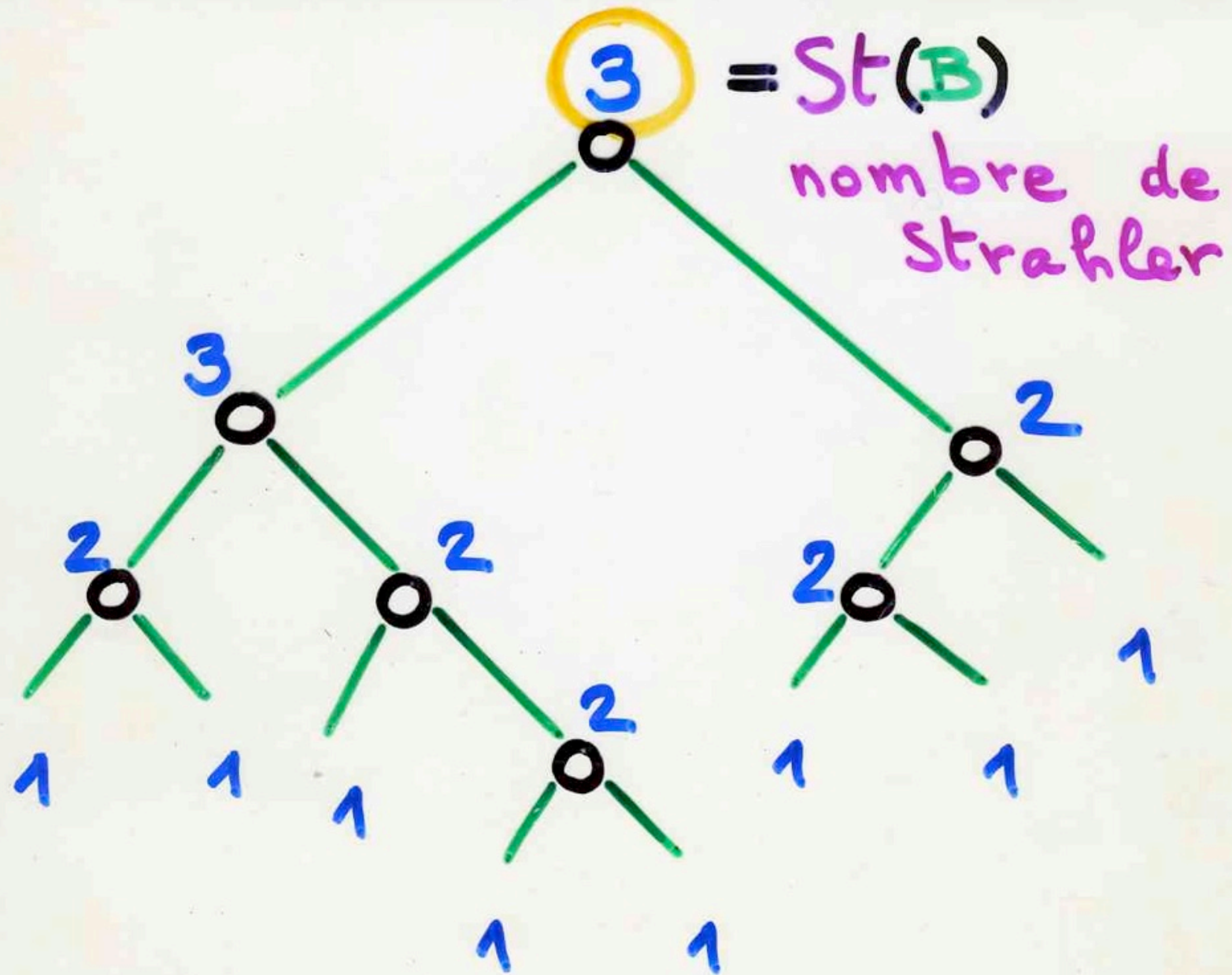


The Strahler analysis of binary trees
in computer science
and in other sciences

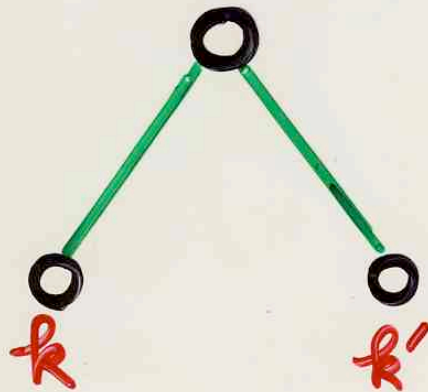
IIT-Bombay
February 14, 2013

Xavier Viennot
CNRS, LaBRI,
Bordeaux University, France

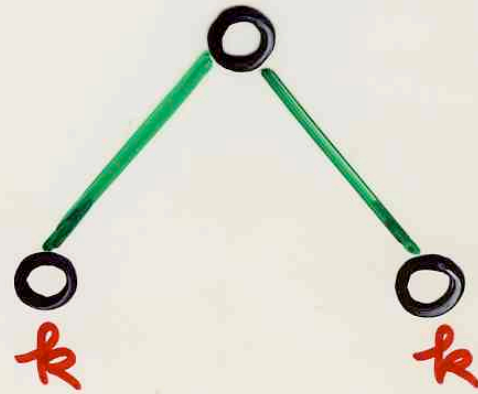
Strahler number
of a binary tree

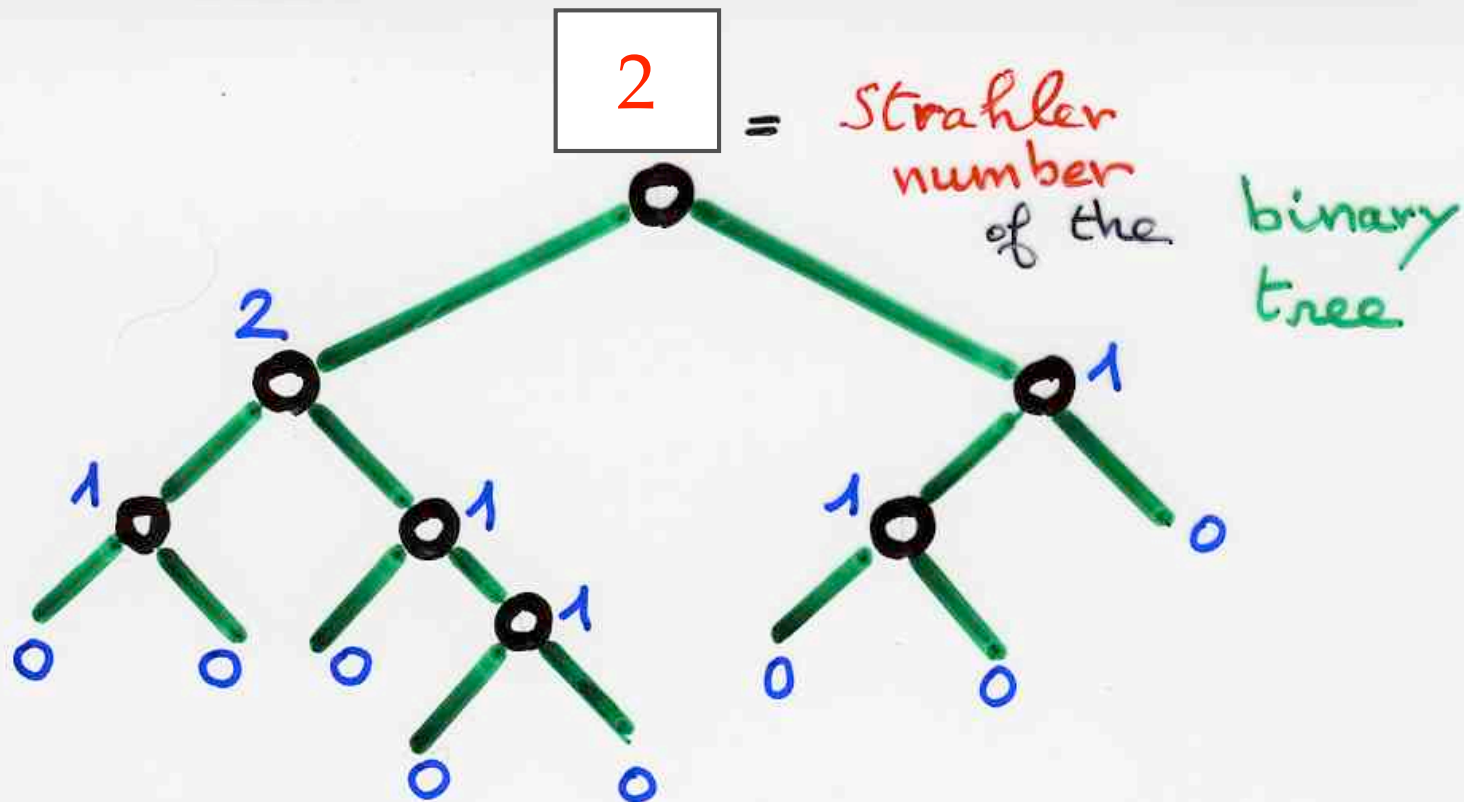


$\max(k, k')$



$k+1$





asymptotic analysis

average Strahler number
over binary trees n vertices

$$st_n = \log_4 n + f(\log_4 n) + o(1)$$

Flajolet, Raoult, Vuillemin (1979) periodic

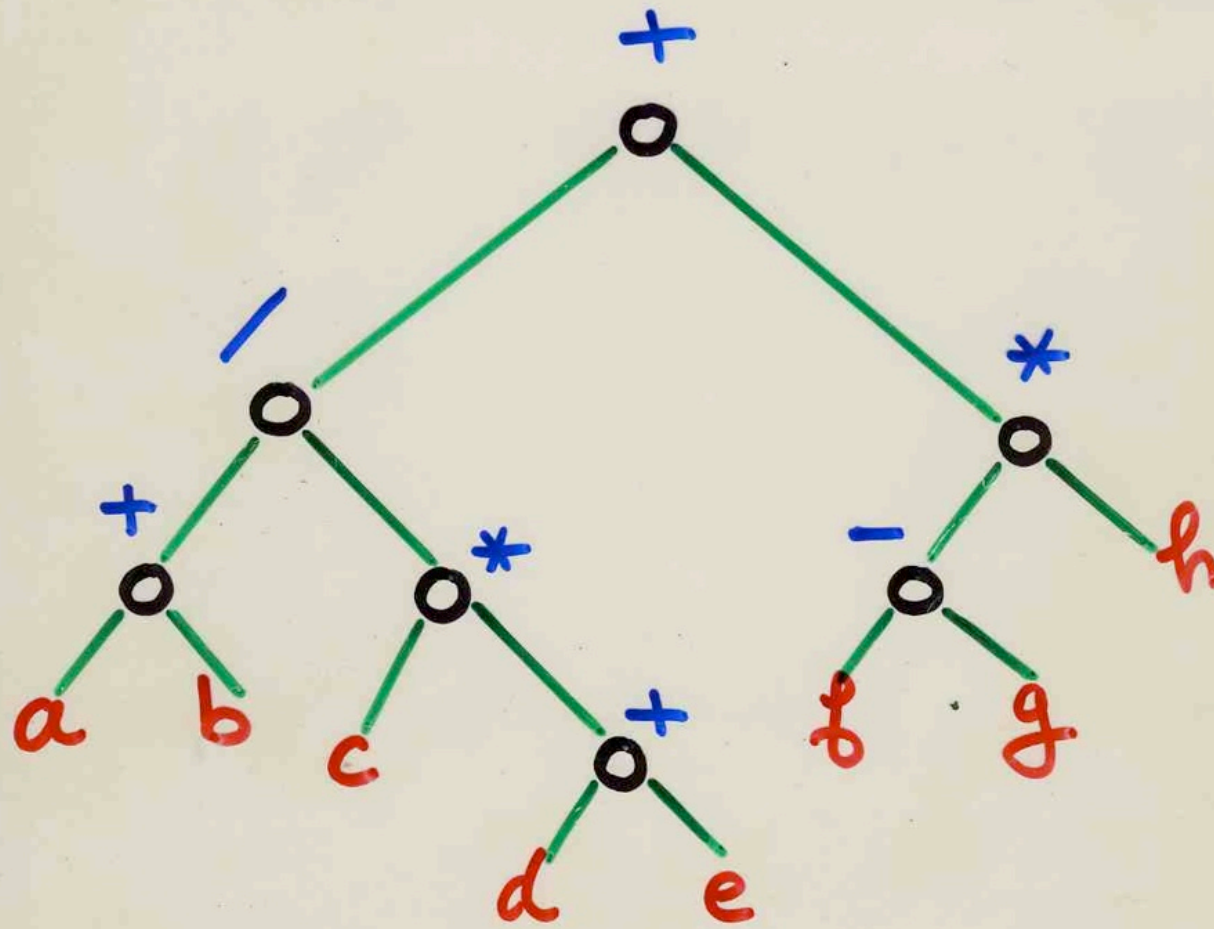
$T(n)$ = number of 1's in the
binary expansion of $1, 2, \dots, (n-1)$

$$T(n) = \frac{1}{2} n \log n + n F(\log n)$$

$$f(t) = 1 - \frac{\gamma}{2 \log 2} - \int_0^\infty t H_4(t) F(\log t + u) e^{-t^2} dt$$

