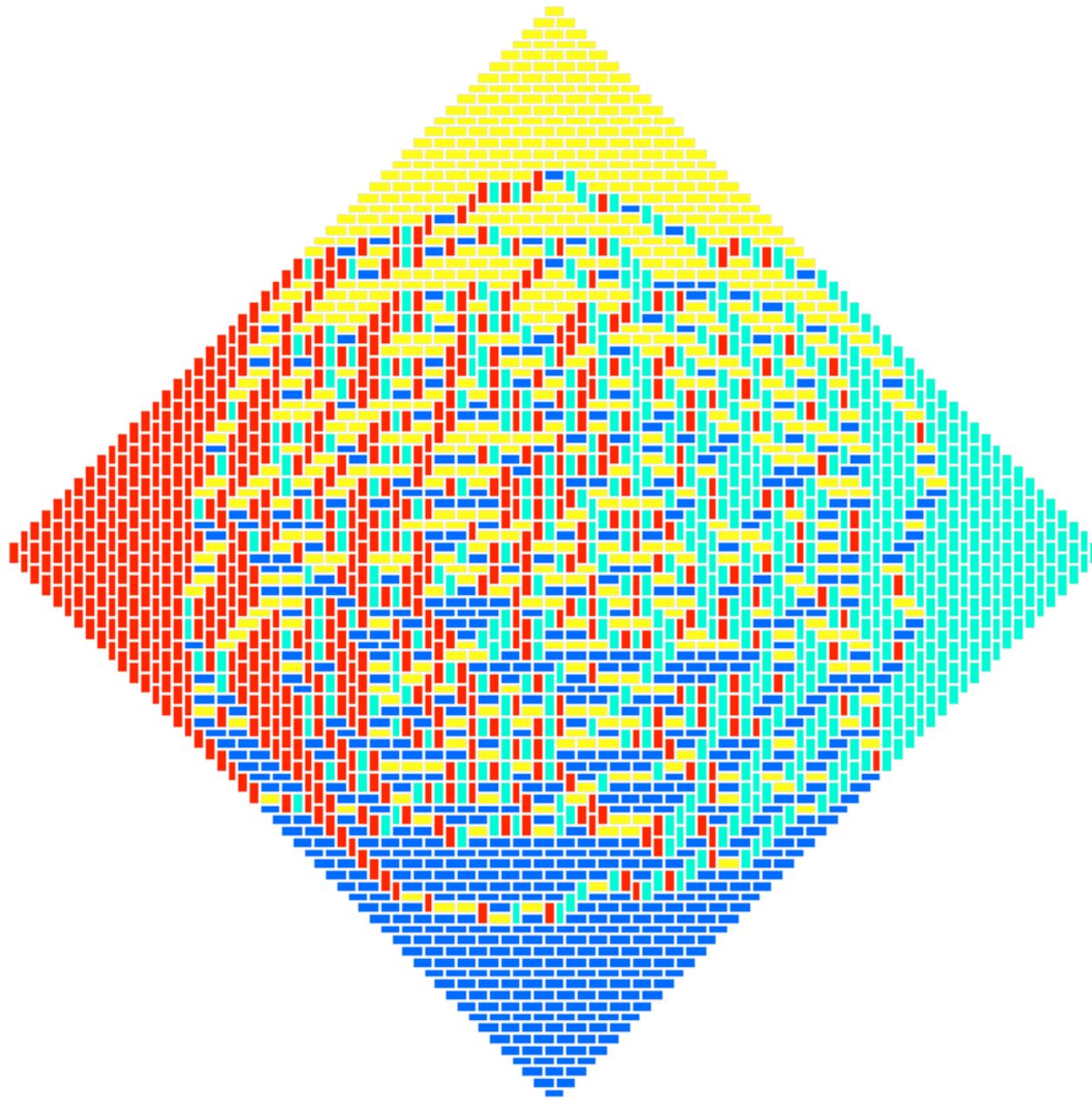
$$2^{n(n-1)/2}$$

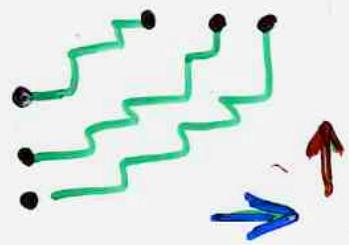
$$A_n(2)$$

Elkies,  
Kuperberg,  
Larsen,  
Propp  
(1992)



random  
Aztec  
tilings

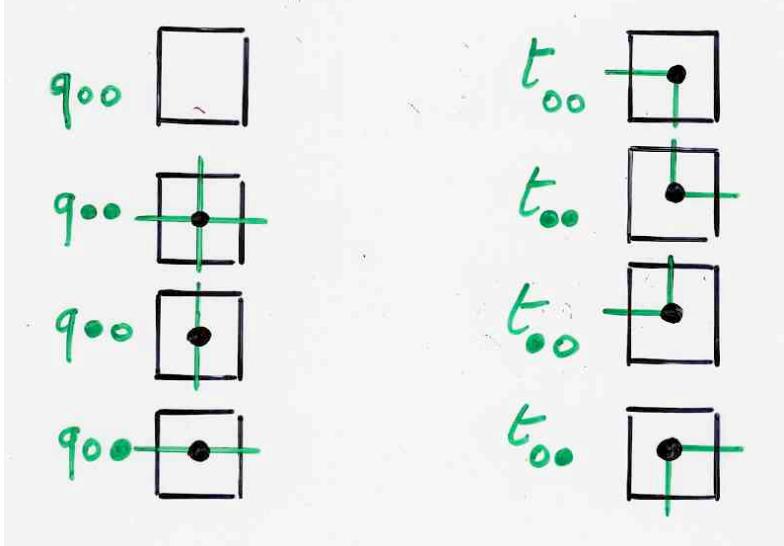




$A \leftrightarrow A_0$   
exchanging

$$\left\{ \begin{array}{l} t_{00} = 0 \\ q_{00} = t_{00} = 0 \end{array} \right.$$

$$\left\{ \begin{array}{l} t_{00} = 0 \\ q_{00} = t_{00} = 0 \end{array} \right.$$



## The quadratic algebra $\mathbb{Z}$

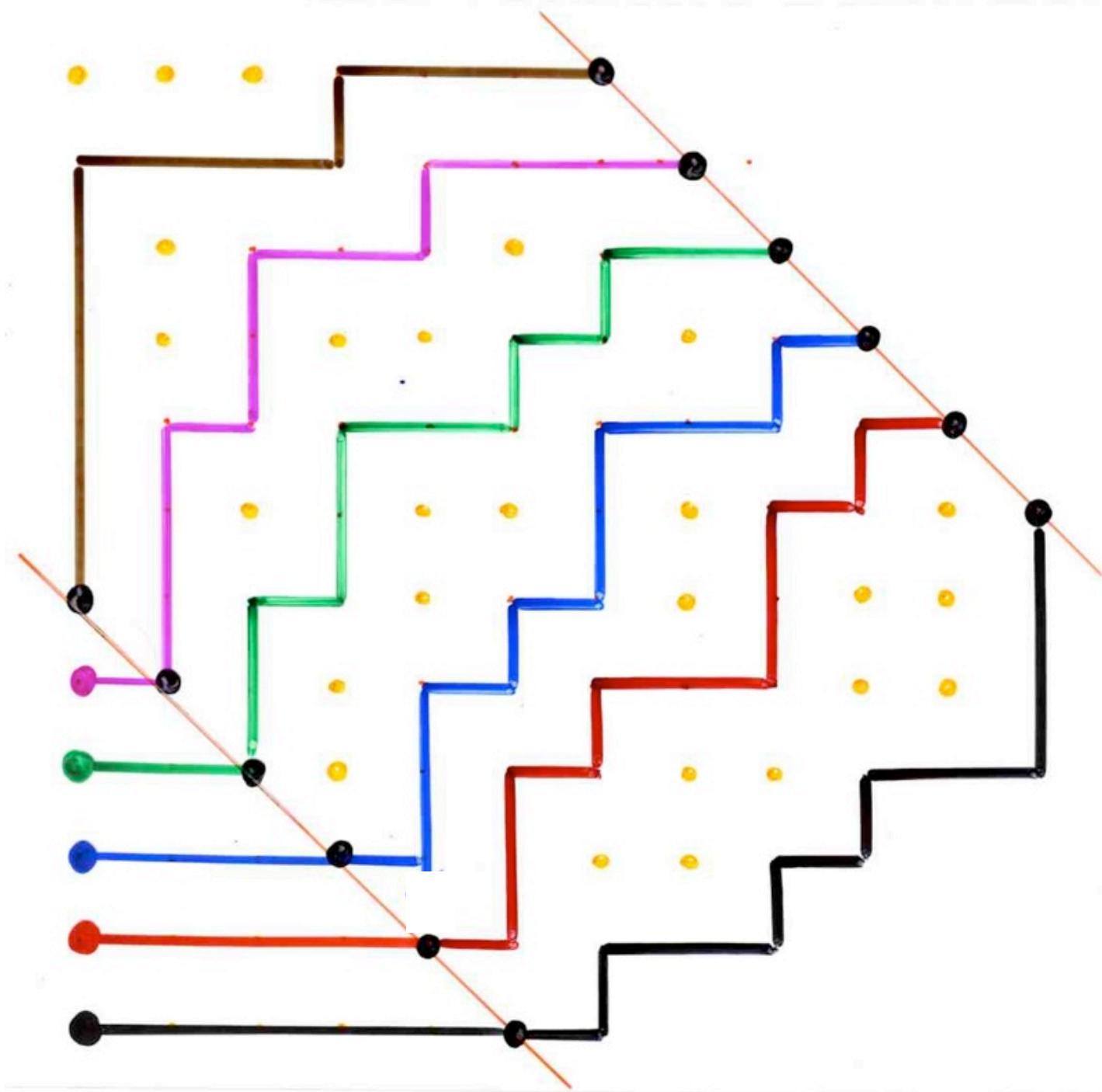
4 generators  $B, A, BA, A_B$   
8 parameters  $q_{...}, t_{...}$