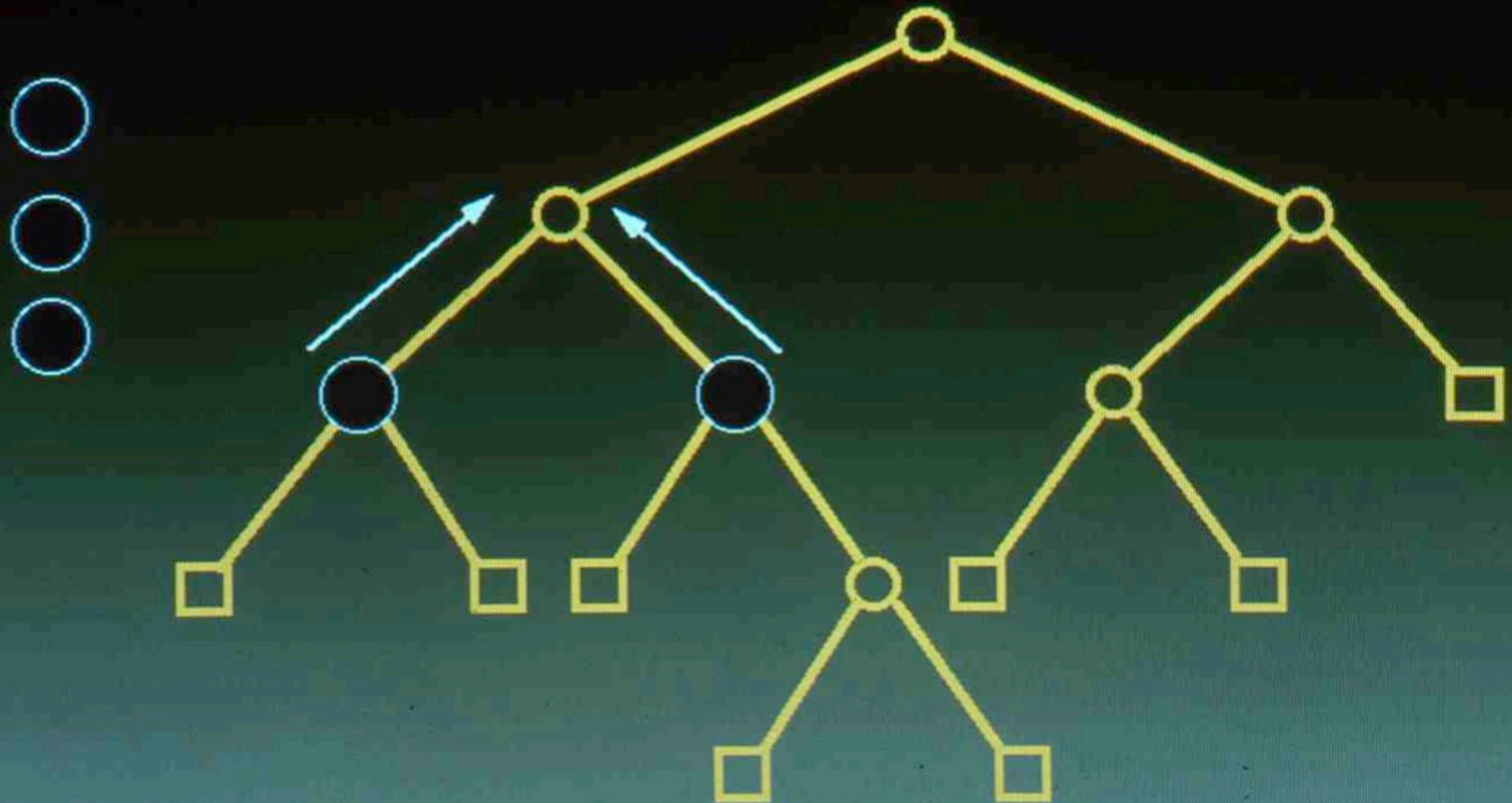
minimum number of registers

$$\frac{(a+b)}{c(d+e)} + (f-g)h$$



$$\frac{(a+b)}{c(d+e)} + (f-g)h$$

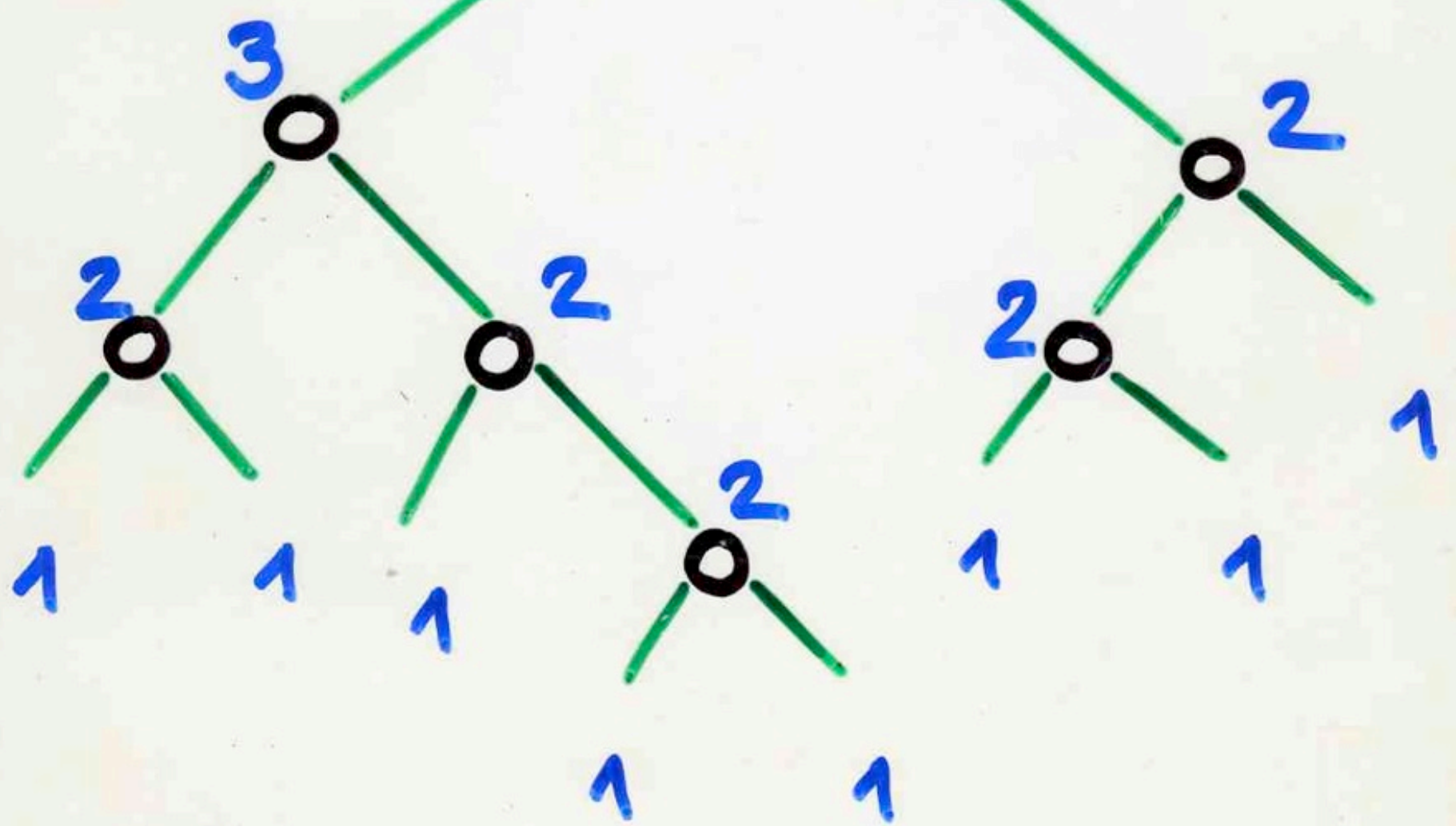
Pebbles problem

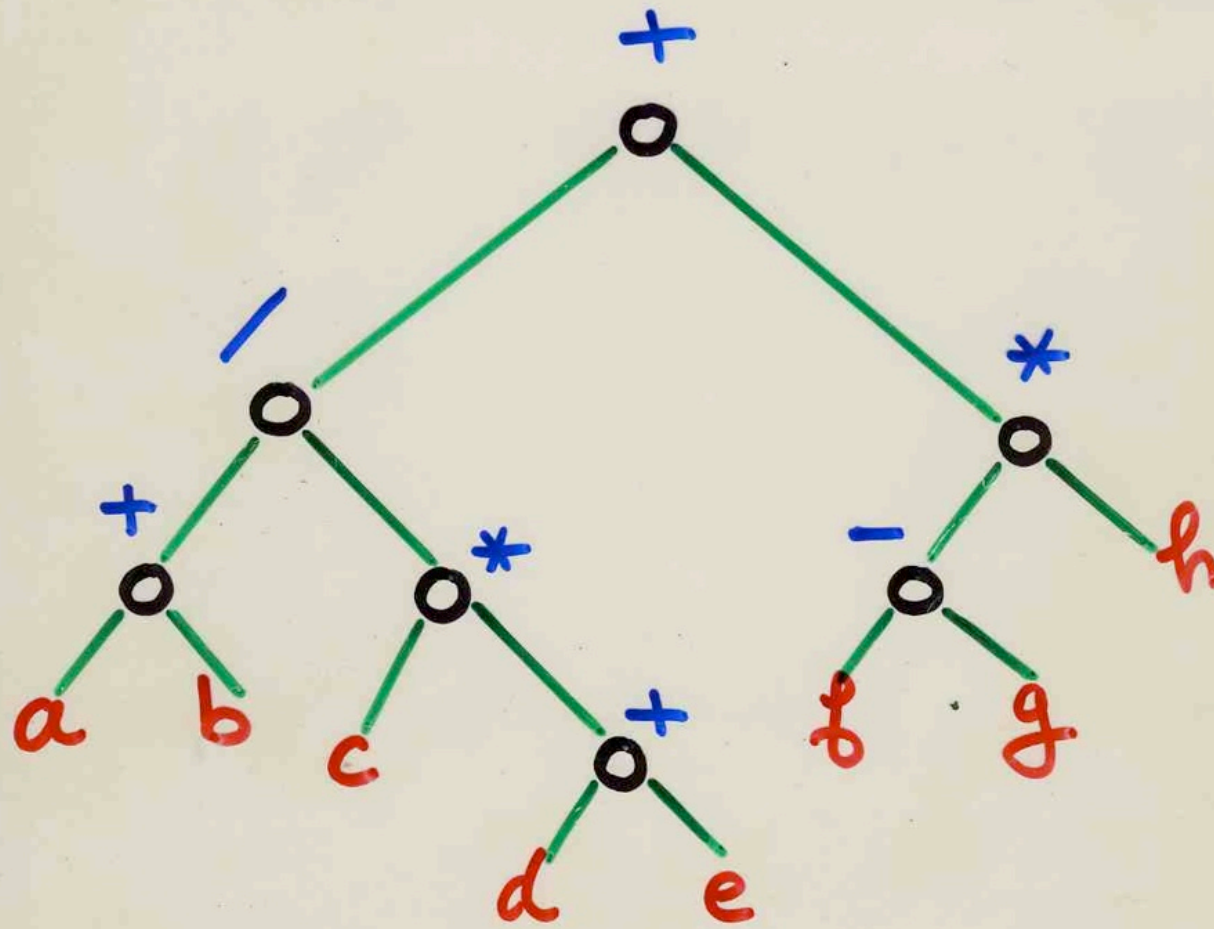




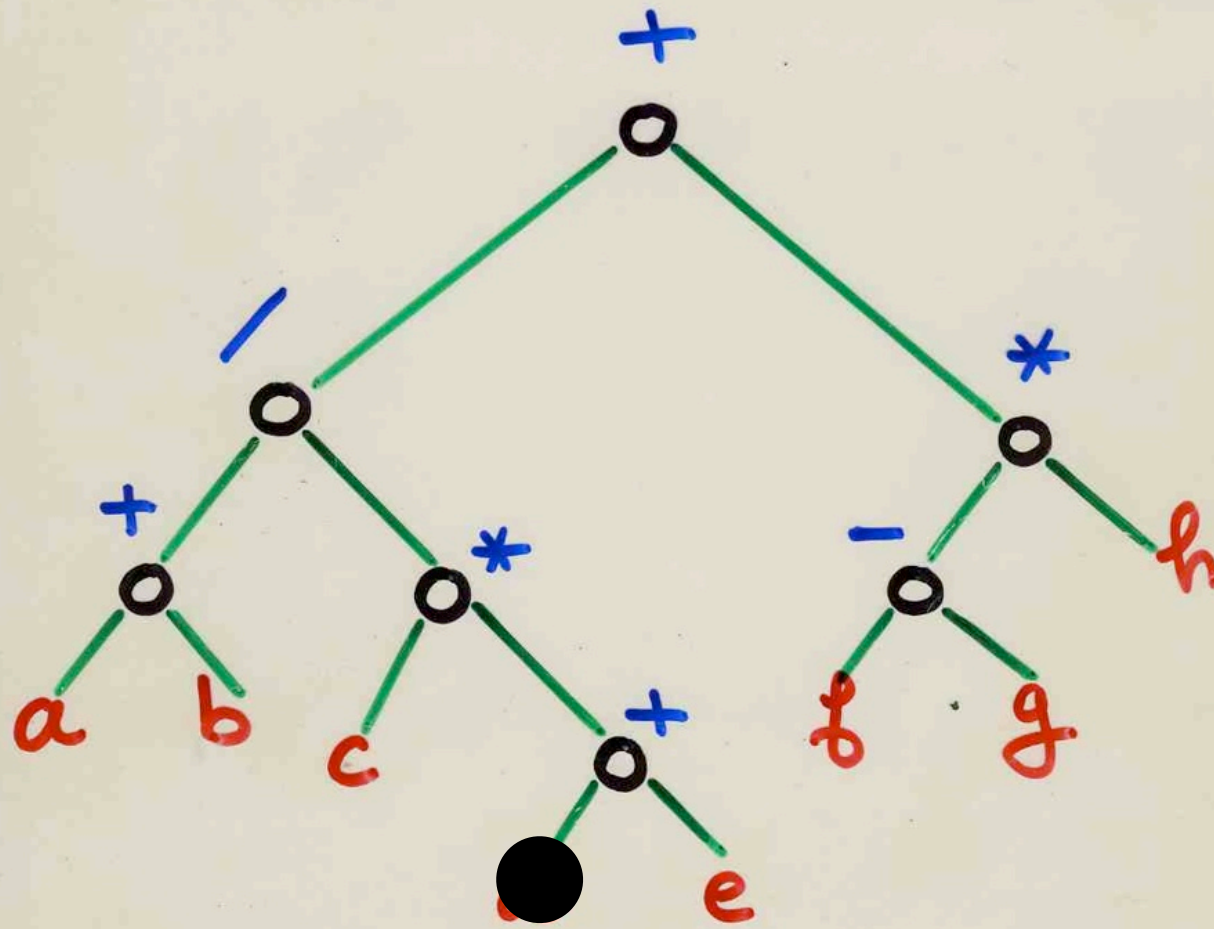
$= St(B)$

nombre de
Strahler

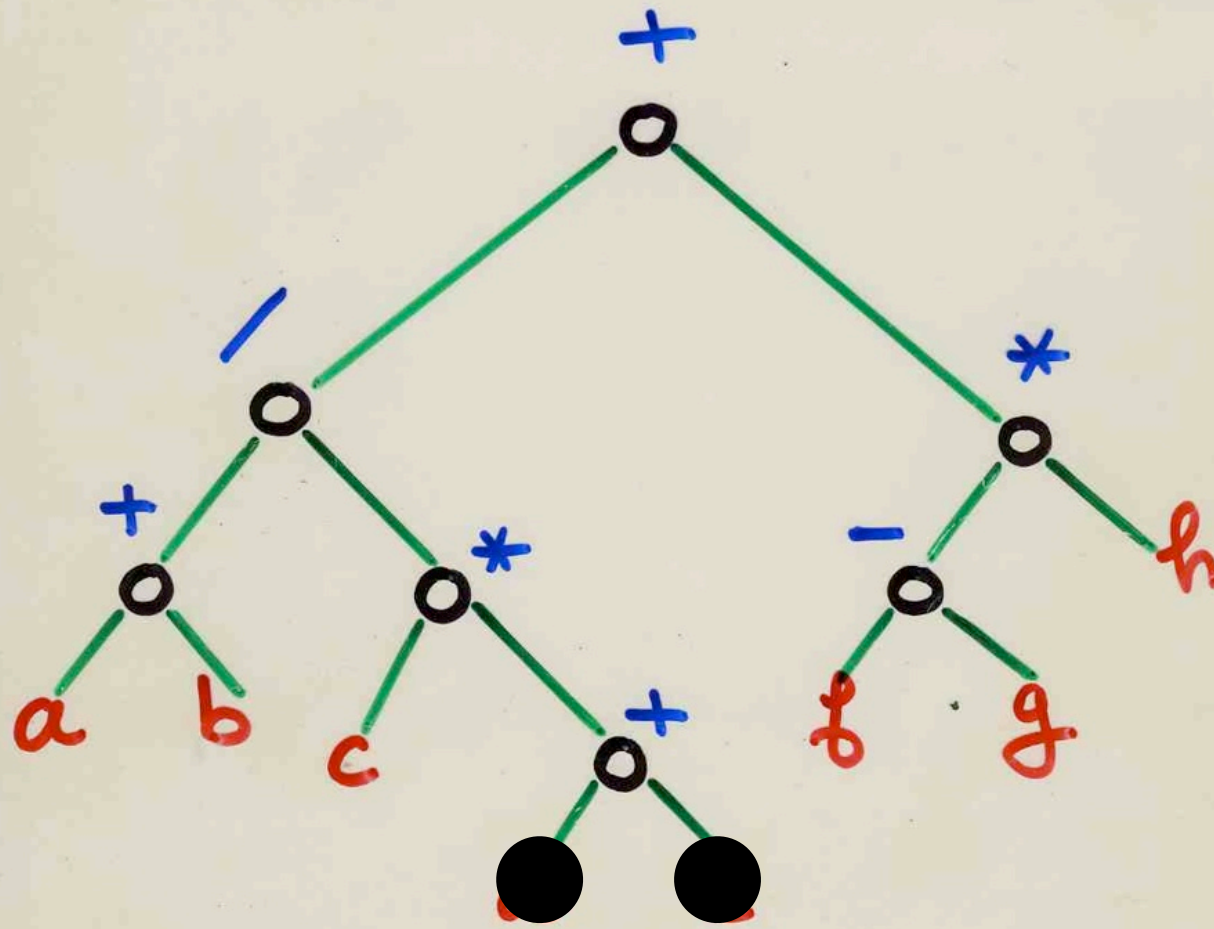




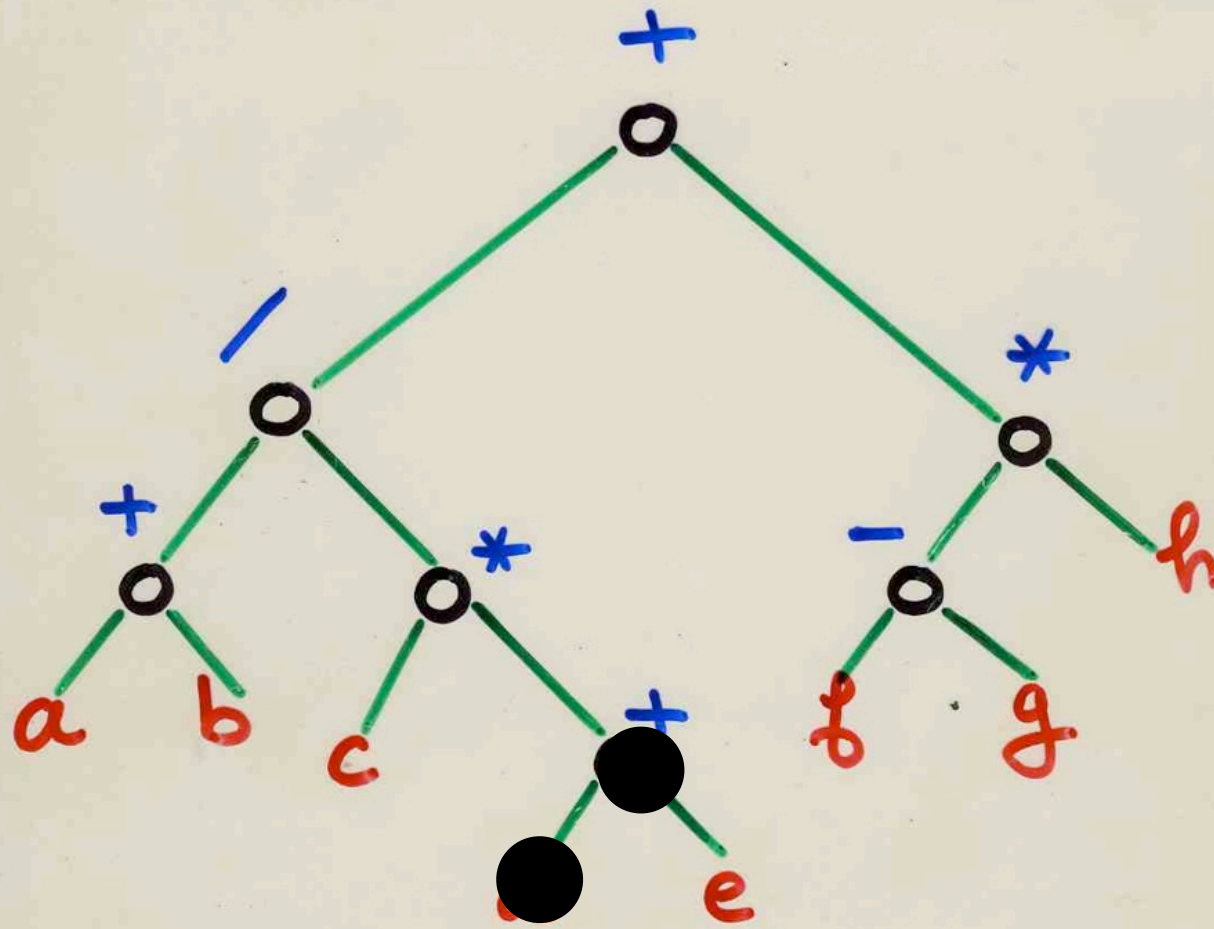