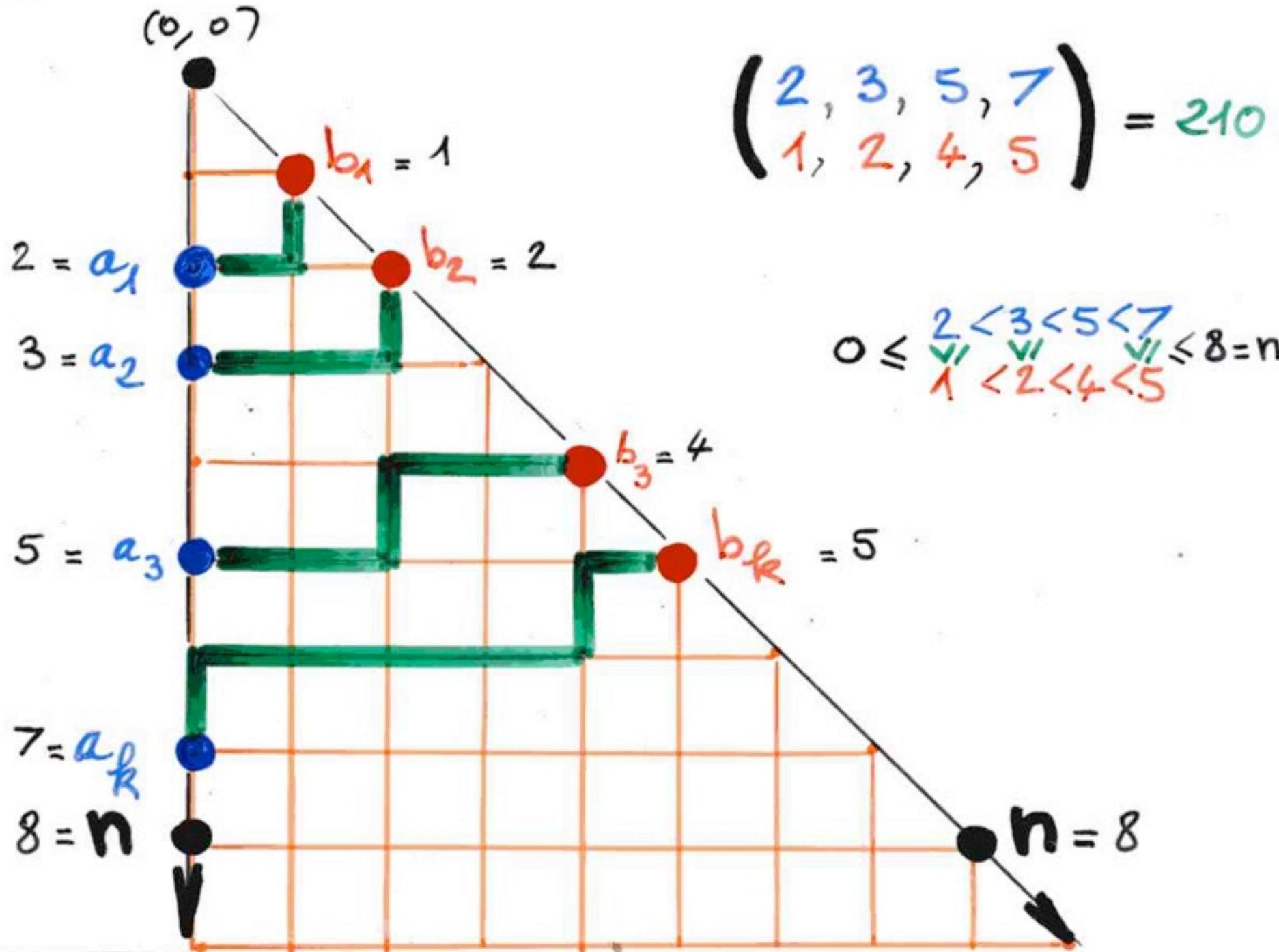
$$\left\{ \begin{array}{l} BA = q_{00} AB + \text{circle} A_B \\ B_A = \text{circle} A_B + \text{circle} AB \\ B_A = q_{00} AB + t_{00} A_B \\ BA = q_{00} A_B + t_{00} AB \end{array} \right.$$

## The quadratic algebra $\mathbb{Z}$

4 generators  $B, A, BA, A_B$   
8 parameters  $q_{...}, t_{...}$

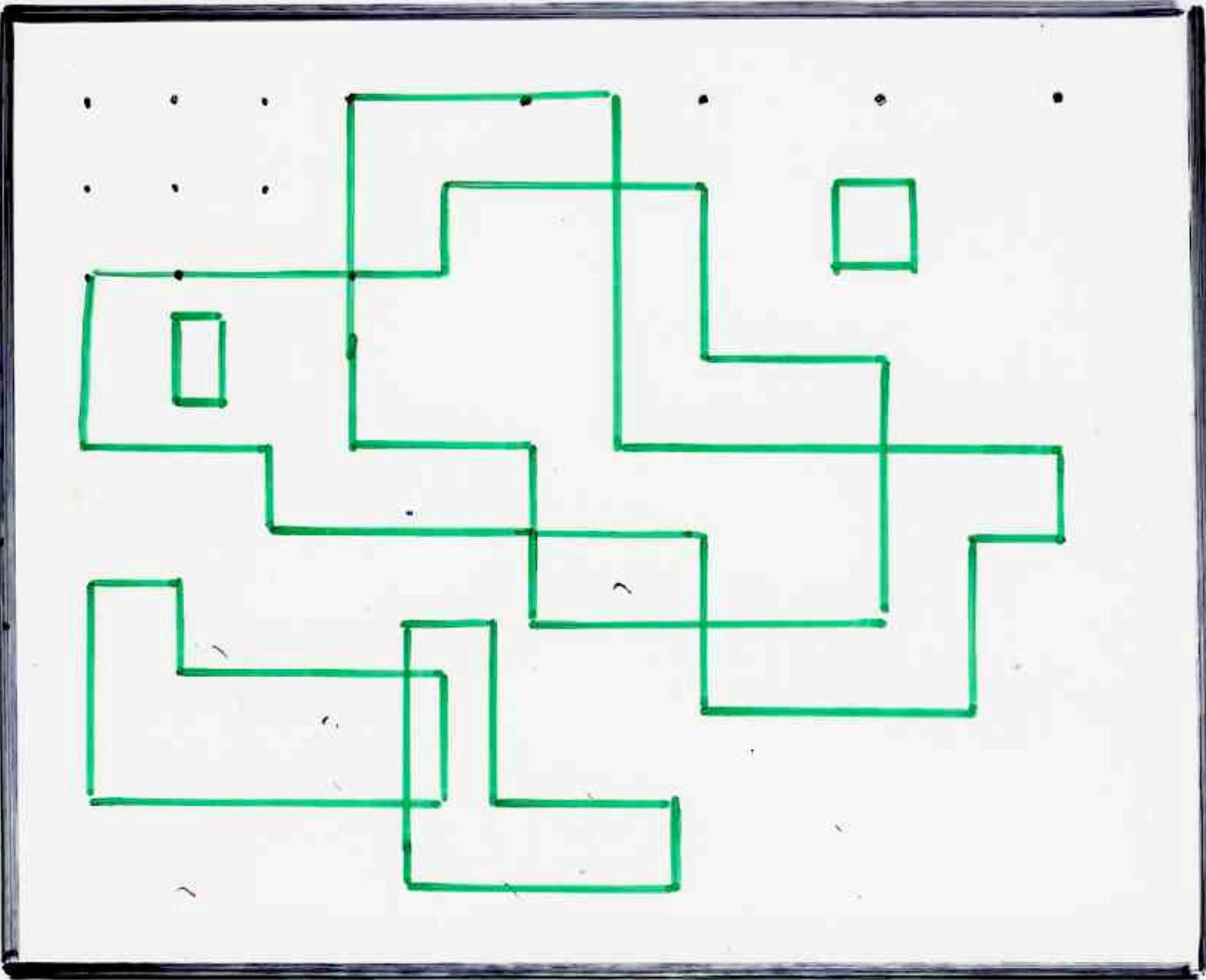
$$\left\{ \begin{array}{l} BA = q_{00} AB + t_{00} A_B \\ B_A = q_{00} A_B + t_{00} AB \\ B_A = \text{circle} A_B + \text{circle} A_B \\ BA = q_{00} A_B + \text{circle} AB \end{array} \right.$$