$$\frac{(a+b)}{c(d+e)} + (f-g)h$$



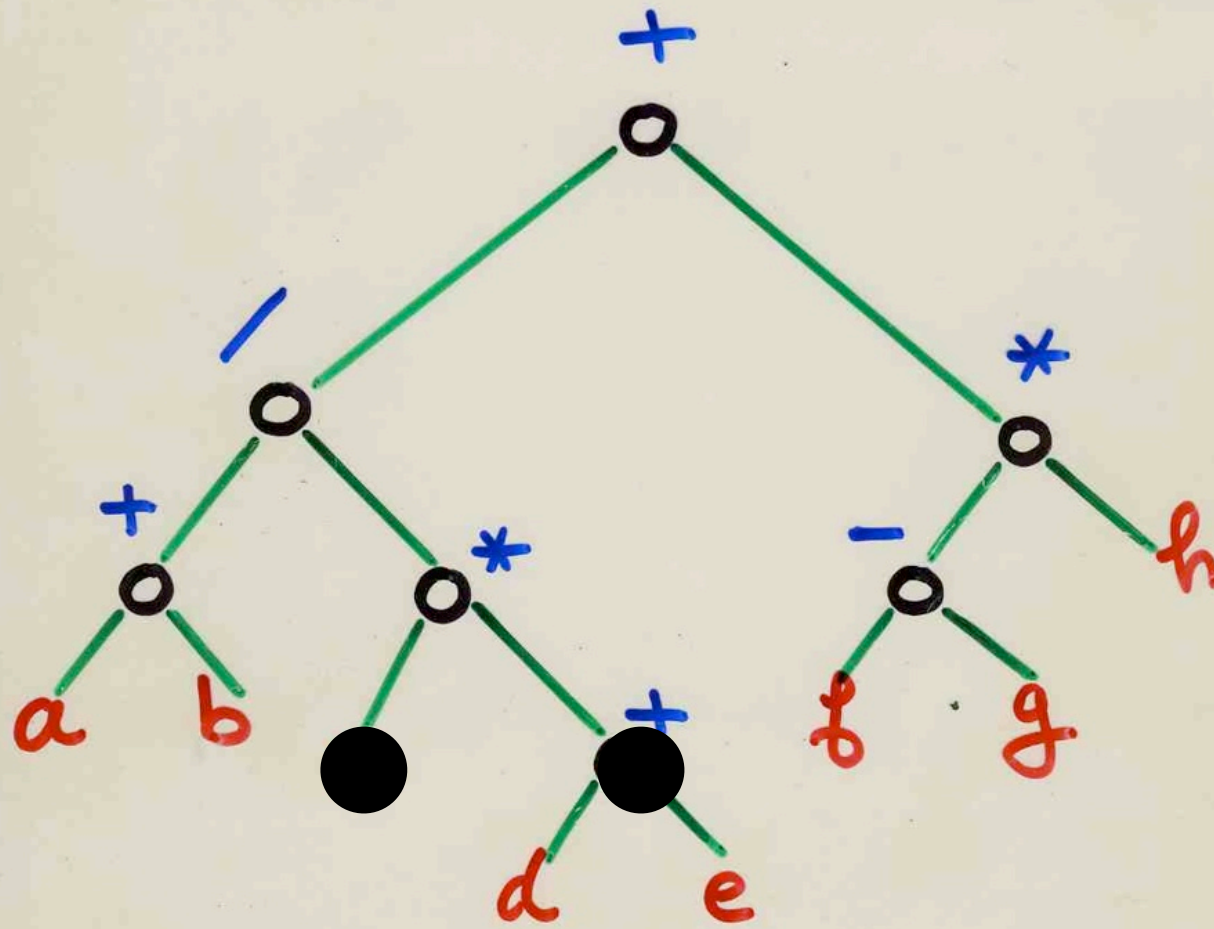
$$\frac{(a+b)}{c(d+e)} + (f-g)h$$



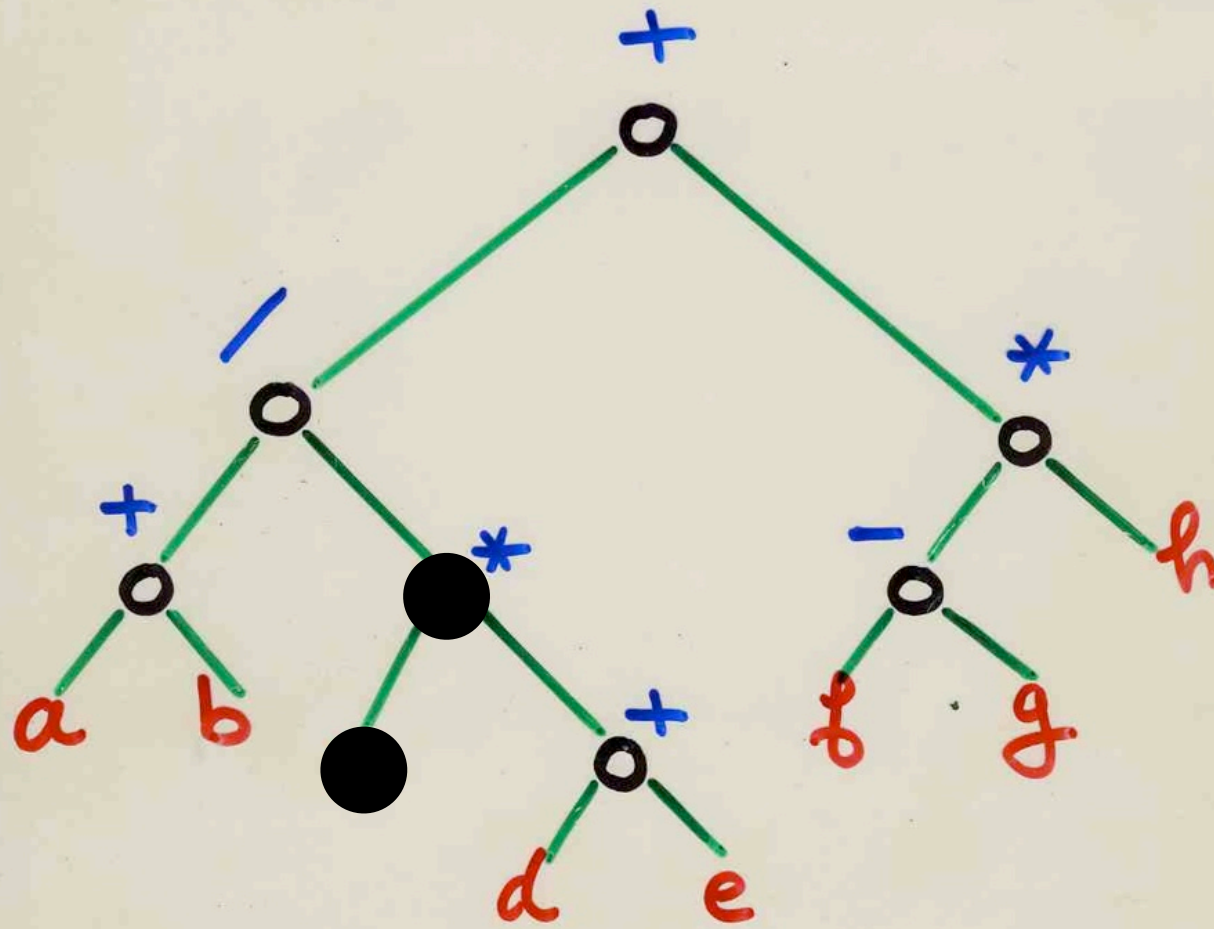
$$\frac{(a+b)}{c(d+e)} + (f-g)h$$



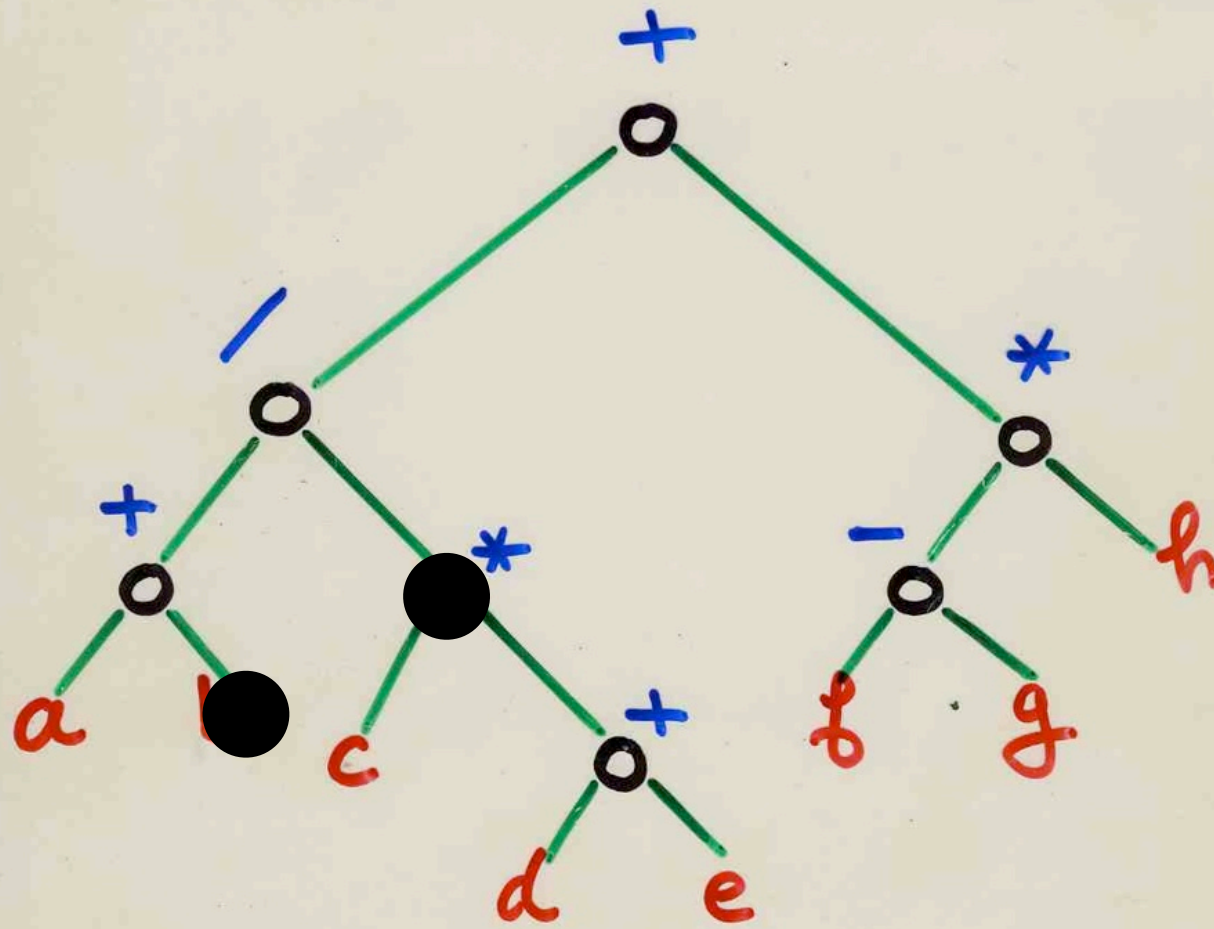
$$\frac{(a+b)}{c(d+e)} + (f-g)h$$



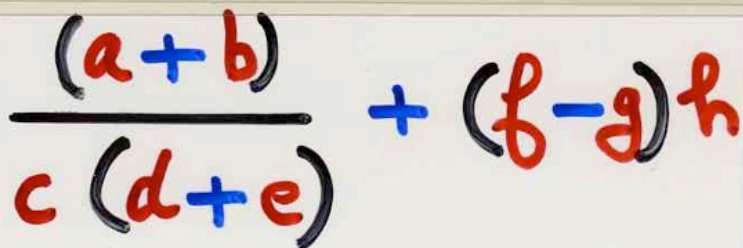
$$\frac{(a+b)}{c(d+e)} + (f-g)h$$

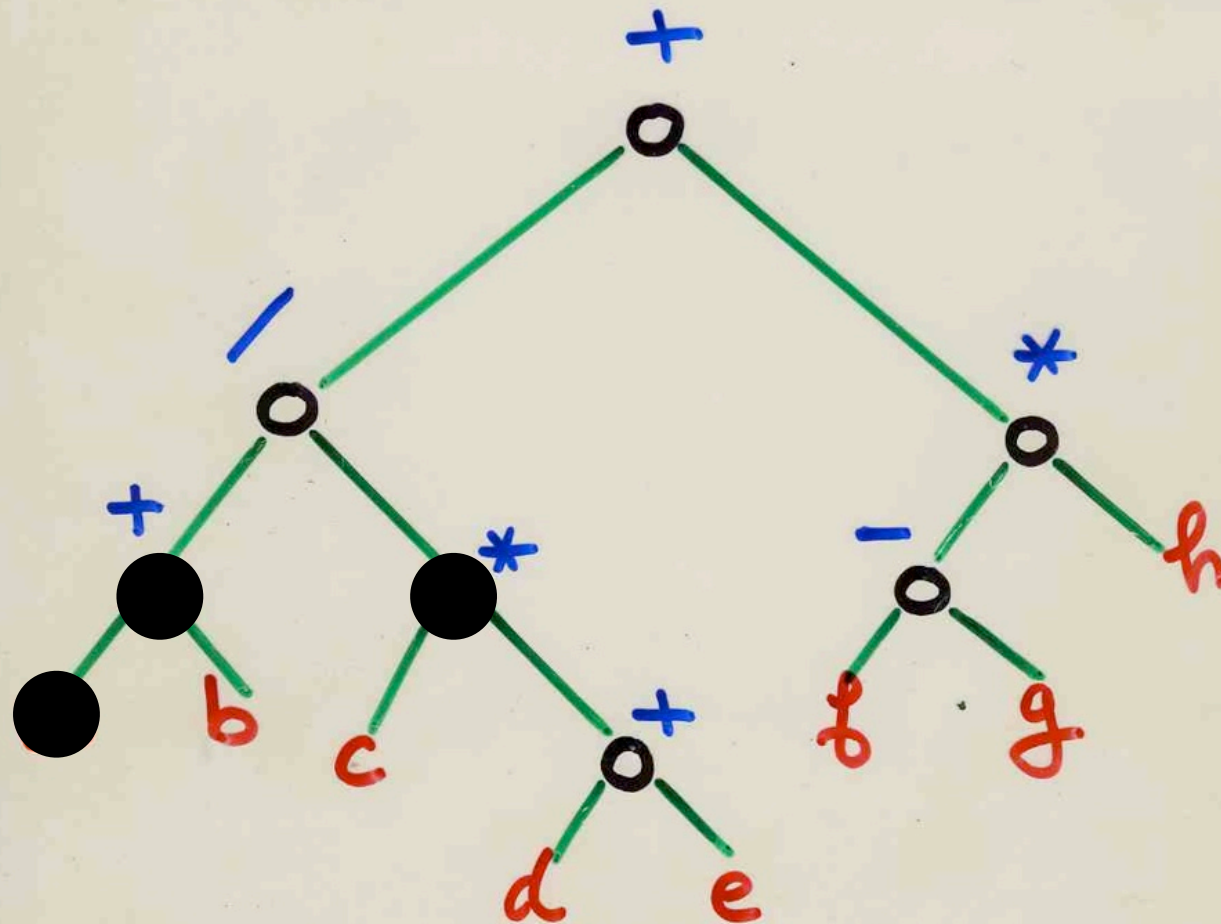


$$\frac{(a+b)}{c(d+e)} + (f-g)h$$

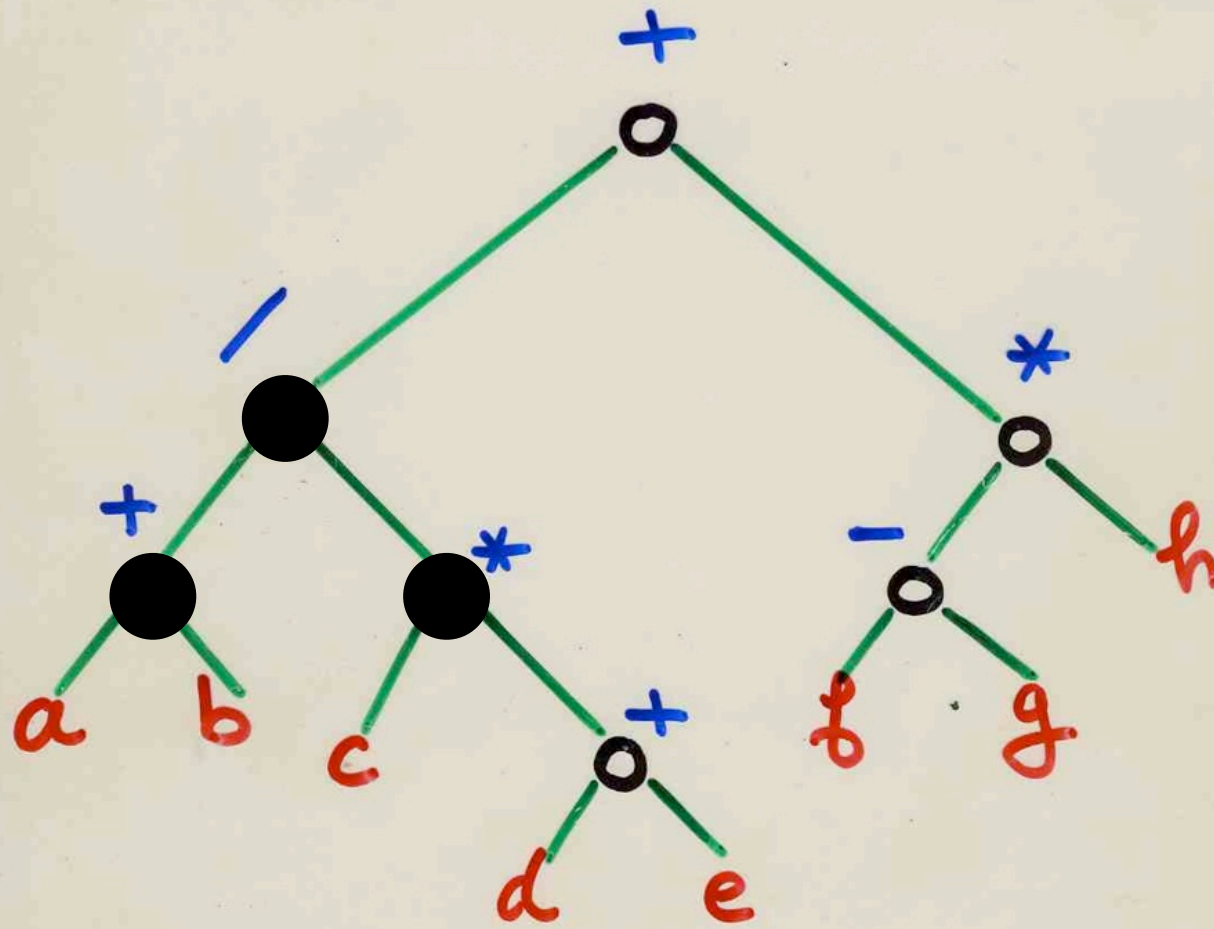


$$\frac{(a+b)}{c(d+e)} + (f-g)h$$

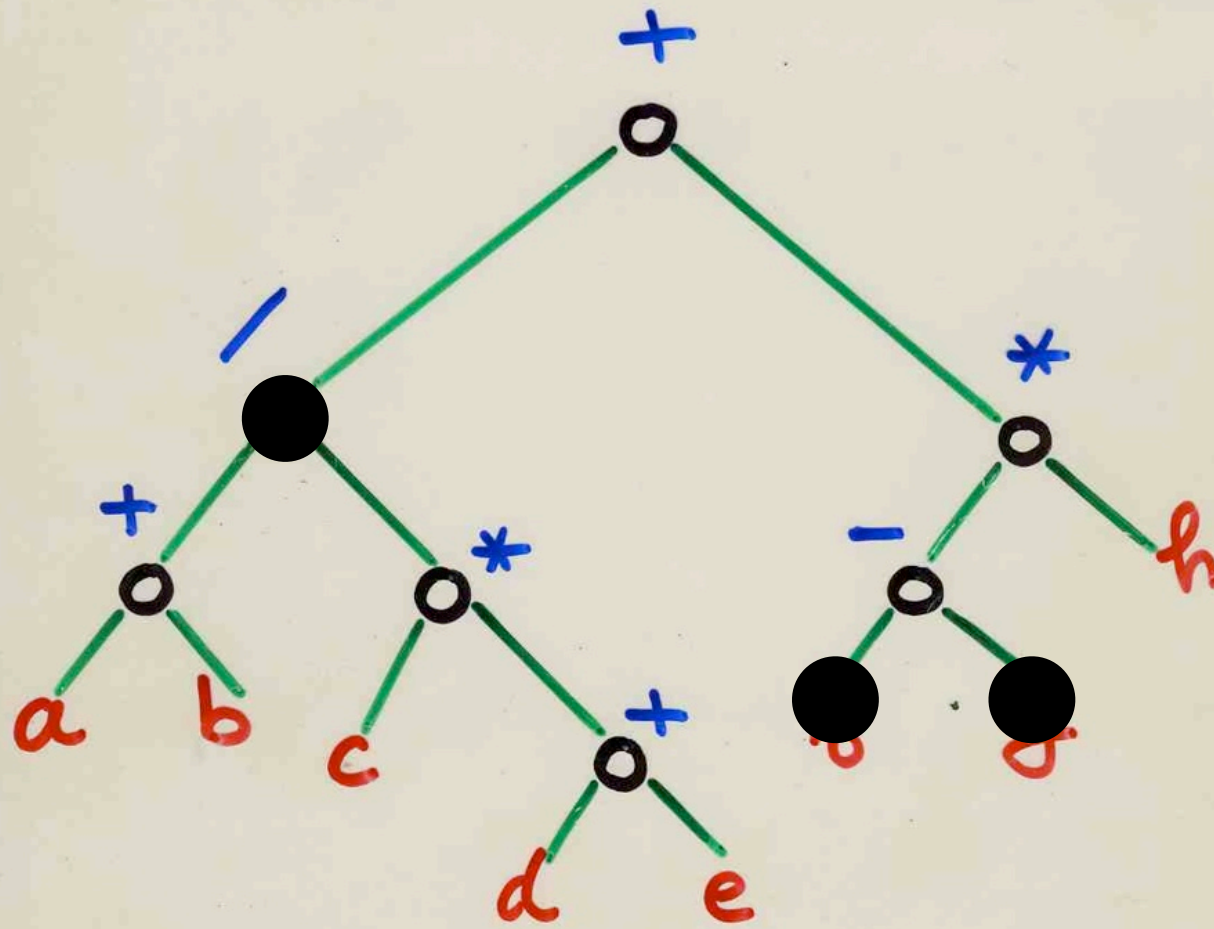




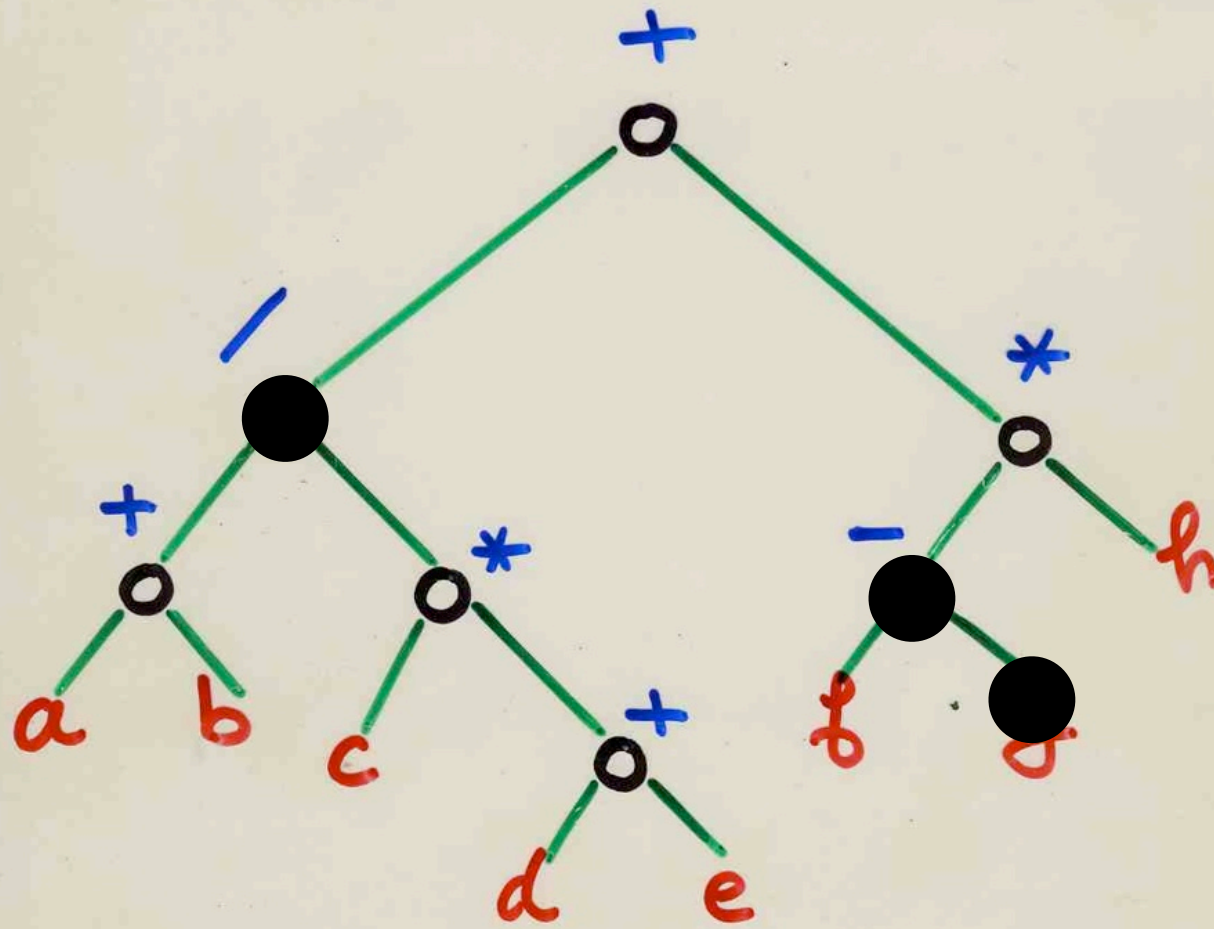
$$\frac{(a+b)}{c(d+e)} + (f-g)h$$



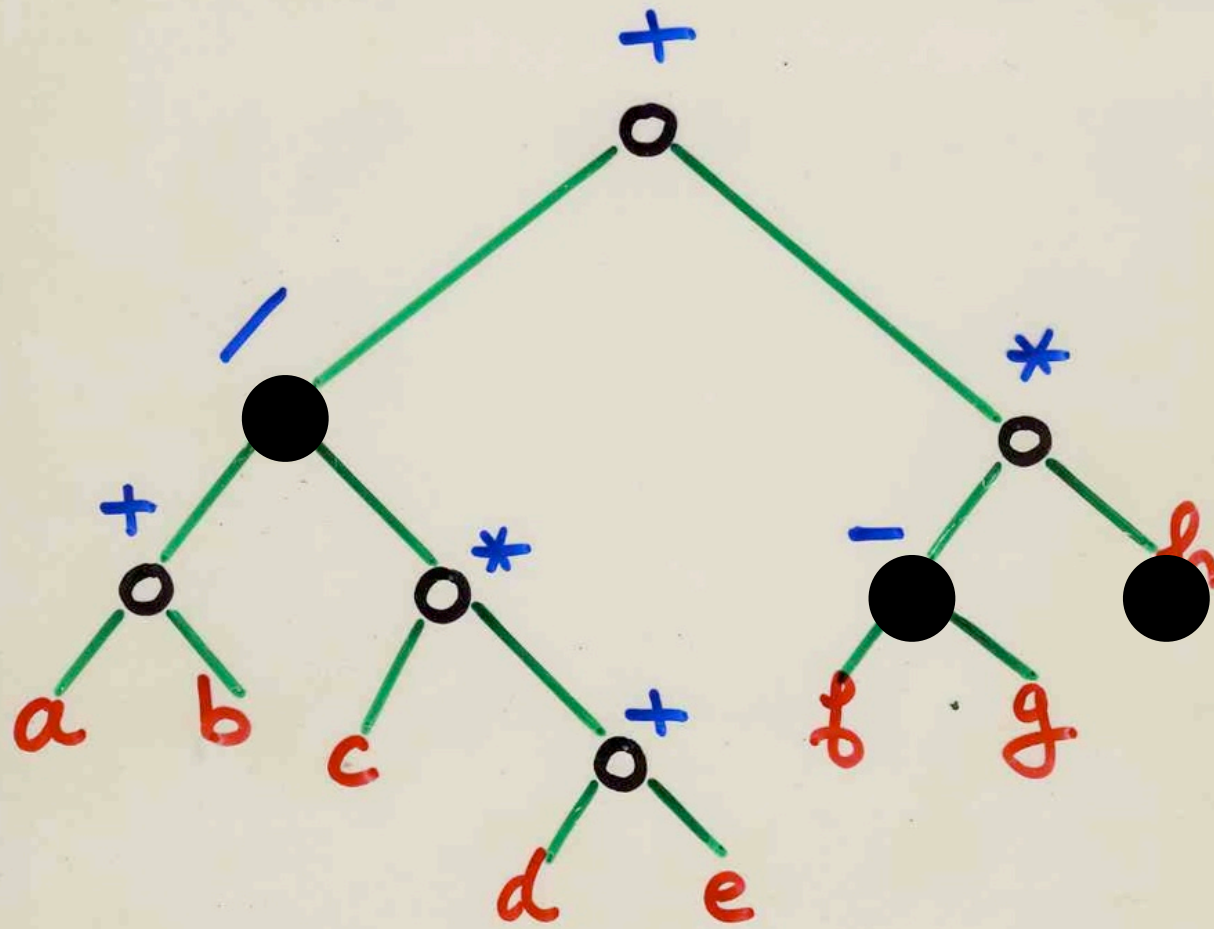
$$\frac{(a+b)}{c(d+e)} + (f-g)h$$



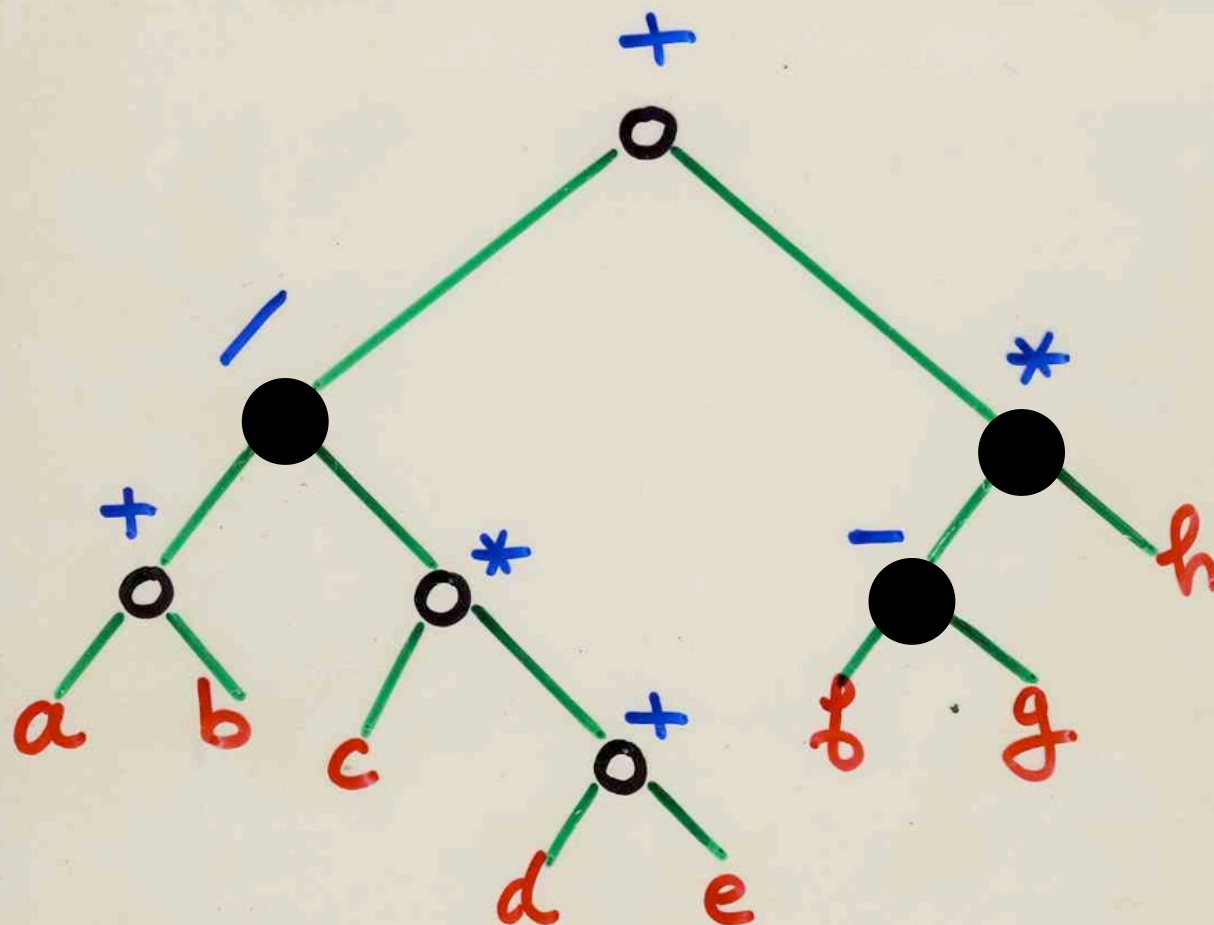
$$\frac{(a+b)}{c(d+e)} + (f-g)h$$



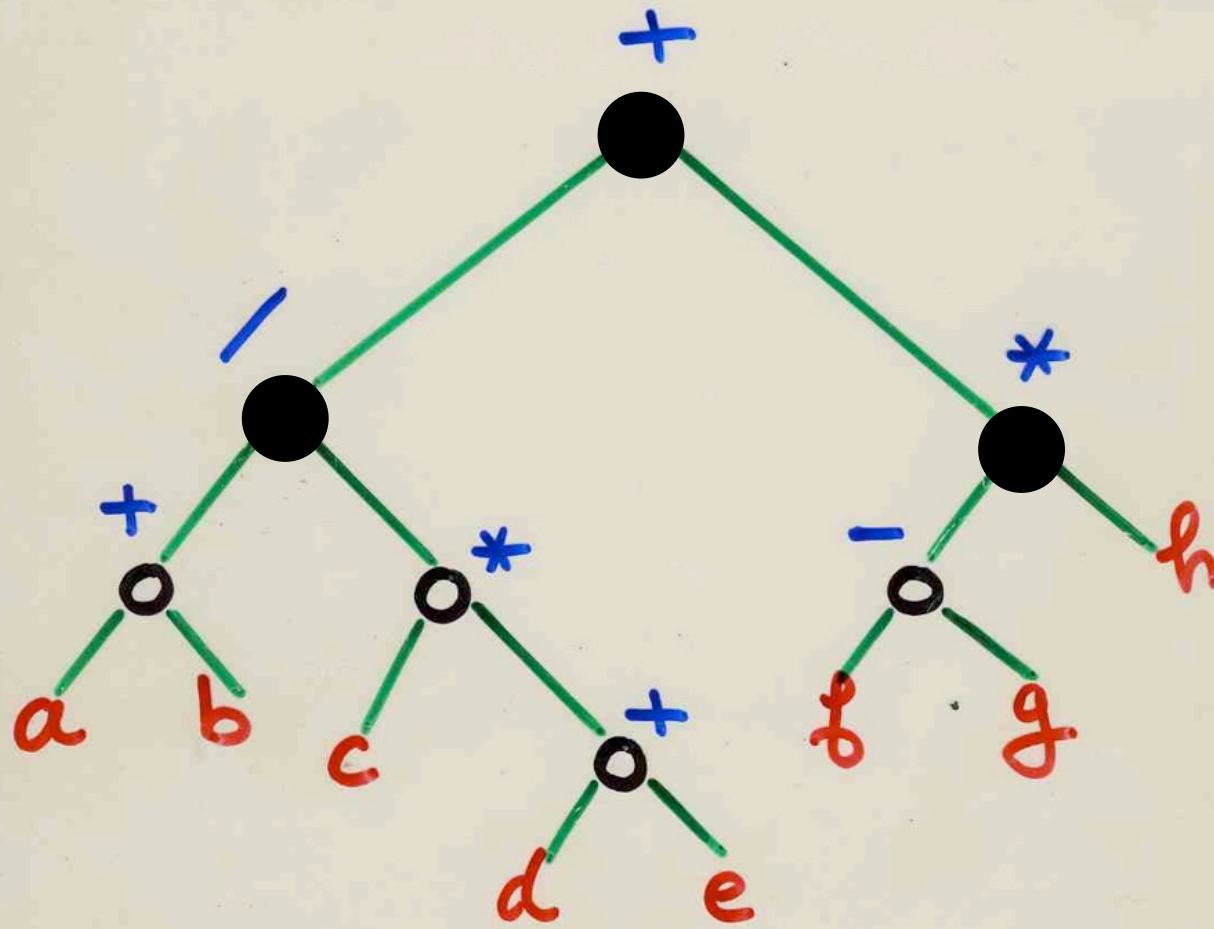