$$0 \leq \underbrace{2 < 3 < 5 < 7}_{1 < 2 < 4 < 5} \leq 8 = n$$

example: binomial determinant



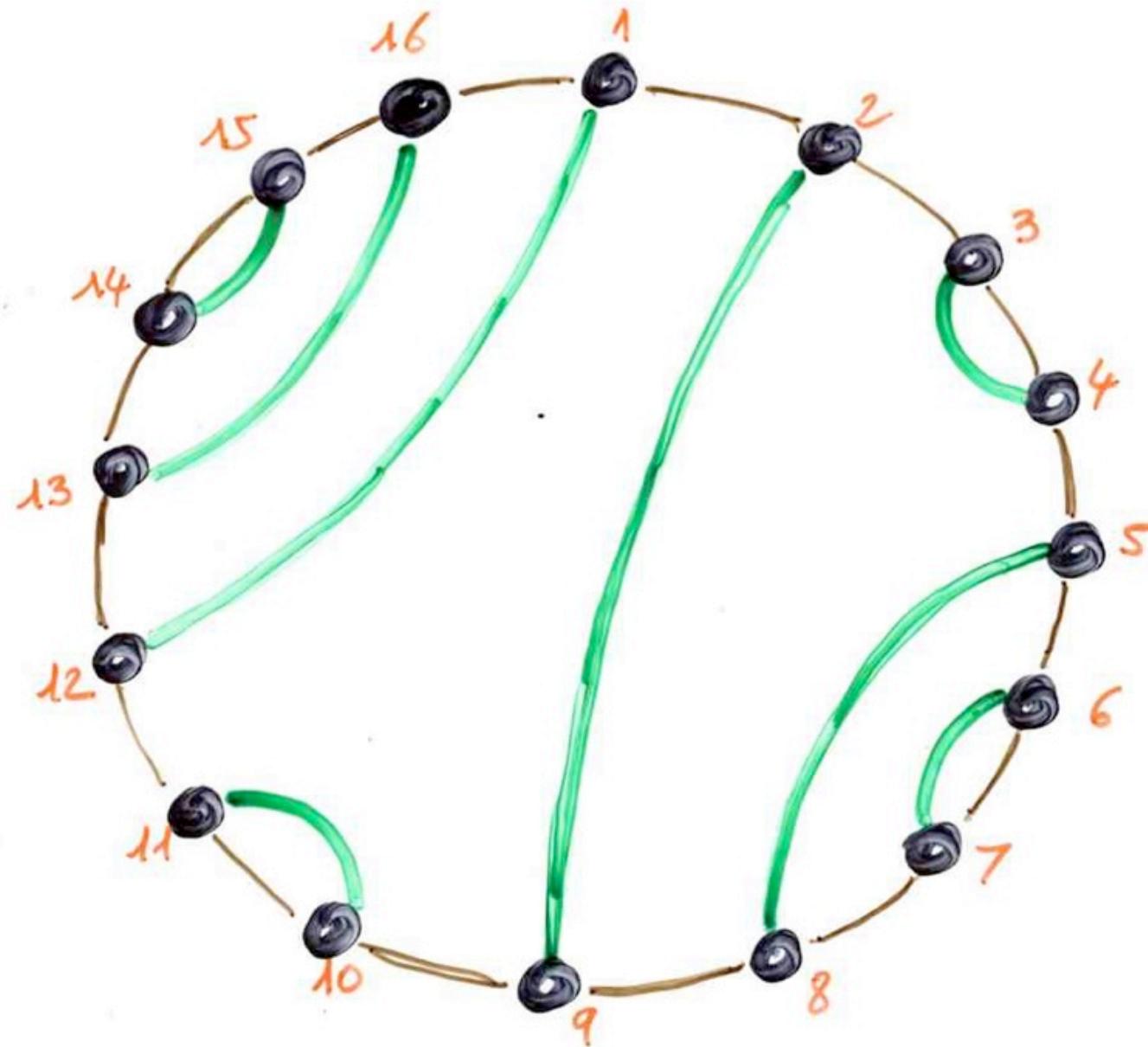
"closed" graph

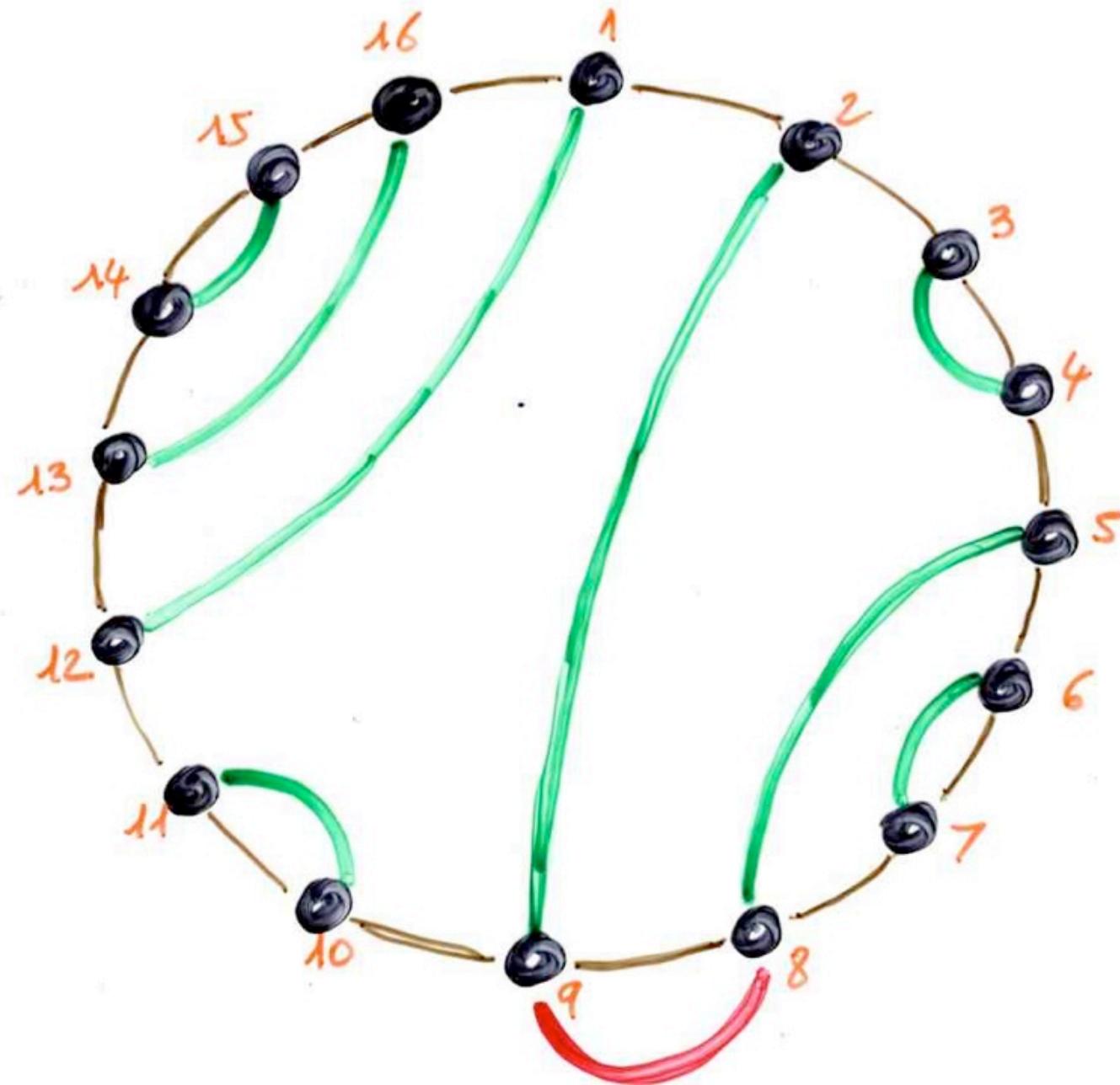
Ising model

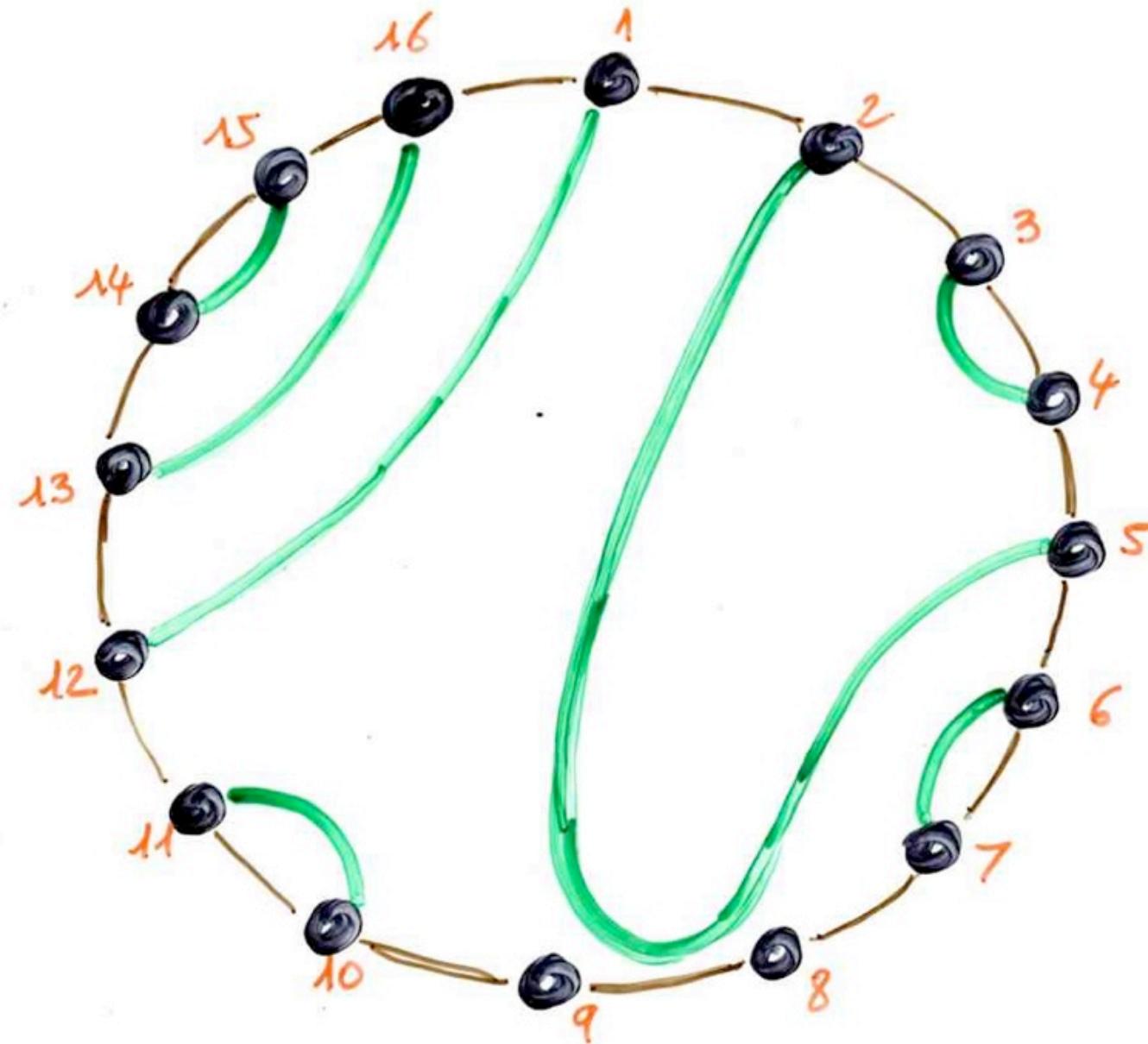
$$w = B^m A^n$$
$$uv = A^n B^m$$

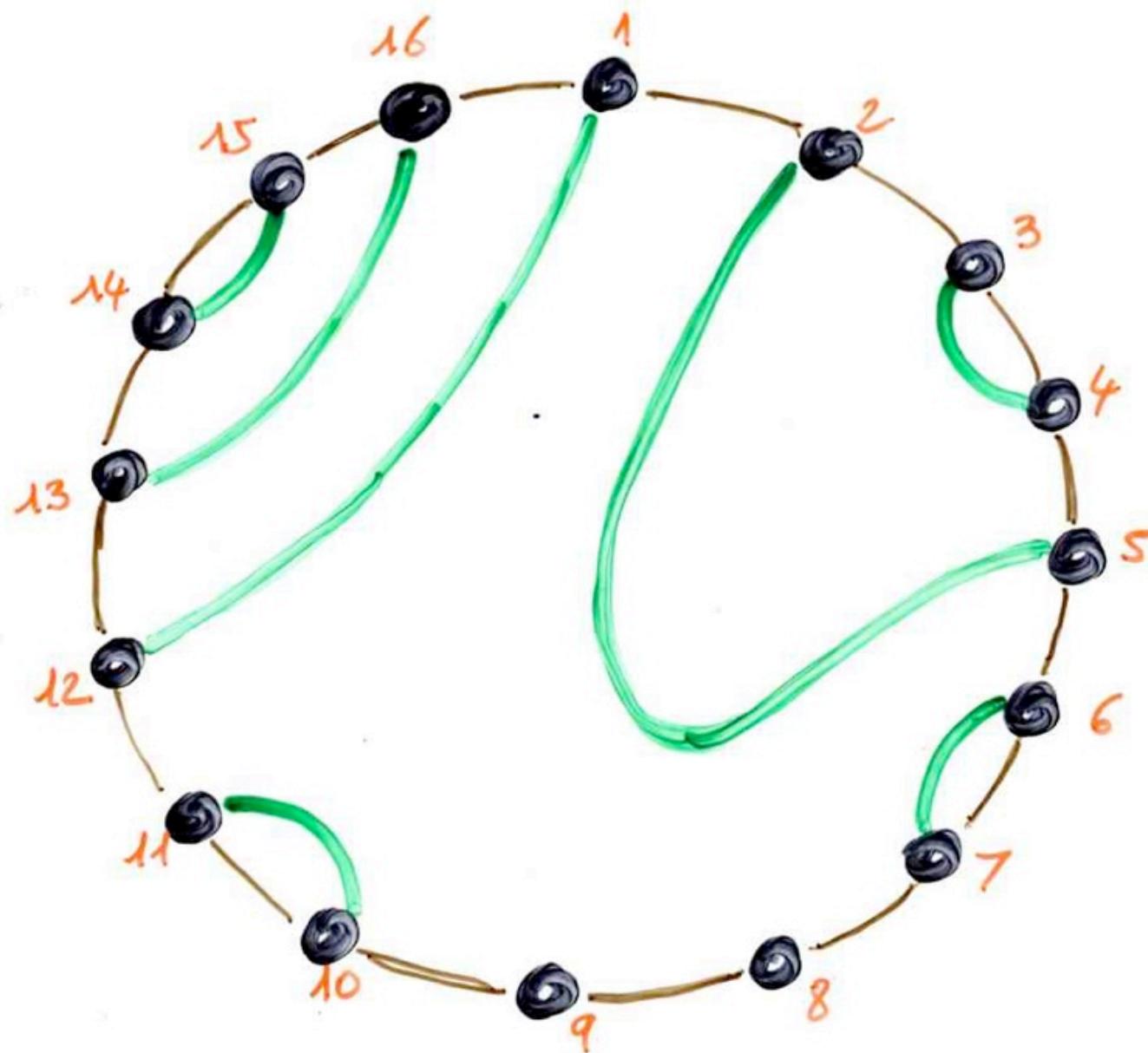
Razumov - Stroganov

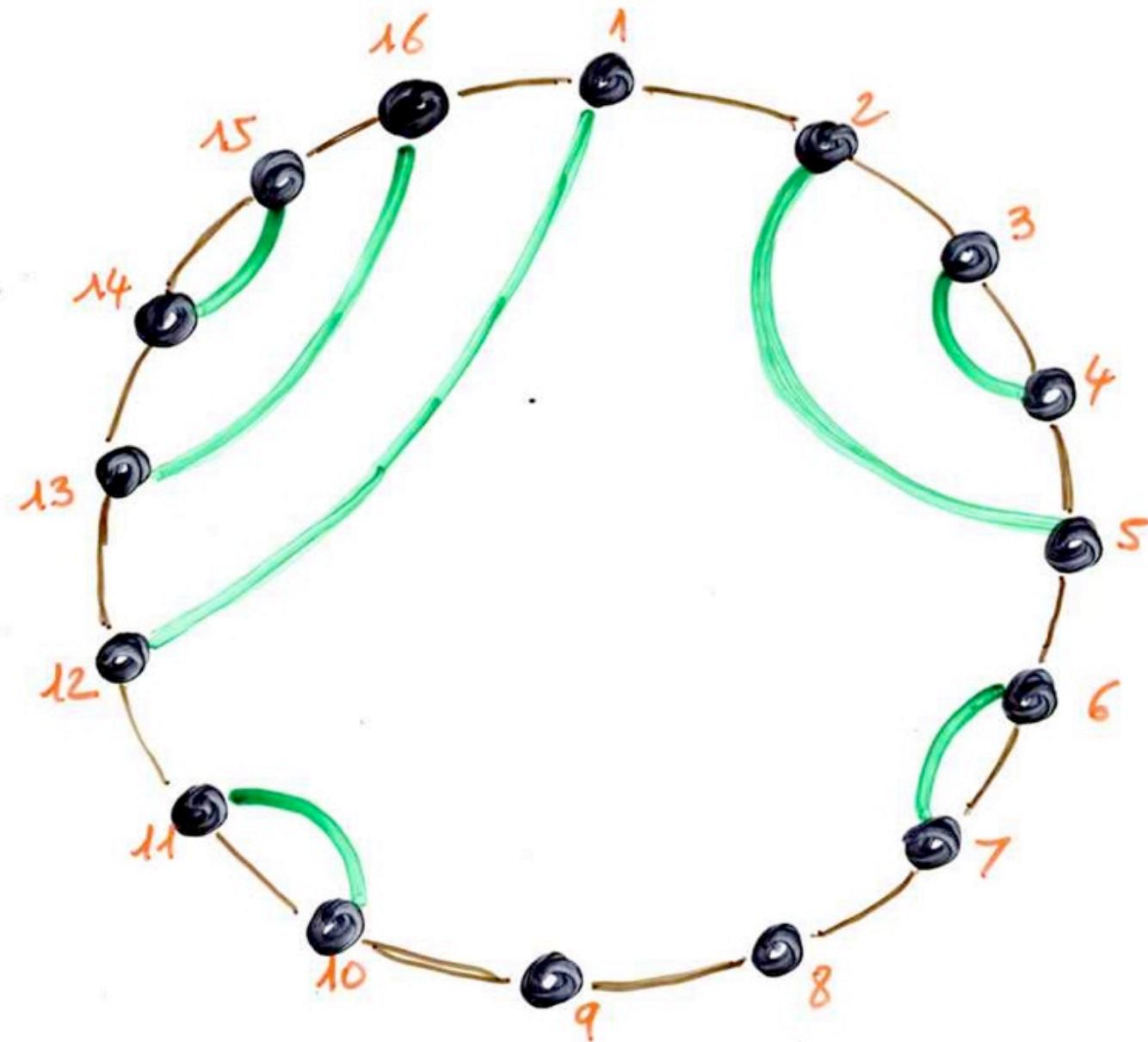