$$\frac{(a+b)}{c(d+e)} + (f-g)h$$



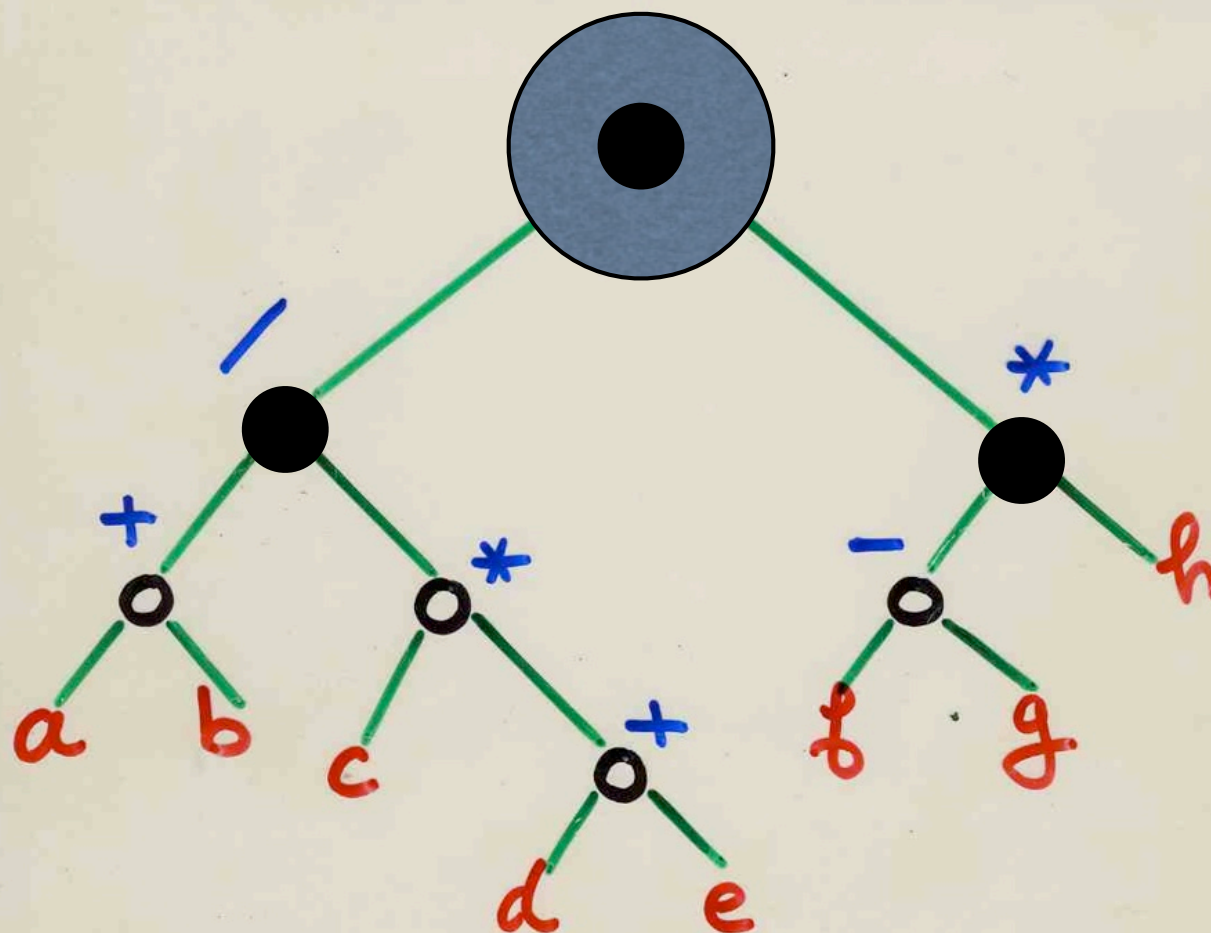
$$\frac{(a+b)}{c(d+e)} + (f-g)h$$



$$\frac{(a+b)}{c(d+e)} + (f-g)h$$



$$\frac{(a+b)}{c(d+e)} + (f-g)h$$



$$\frac{(a+b)}{c(d+e)} + (f-g)h$$

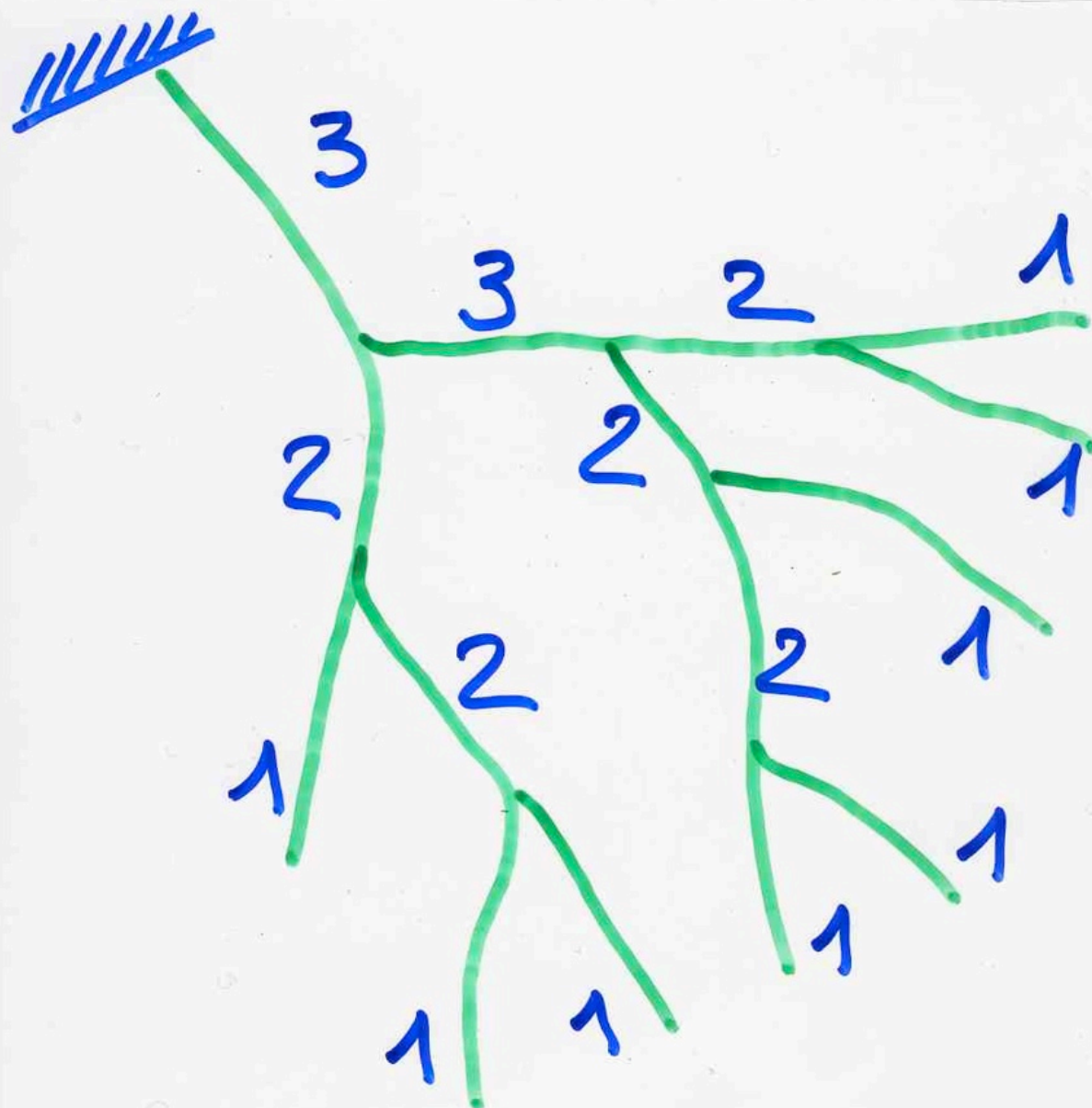
Hydrogeology

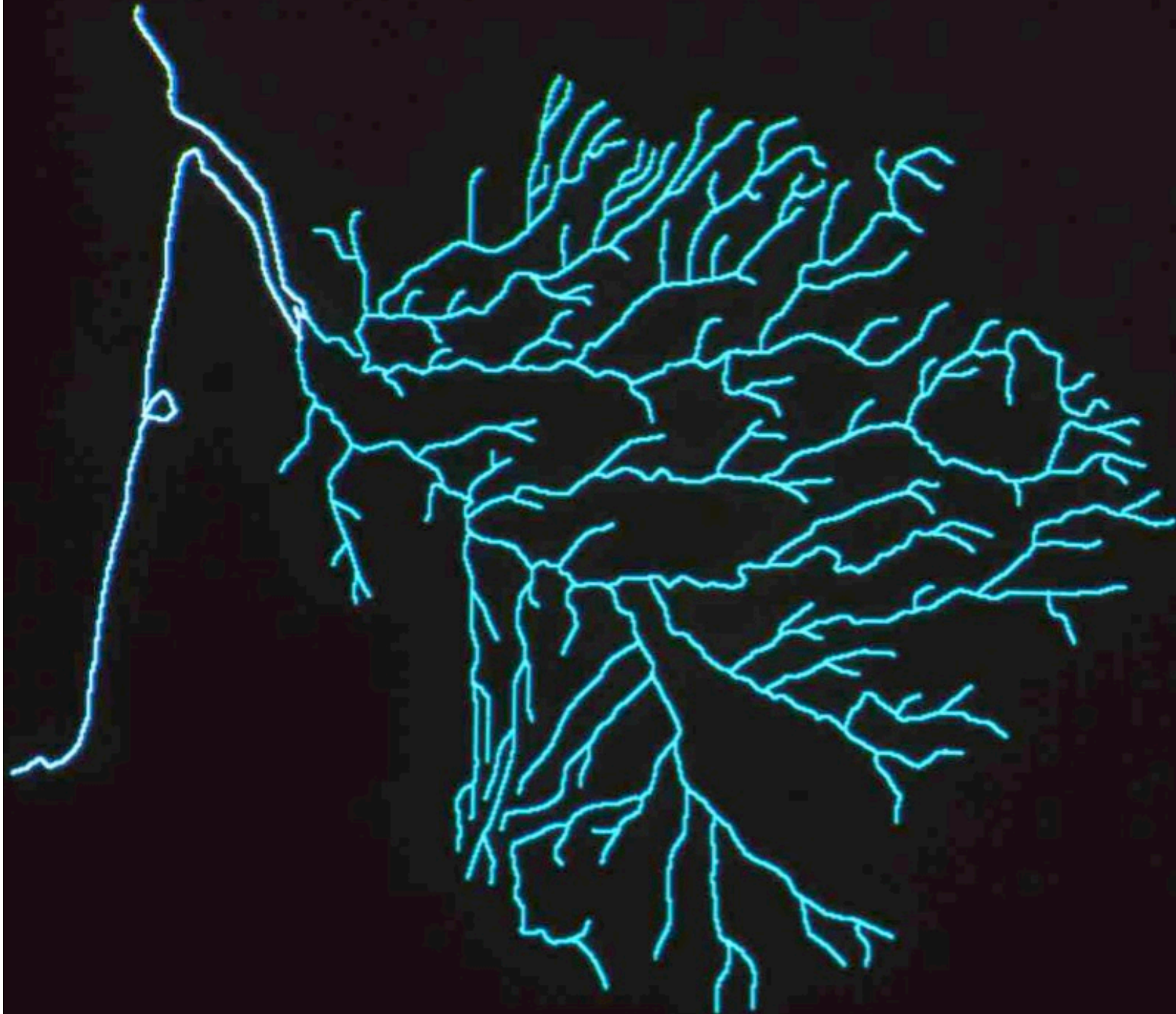
Horton (1945)

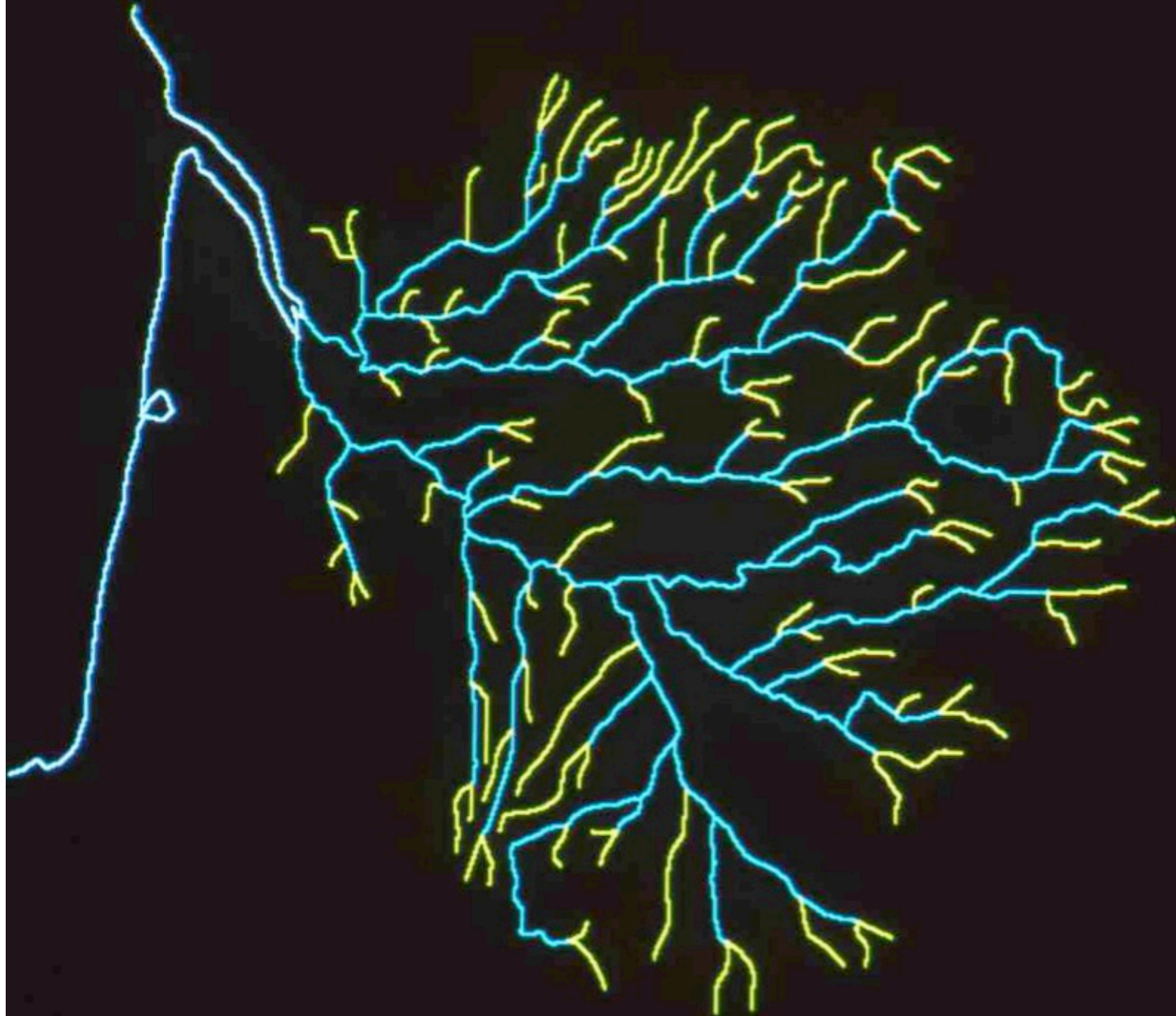
Strahler (1952)