(ex) - conjecture 2000-2001

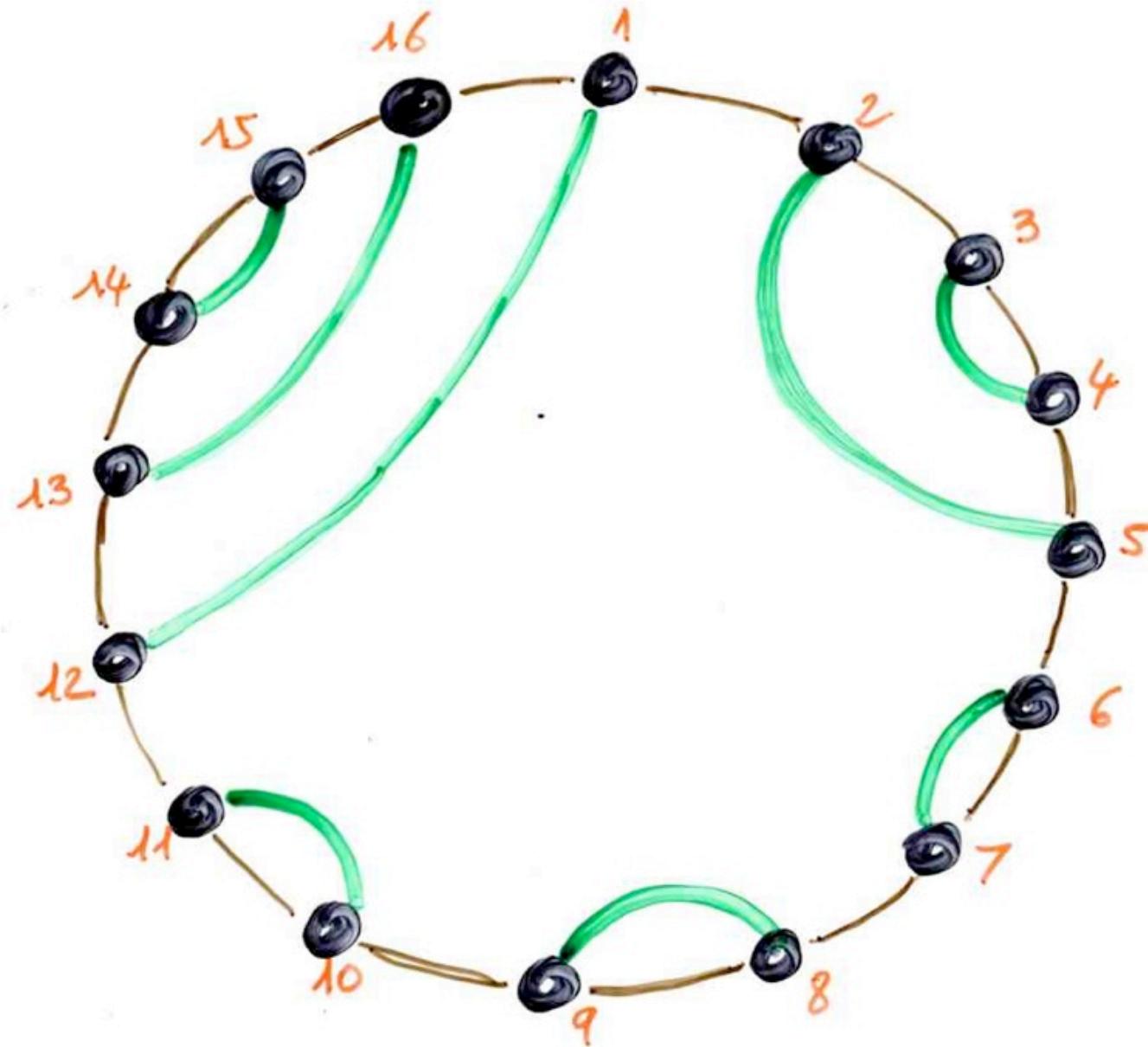












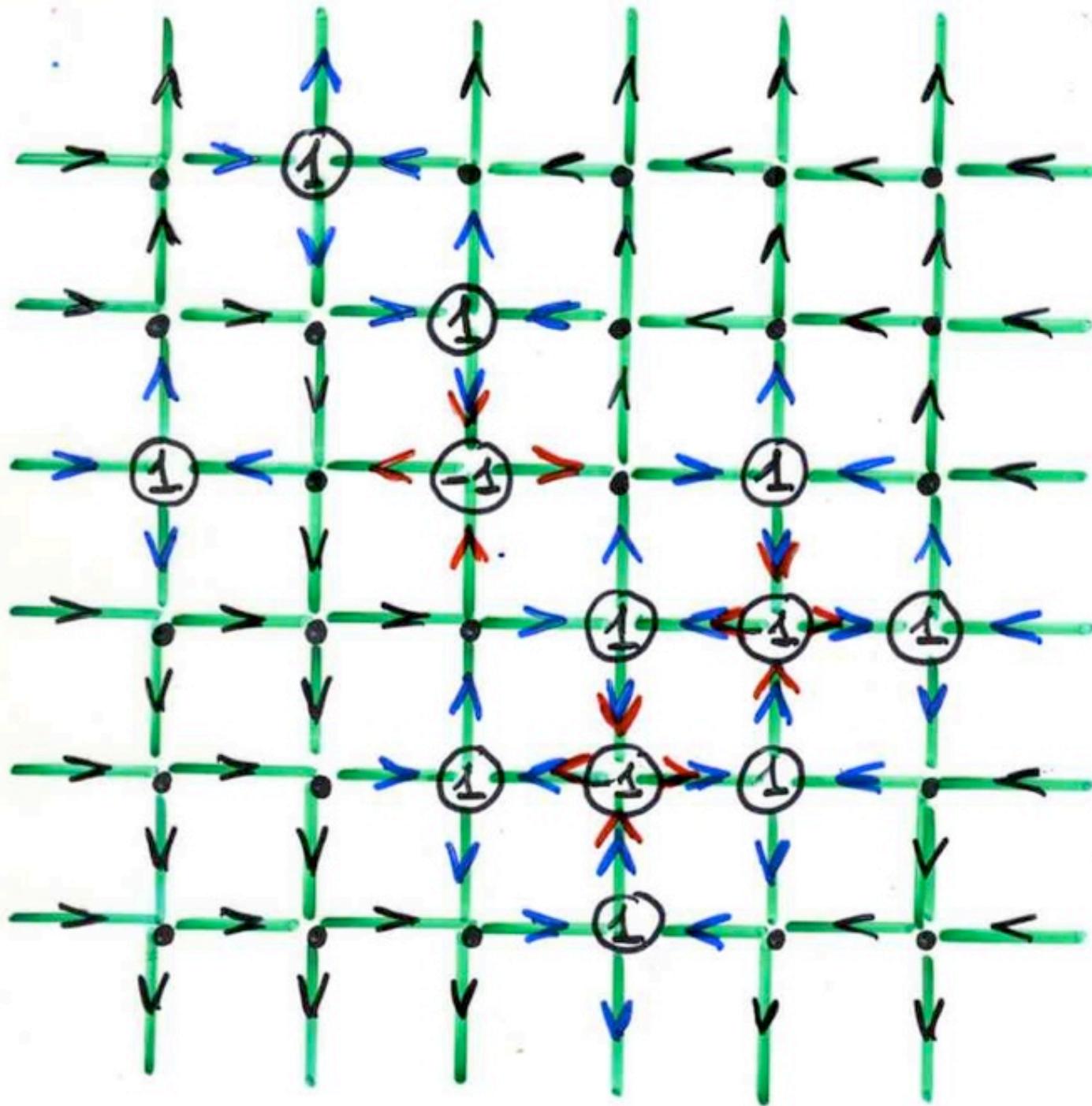
FPL

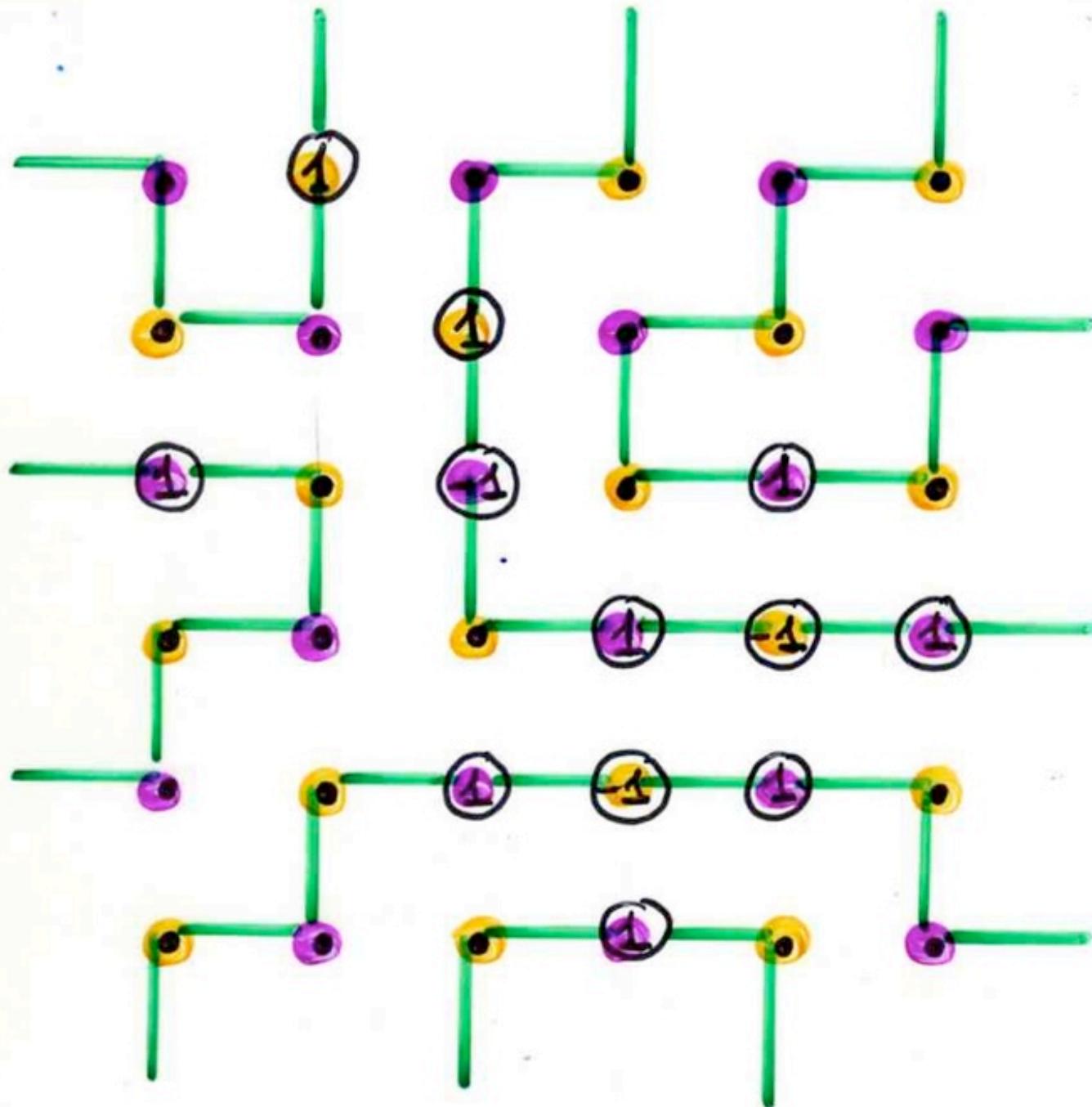