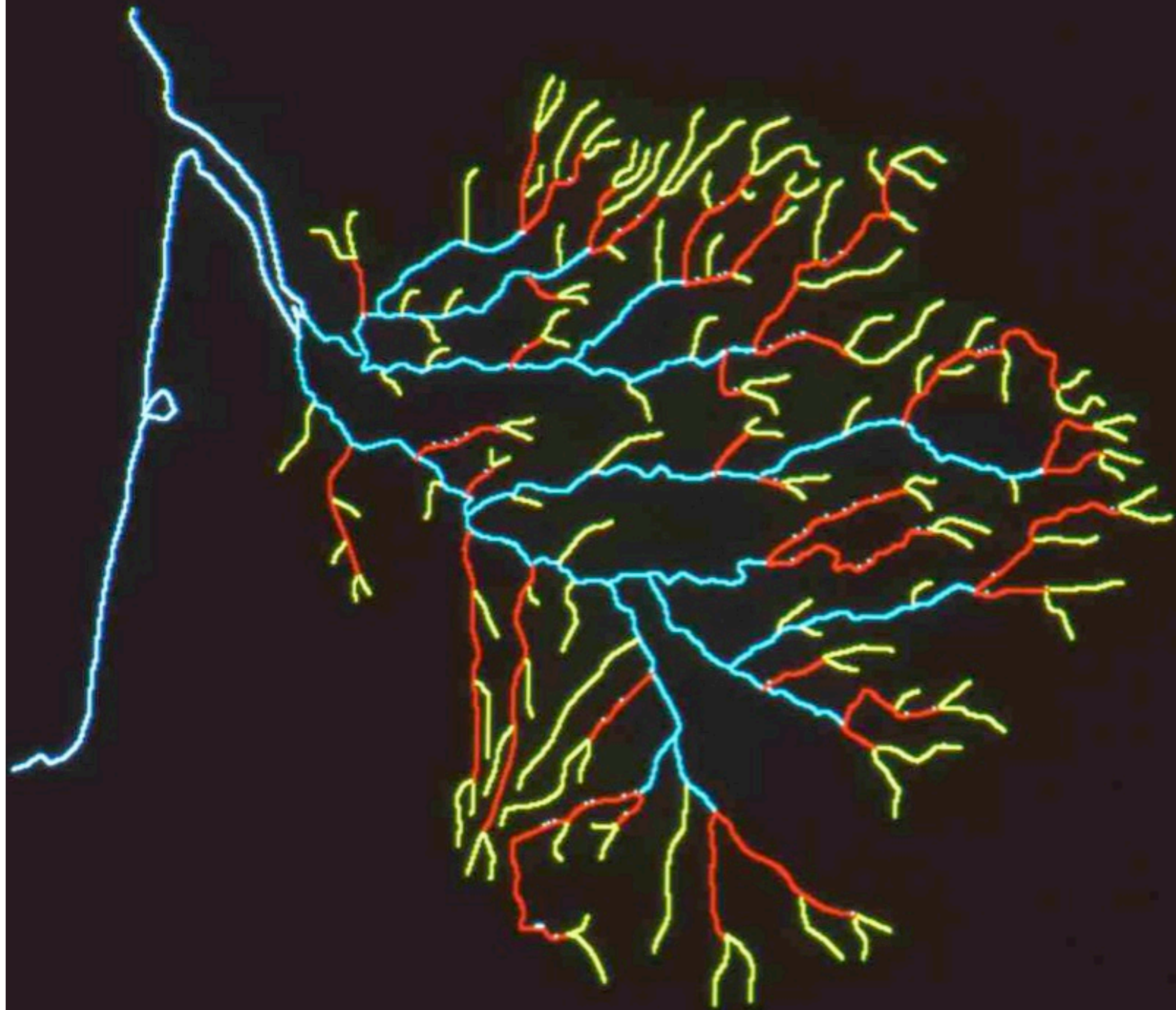
Hydrogeology

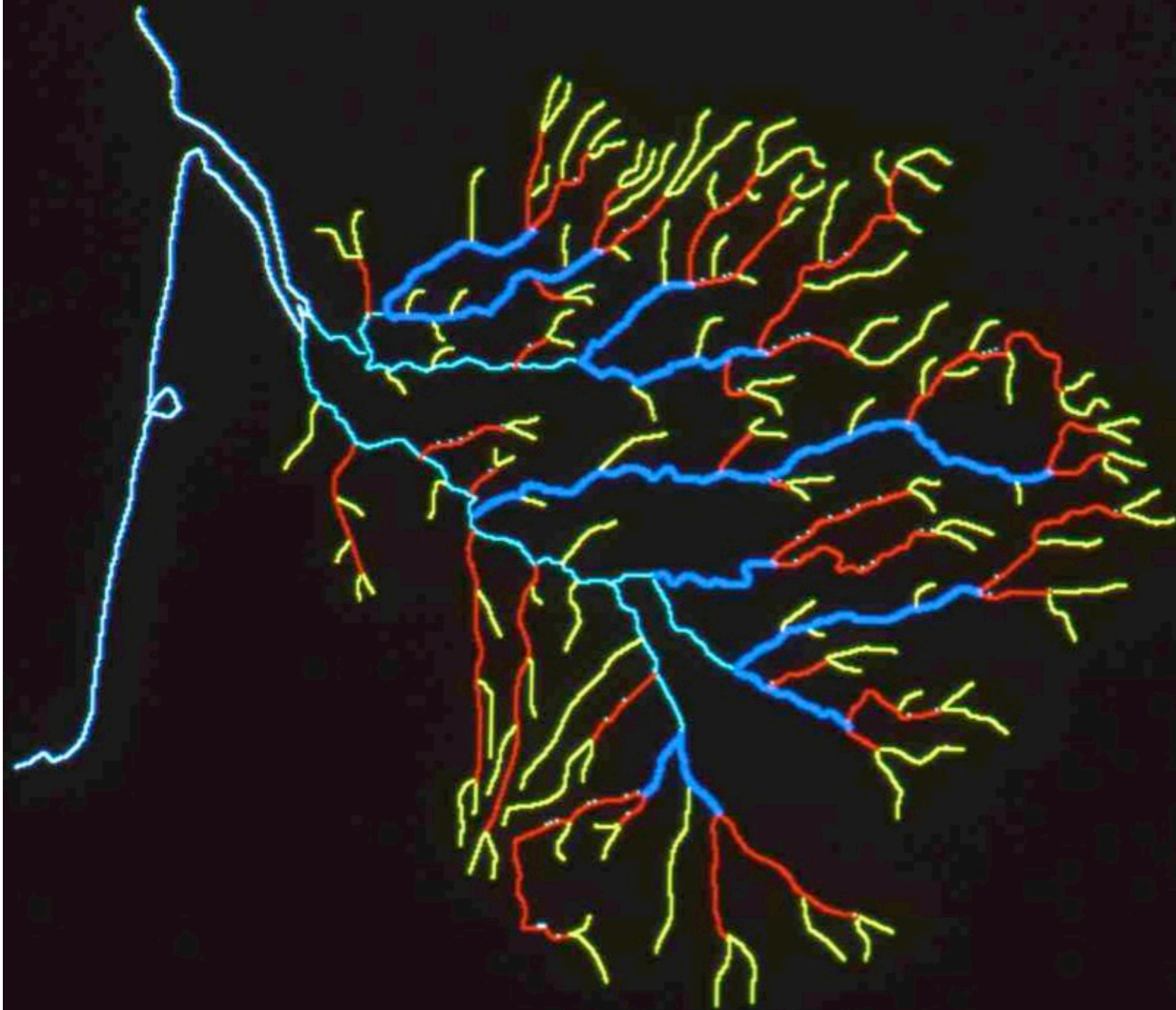
Order of a river morphology of network

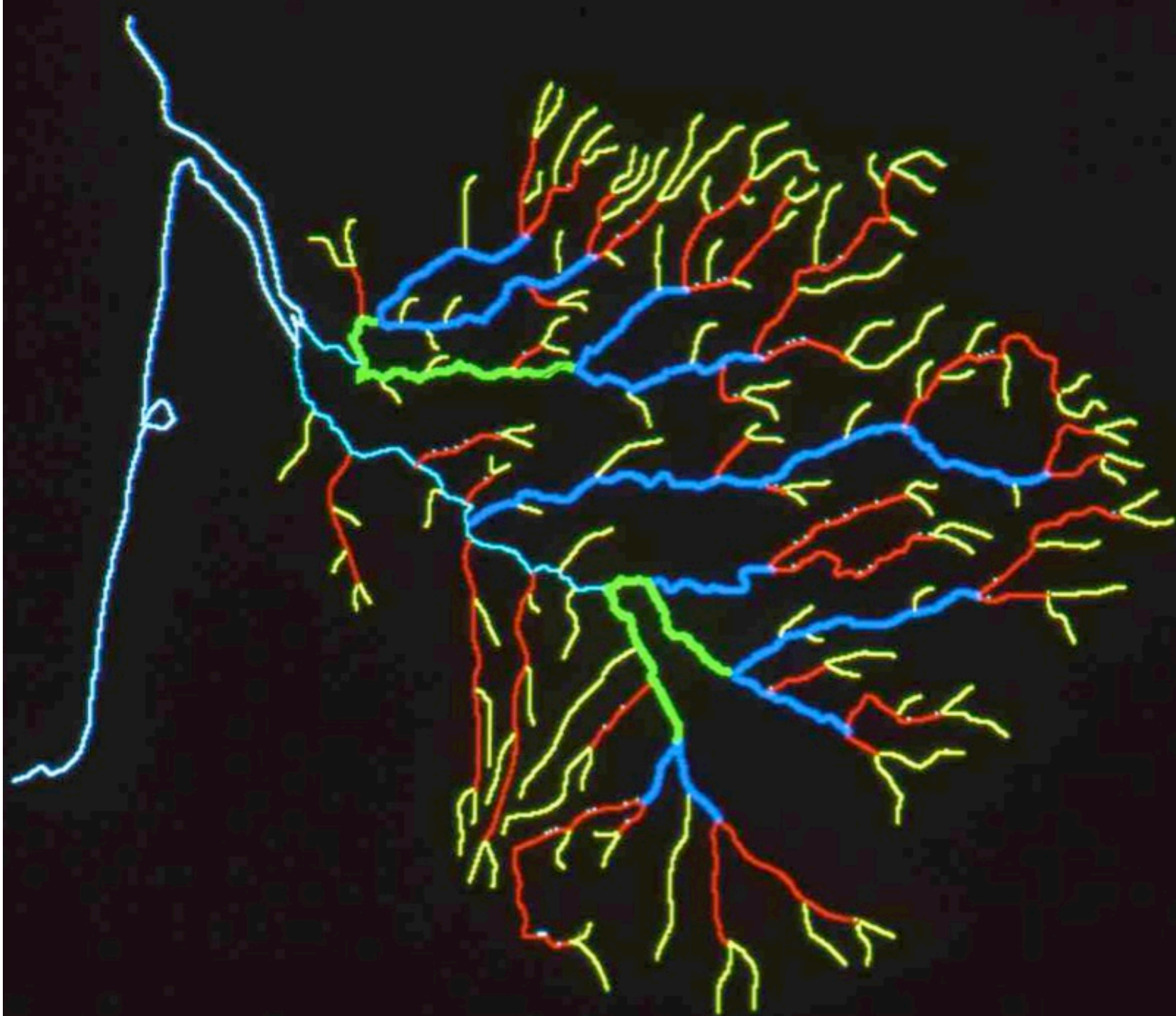


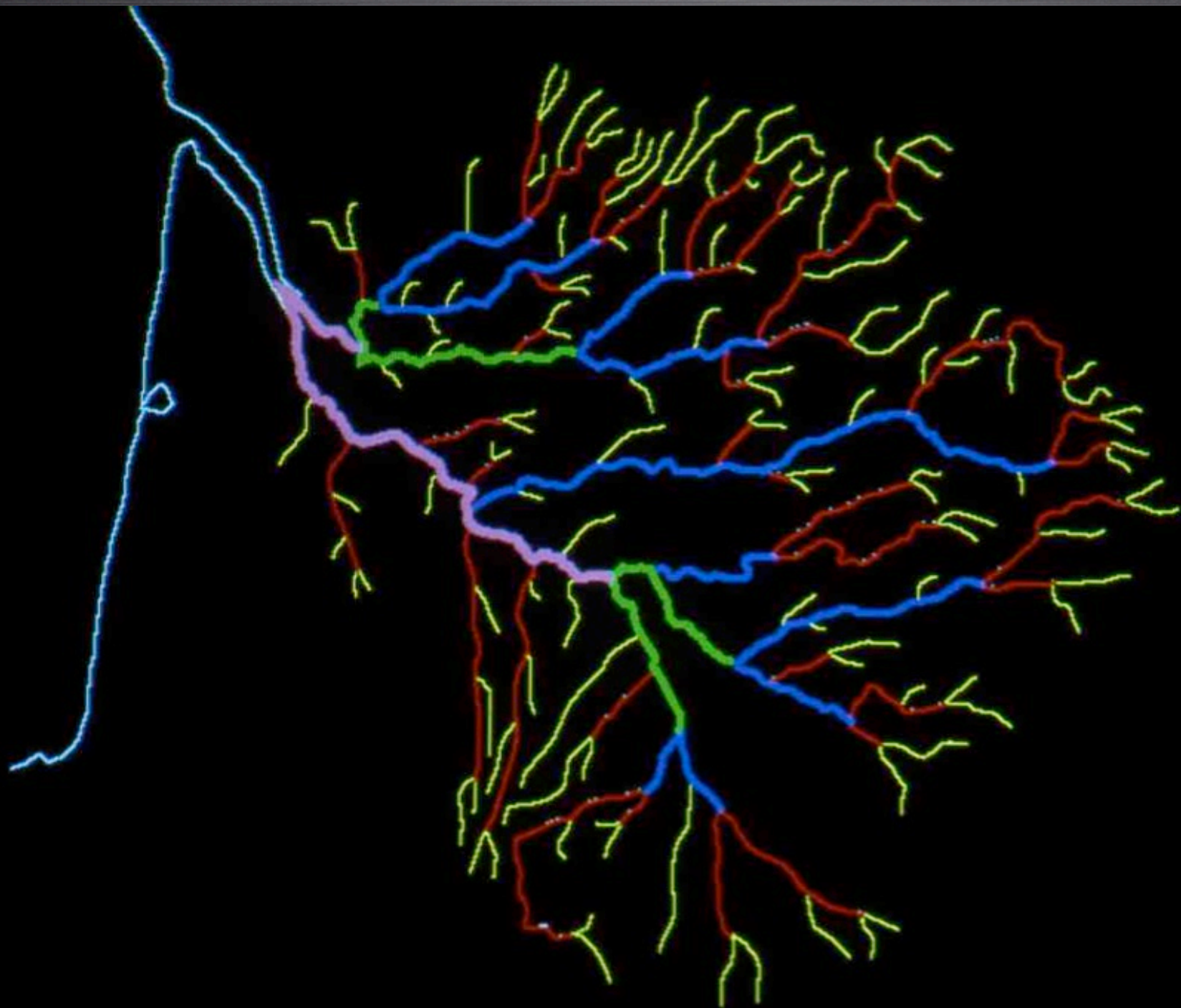


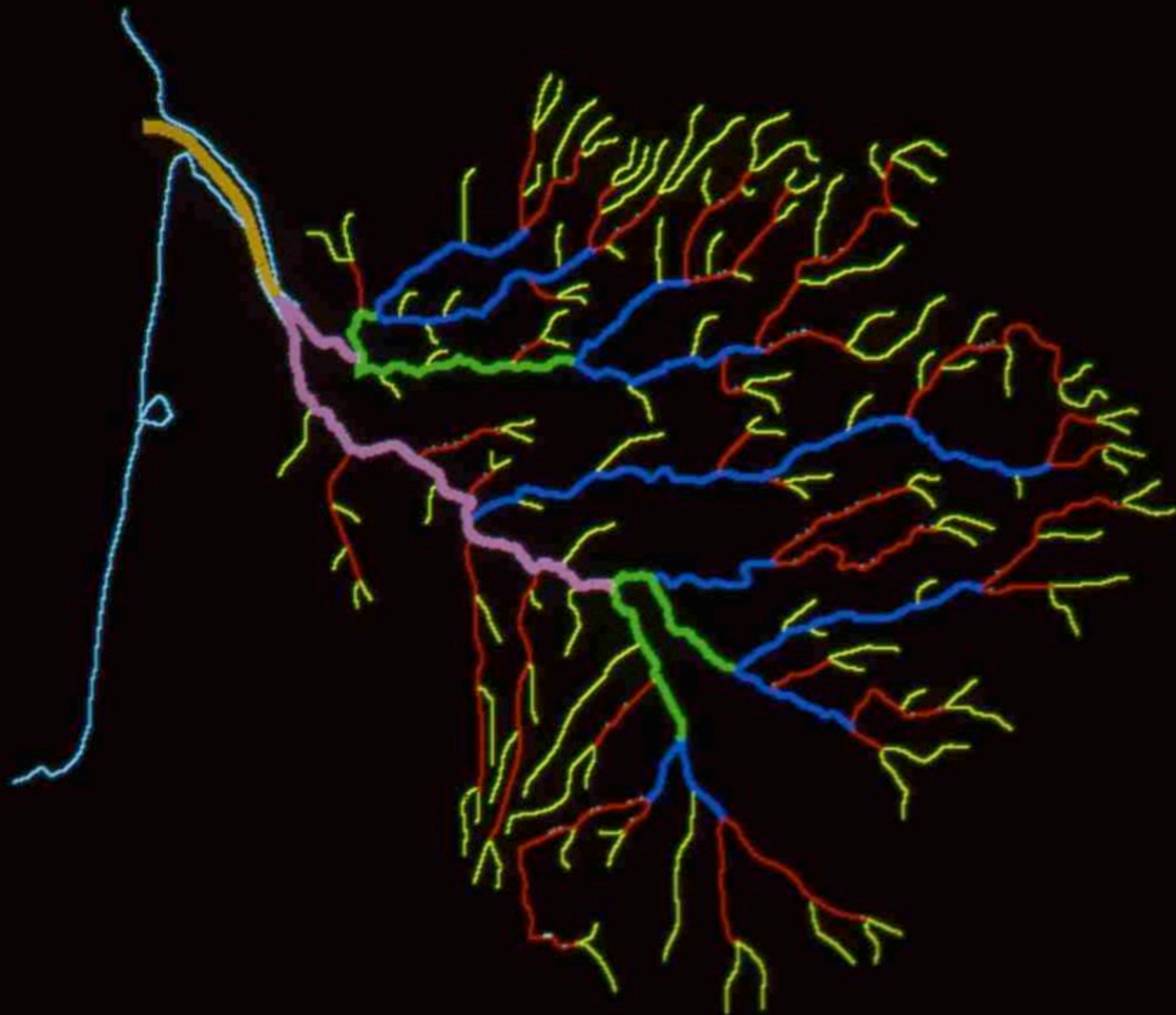












Strahler analysis
with bifurcation ratios

Segment of order k

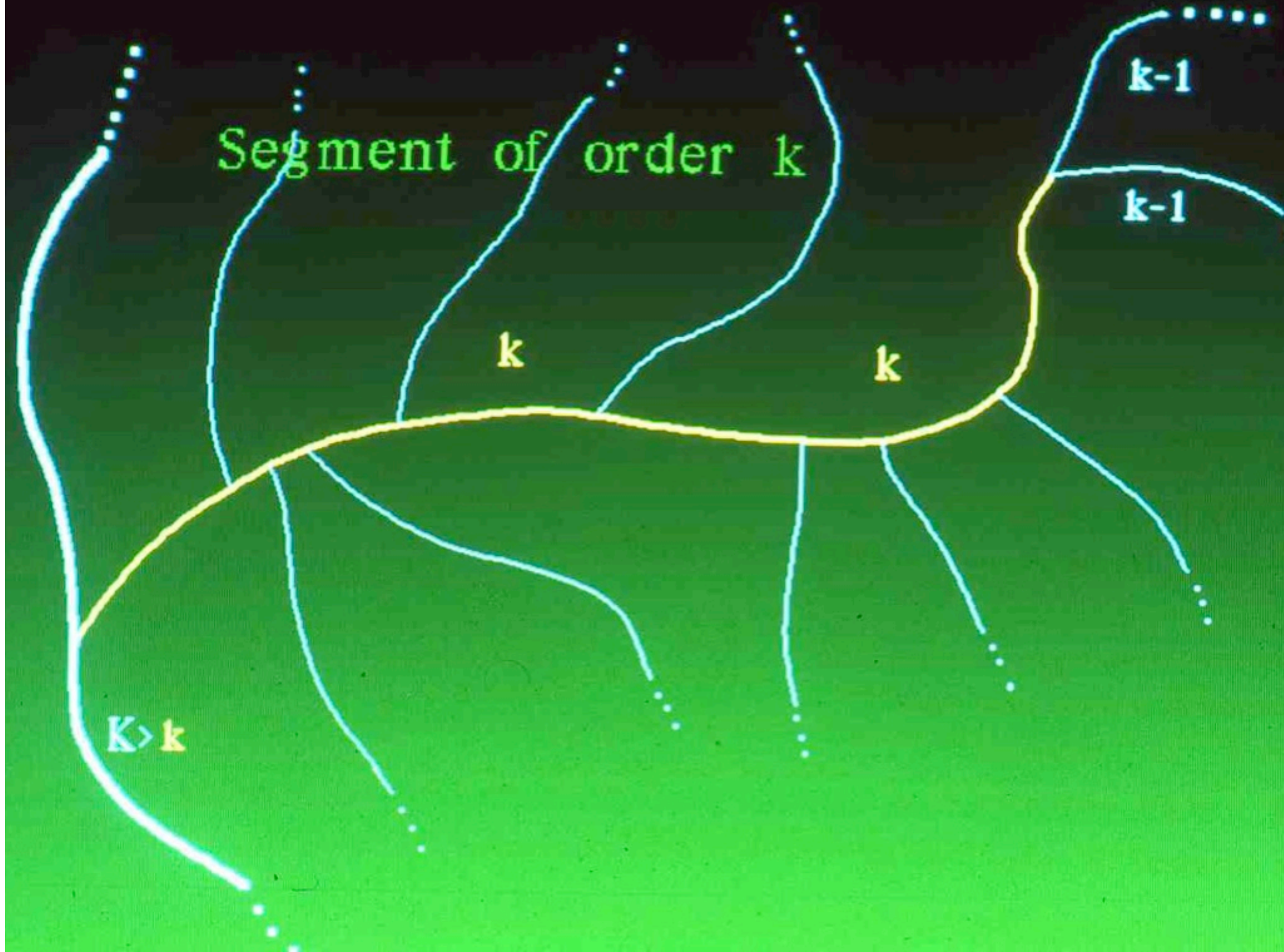
k