“Fully packed loop configurations”

The  
bijection  
AMS  
FPL

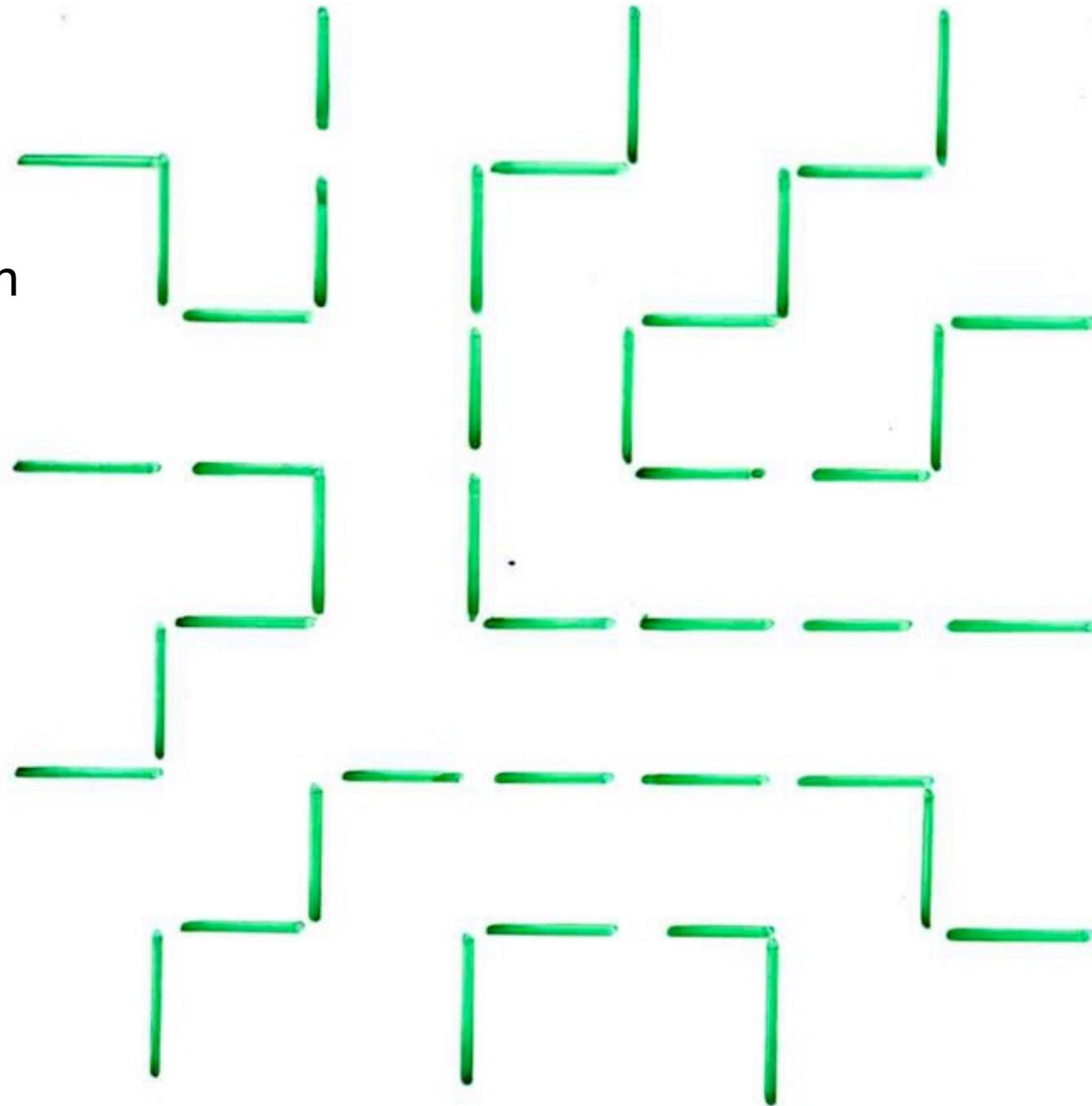
•	①	•	•	•	•
•	•	①	•	•	•
①	•	-1	•	①	•
•	•	•	①	-1	①
•	•	①	-1	①	•
•	•	•	①	•	•