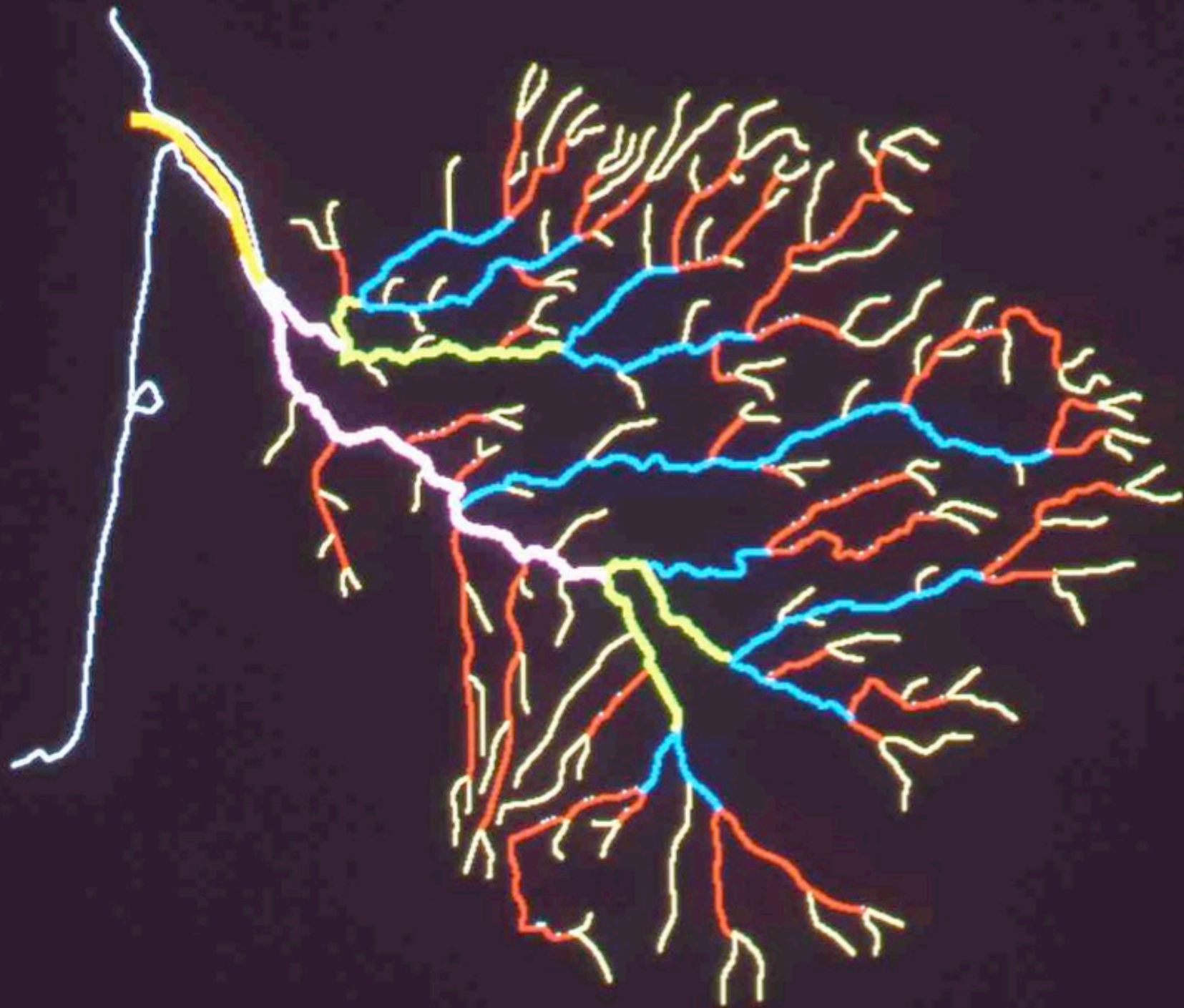
k

$k-1$

$k-1$

$K > k$



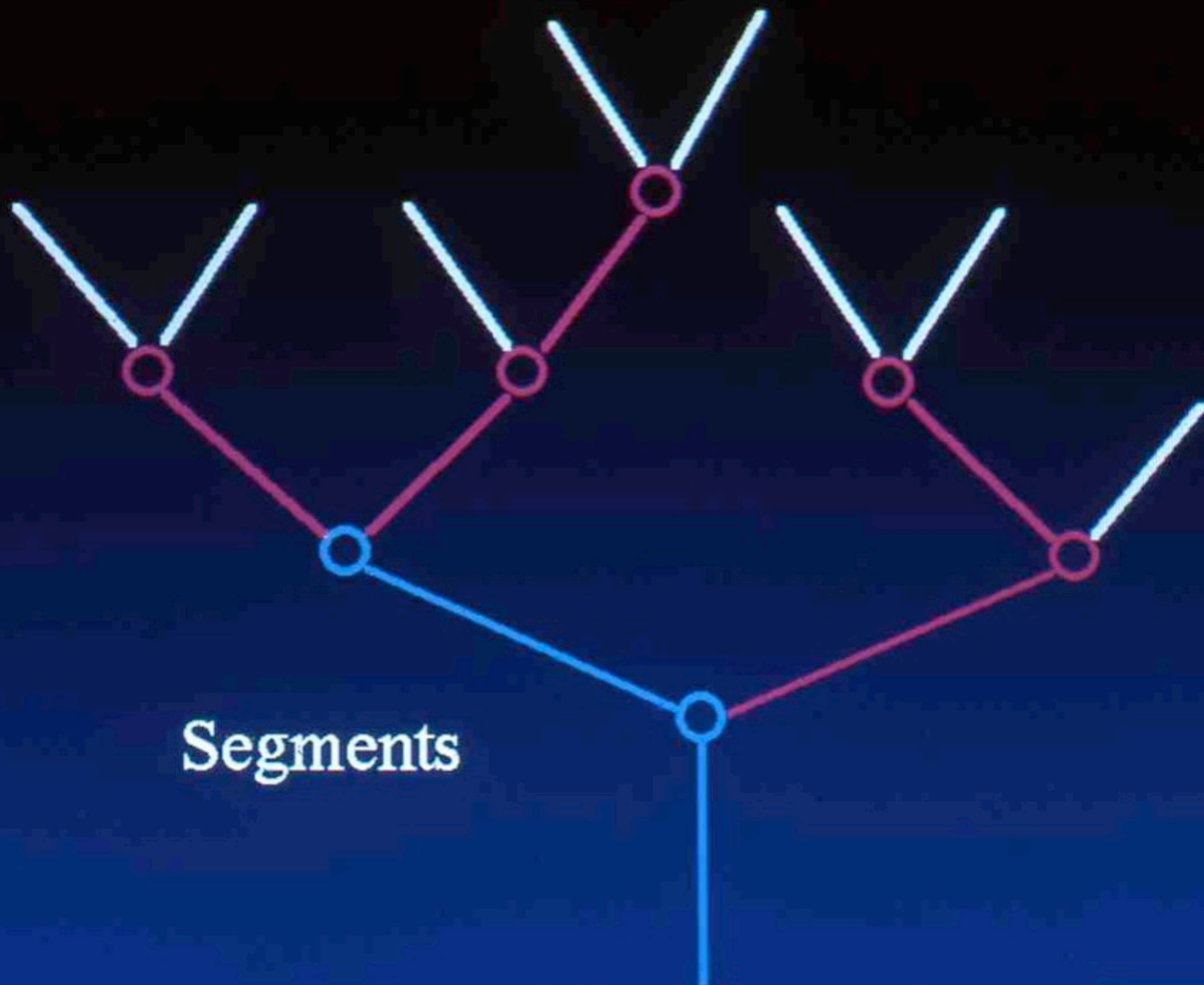


bifurcation ratio

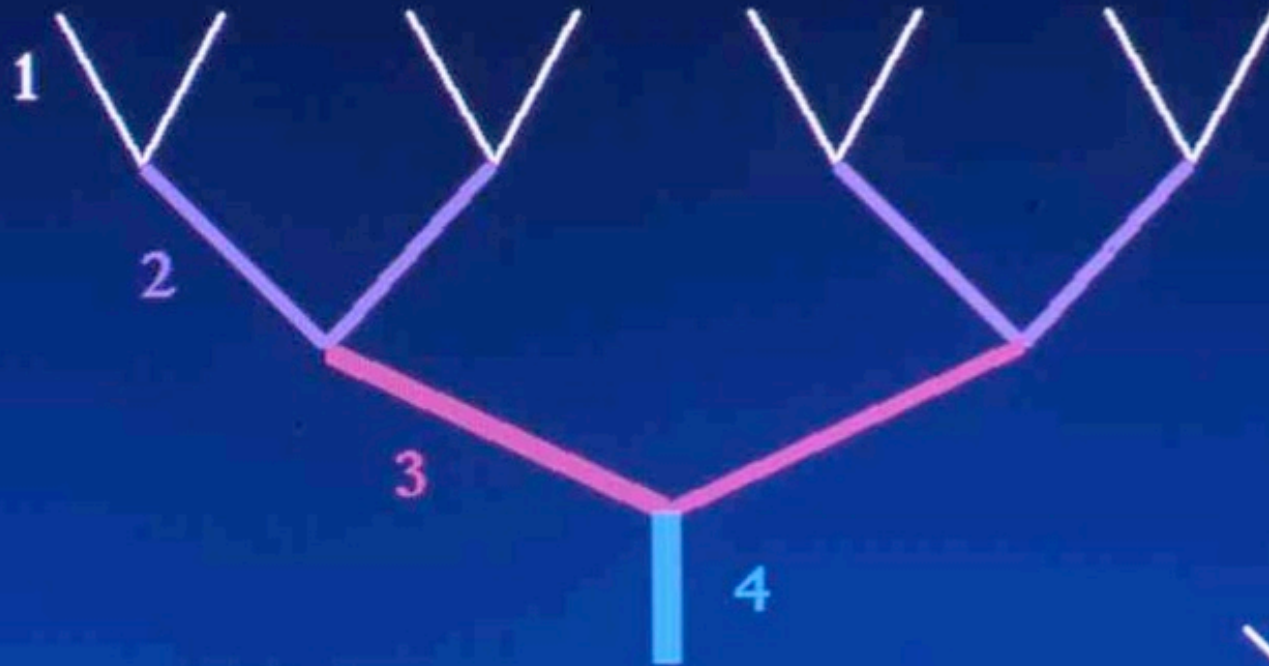
$$3 < \beta_k = \beta < 5$$

$$\beta_k = \frac{b_k}{b_{k+1}}$$

b_k = number of segments
of order k

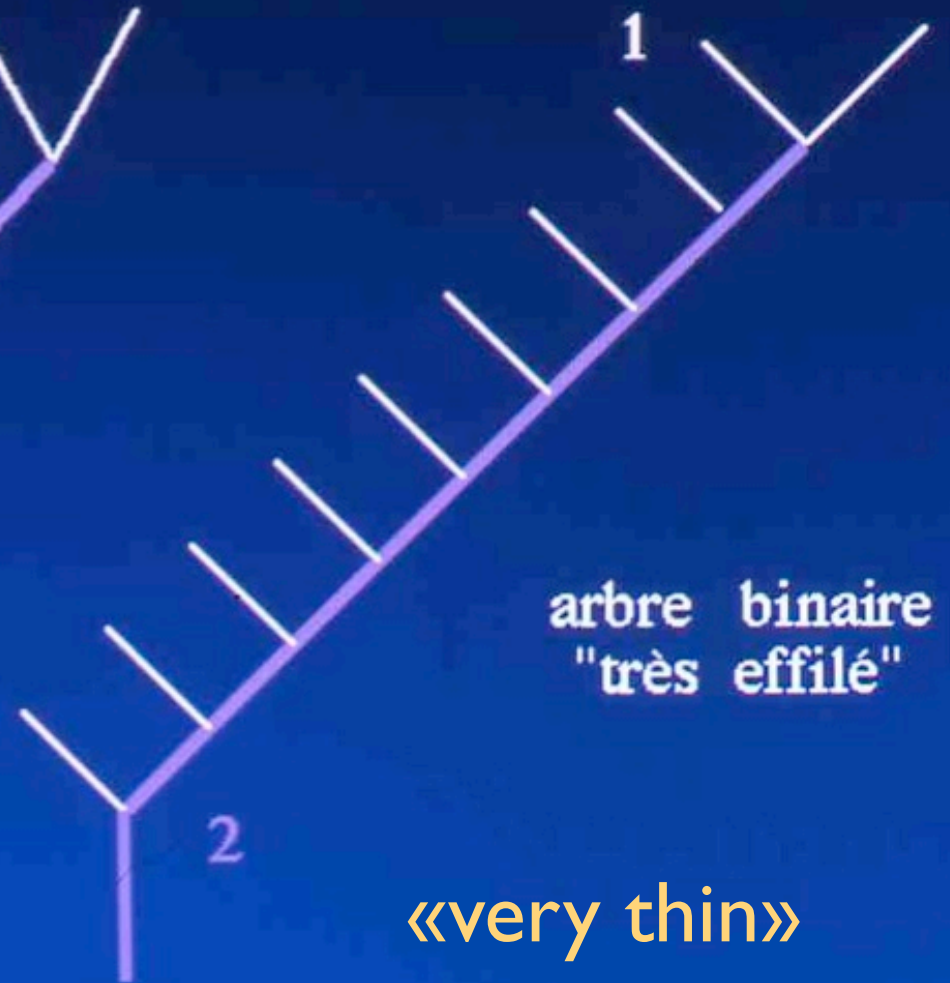


Segments



arbre binaire parfait

perfect binary tree



arbre binaire
"très effilé"