The  
6-vertex  
model

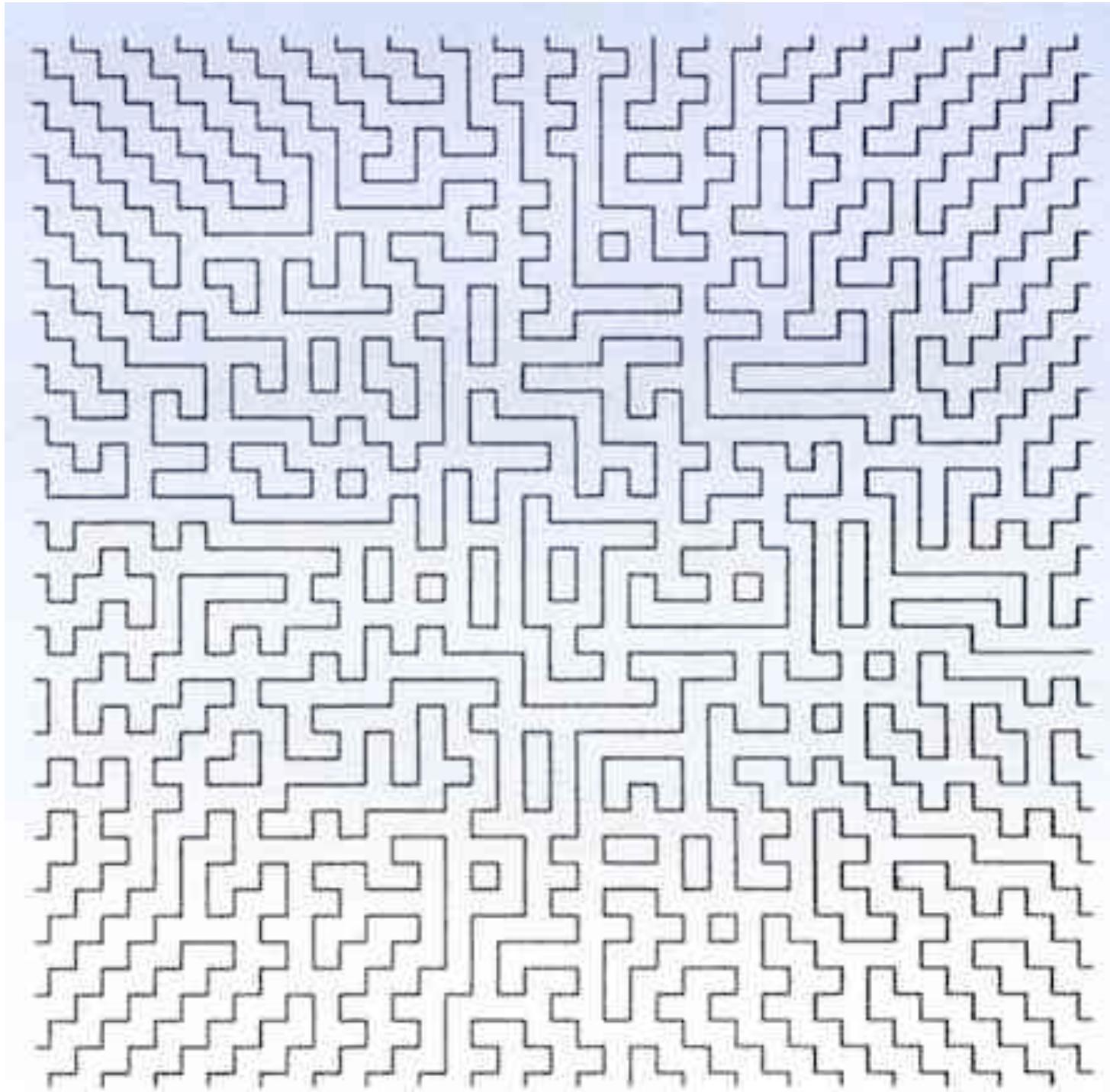




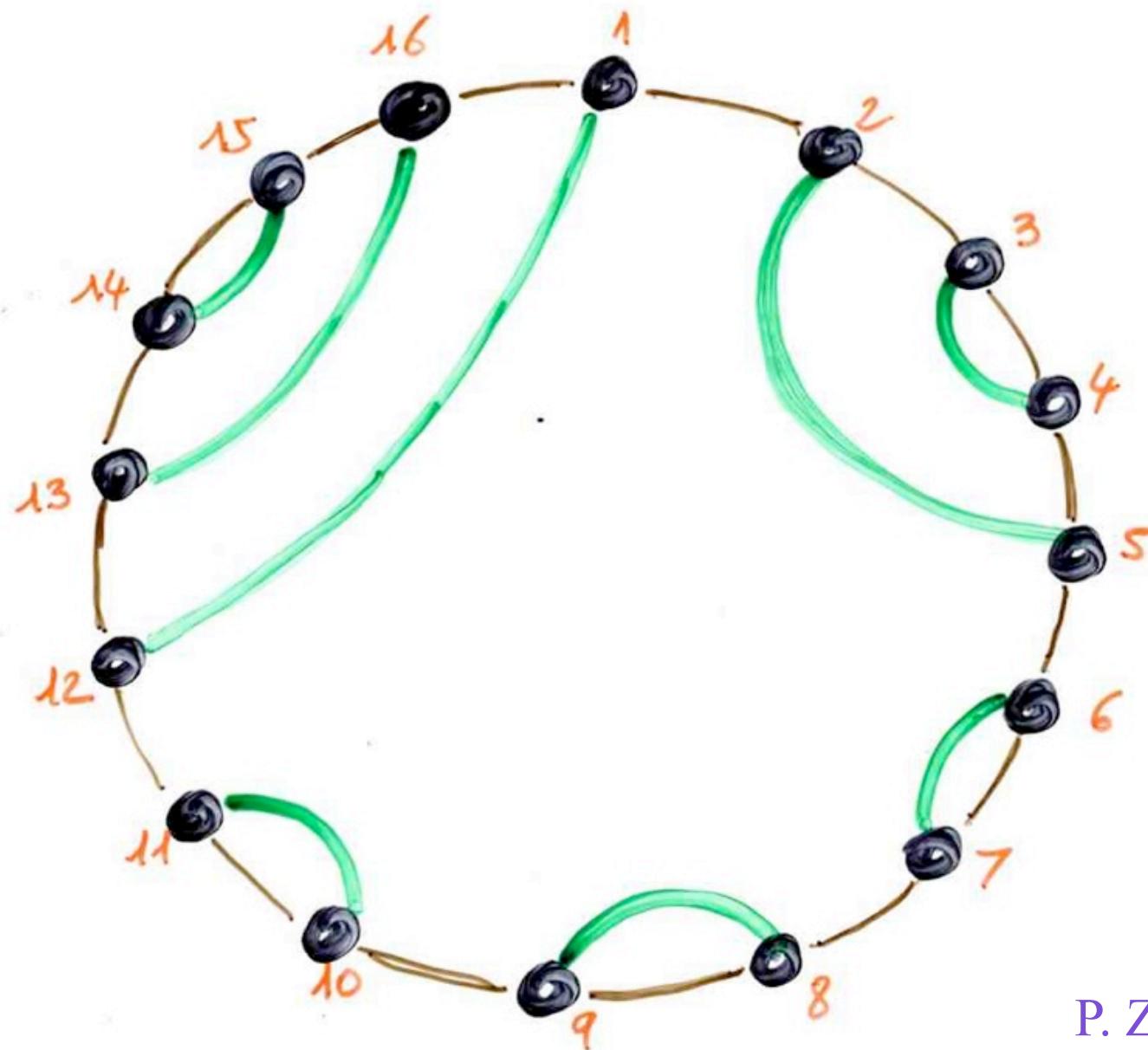
FPL  
“Fully  
Packed  
Loop”  
configuration



random  
FPL



# Razumov-Stroganov conjecture



stationary  
probabilities

Di Francesco,  
P. Zinn-Justin (2005)

# Around the Razumov-Stroganov conjecture

Philippe Di Francesco, Paul Zinn-Justin (2005 - 2009)

De Gier, Pyatov (2007)

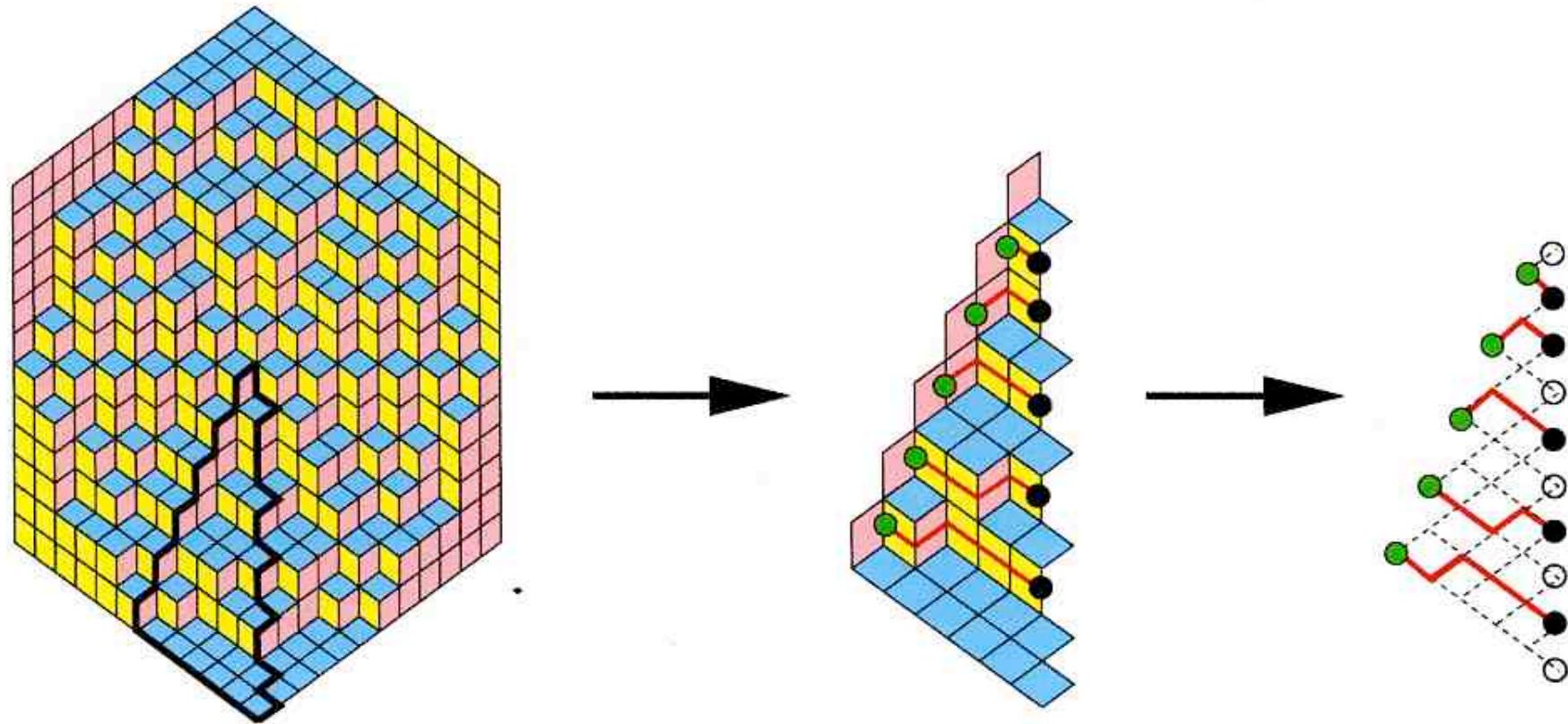
Knizhnik - Zamolodchikov  
equation

qKZ

TSSCPP

ASM





Di Francesco (2006)

# ASM

1-, 2-, 3- enumeration      $A_n(x)$

Colomo, Pronco, (2004)

Hankel determinants

(continuous) Hahn, Meixner-Pollaczek,  
(continuous) dual Hahn      orthogonal polynomials

Ismail, Lin, Roan (2004)  
XXZ spin chains and Askey-Wilson operator

Schubert and Grothendick polynomials  
Lascoux, Schützenberger

Razumov - Stroganov  
(ex)-conjecture 2000-2001

proof by :  
L. Cantini and A.Sportiello (March 2010)  
arXiv: 1003.3376 [math.CO]  
completely combinatorial proof

# "The cellular Ansatz"

Physics

"normal ordering"

$$UD = DU + \text{Id}$$

Weyl-Heisenberg

$$DE = qED + E + D$$

PASEP

quadratic algebra  $Q$

commutations

rewriting rules

planarisation

combinatorial  
objects  
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representation  
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permutations

tableaux alternatifs

RSK

pairs of Tableaux Young

permutations

Laguerre histories

Q-tableaux

ex: ASM,

(alternating sign matrices)

FPL(fully packed loops)

tilings, 8-vertex

planar  
automata

?

Koszul algebras  
duality

more details, see the diaporamas:

Cours XGV, Universidad de Talca «The Cellular Ansatz»

(December 2010 - January 2011)

Combinatorics and interactions (with physics) (24h)

also: 4 talks in India  
January-March 2012

or «Petite Ecole de Combinatoire»

LaBRI, Fall 2011, Spring 2012

and 2 videos:

Newton Institute 23 April 2008

[http://www.newton.cam.ac.uk/webseminars/pg+ws/  
2008/csm/csmw04/0423/viennot/](http://www.newton.cam.ac.uk/webseminars/pg+ws/2008/csm/csmw04/0423/viennot/)

IMSc, Chennai, 1 March 2012

<http://youtu.be/u44ZmEU8svY>

accessible from the websites:

<http://www.labri.fr/perso/viennot/>

Recherche, cv, publications, exposés, diaporamas, livres, petite école, photos: voir ma page personnelle [ici](#)

Vulgarisation scientifique voir la page de l'association [Cont'Science](#)

[http://web.me.com/xgviennot/Xavier\\_Viennot/](http://web.me.com/xgviennot/Xavier_Viennot/)

[http://web.me.com/xgviennot/Xavier\\_Viennot2/](http://web.me.com/xgviennot/Xavier_Viennot2/)

## Ch 0 Introduction

## Ch 1 Ordinary generating function, the Catalan garden

Ch 1a (1/12/2010, 54 p.)

Ch 1b (7/12/2010, 81 p.)

Ch 1c (7/12/2010, 30 p.) algebraic complements in relation with physics

## Ch 2 Exponential generating functions, permutations

Ch 2a (22/12/2010, 40 p.)

Ch 2b (4/01/2010, 63 p.)

Ch 2c (4/01/2010, 33 p.) Permutations: Laguerre histories

## Ch 3 Permutations and Young tableaux, the Robinson-Schensted correspondence (RSK)

Ch 3a (6/01/2011, 117 p.)

Ch 3b (6, 11/01/2011, 121 p.) RSK and operators

## Ch 4 Alternative tableaux and the PASEP (partially asymmetric exclusion process)

Ch 4a (13/01/2011, 98p.)

Ch 4b (13, 18/01/2011, 102 p.) alternative tableaux and the PASEP

Ch 4c (18/01/2011, 81 p.) complements

## Ch 5 Combinatorial theory of orthogonal polynomials

(20/01/2011, 110 p.)

## Ch 6 "jeu de taquin" for binary trees, Catalan tableaux and the TASEP

Ch 6a (24/01/2011, 98 p.)

Ch 6b (24/01/2011, 111 p.) alternative tableaux and increasing/alternative binary trees

Ch 6c (24/01/2011, 21 p.) Catalan tableaux and the Loday-Ronco algebra

## Ch 7 The cellular Ansatz

Ch 7a (25/01/2011, 117 p.)

Ch 7b (25/01/2011, 49 p.) complements

Cours XGV

Universidad de Talca

(December 2010 - January 2011)

24 h

Combinatorics and interactions

(with physics)

«The Cellular Ansatz»

U

B

A

D

A'

B'


MERCII !