«very thin»
binary tree

bifurcation ratio

S_k^n = mean numbers of
segments of order k

(among all binary trees
with n internal vertices)

$$\frac{S_k^n}{S_{k+1}^n} = 4 - \frac{4^k}{2n} + O\left(\frac{1}{n^2}\right)$$

$$\beta_k \rightarrow \beta = 4$$

Moon
1980

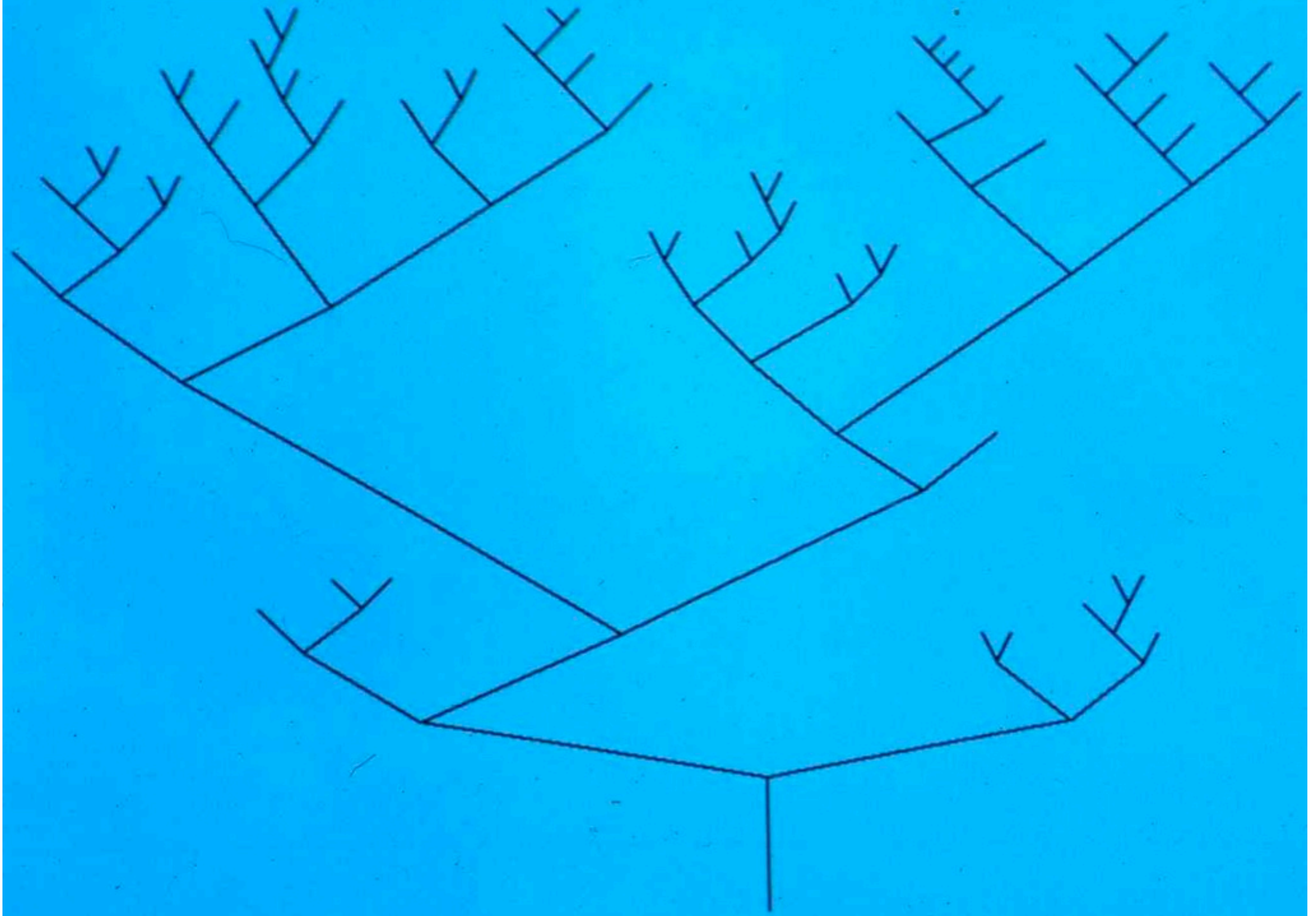
correlation between the «shape» of the river network
and
the structure of the deep underground

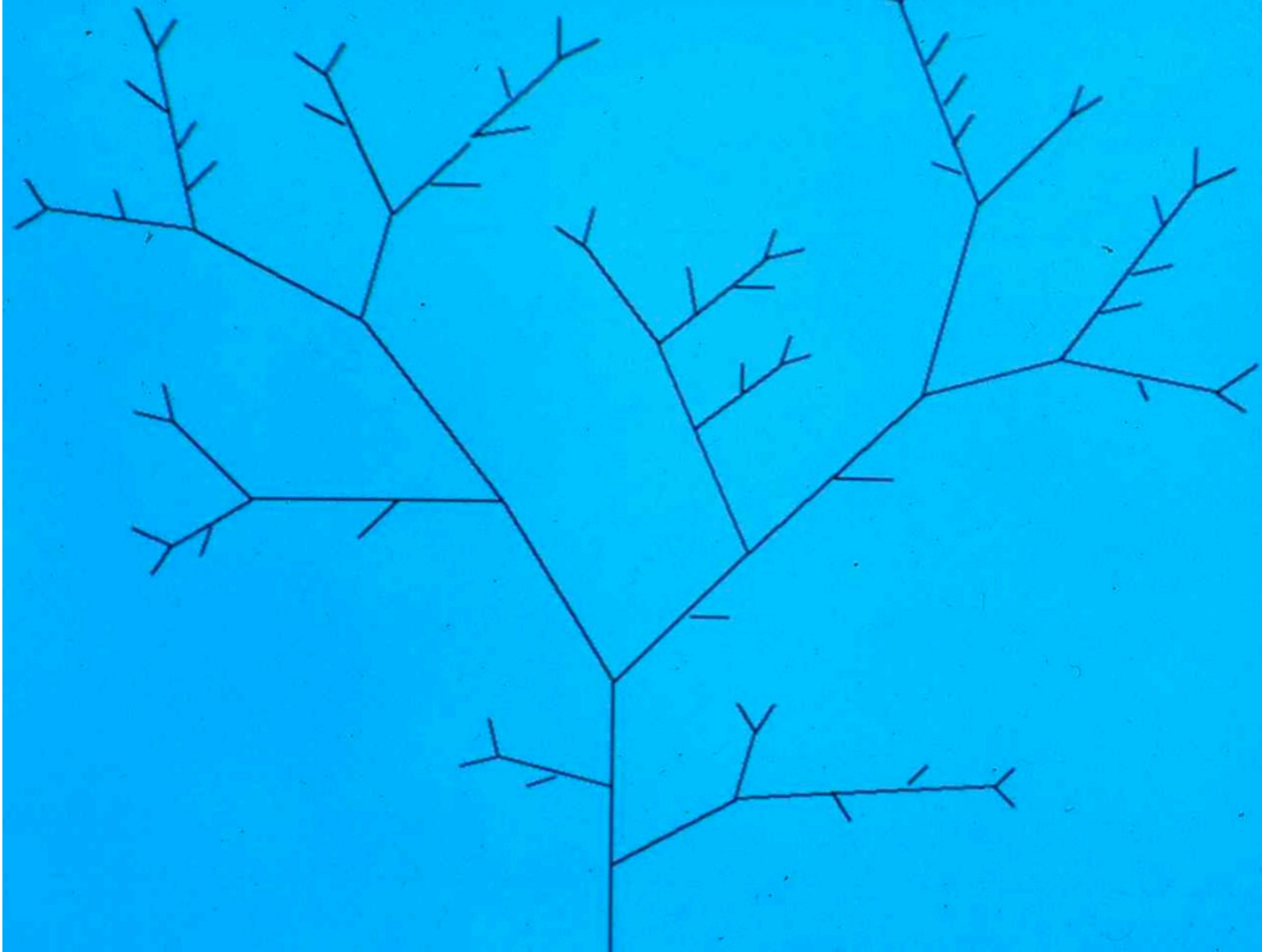
Prud'homme, Nadeau, Vigneaux, 1970, 1980

computer graphics

ramification matrix of
a binary tree

Arquès, Eyrolles, Janey, X.V.









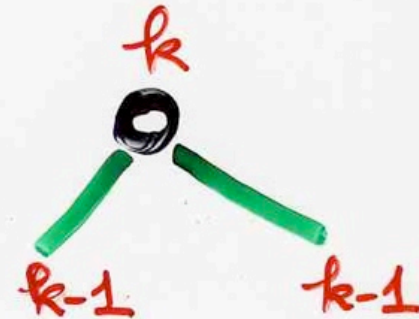
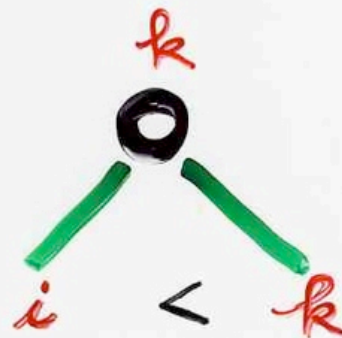


Synthetic images of
trees, leaves, landscapes ...

Arques, Eyrolles, Janey, X.V.

A\$A

Ramification
matrix



$$P_{k,i} = \frac{b_{k,i}}{a_k}$$

random
binary
search tree



2 : 4000	6000									
3 : 2000	3000	5000								
4 : 1000	2000	3000	4000							
5 : 500	1000	2000	3000	3500						
6 : 250	500	1000	2000	3000	3250					
7 : 125	250	500	1000	2000	3000	3125				
8 : 63	125	250	500	1000	2000	3000	3062			
9 : 31	63	125	250	500	1000	2000	3000	3031		
10 : 15	31	63	125	250	500	1000	2000	3000	3016	
11 : 7	15	31	63	125	250	500	1000	2000	3000	3024

random
binary tree

Arbre binaire aléatoire
en 3D



perfect tree



2 : 0
3 : 0
4 : 0
5 : 0
6 : 0
7 : 0
8 : 0
9 : 0
10 : 0
11 : 0

10000
0
0
0
0
0
0
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0
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10000
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10000
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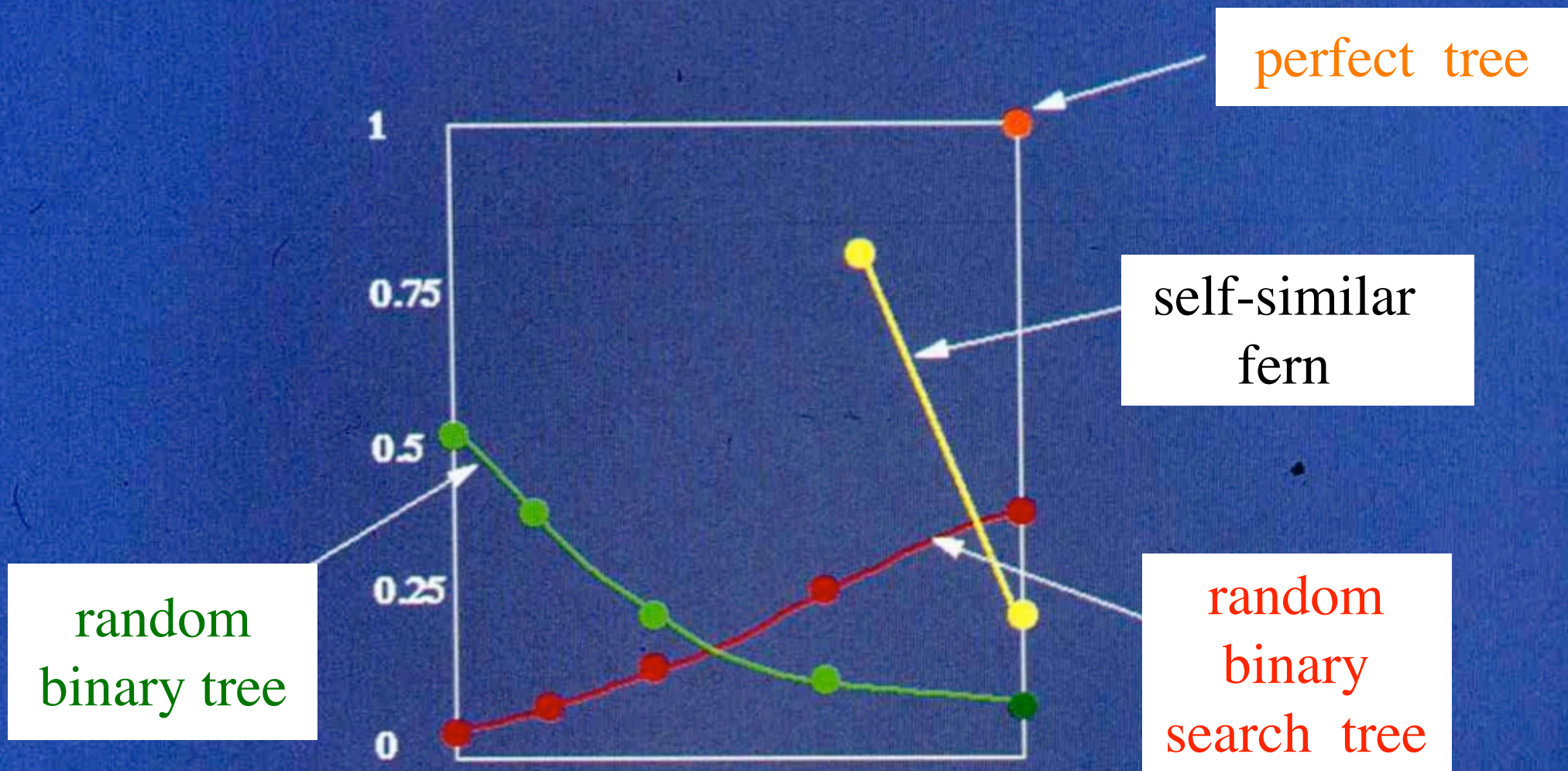
10000
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A\$A



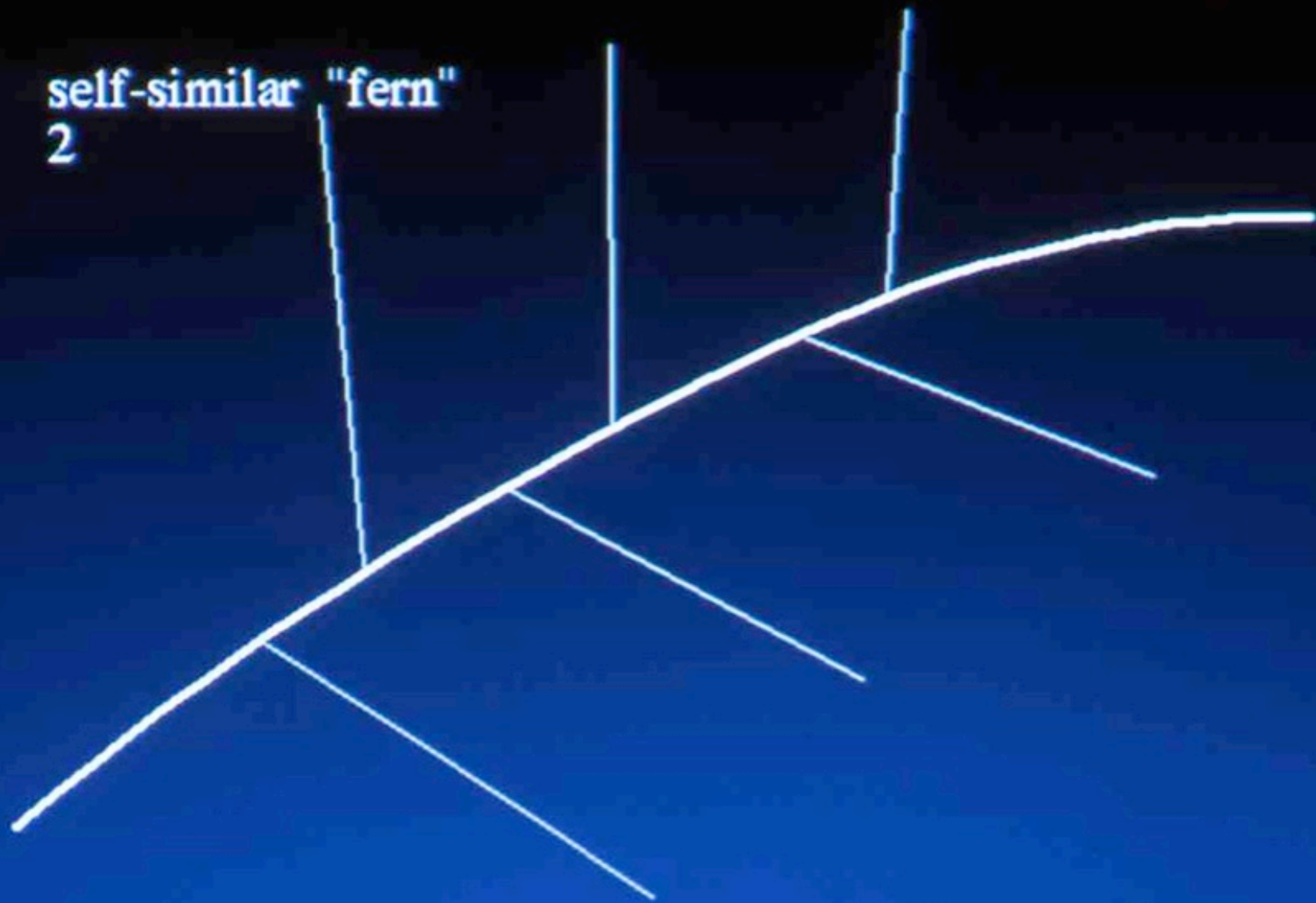
self-similar ramification matrices

self-similar "fern"

1



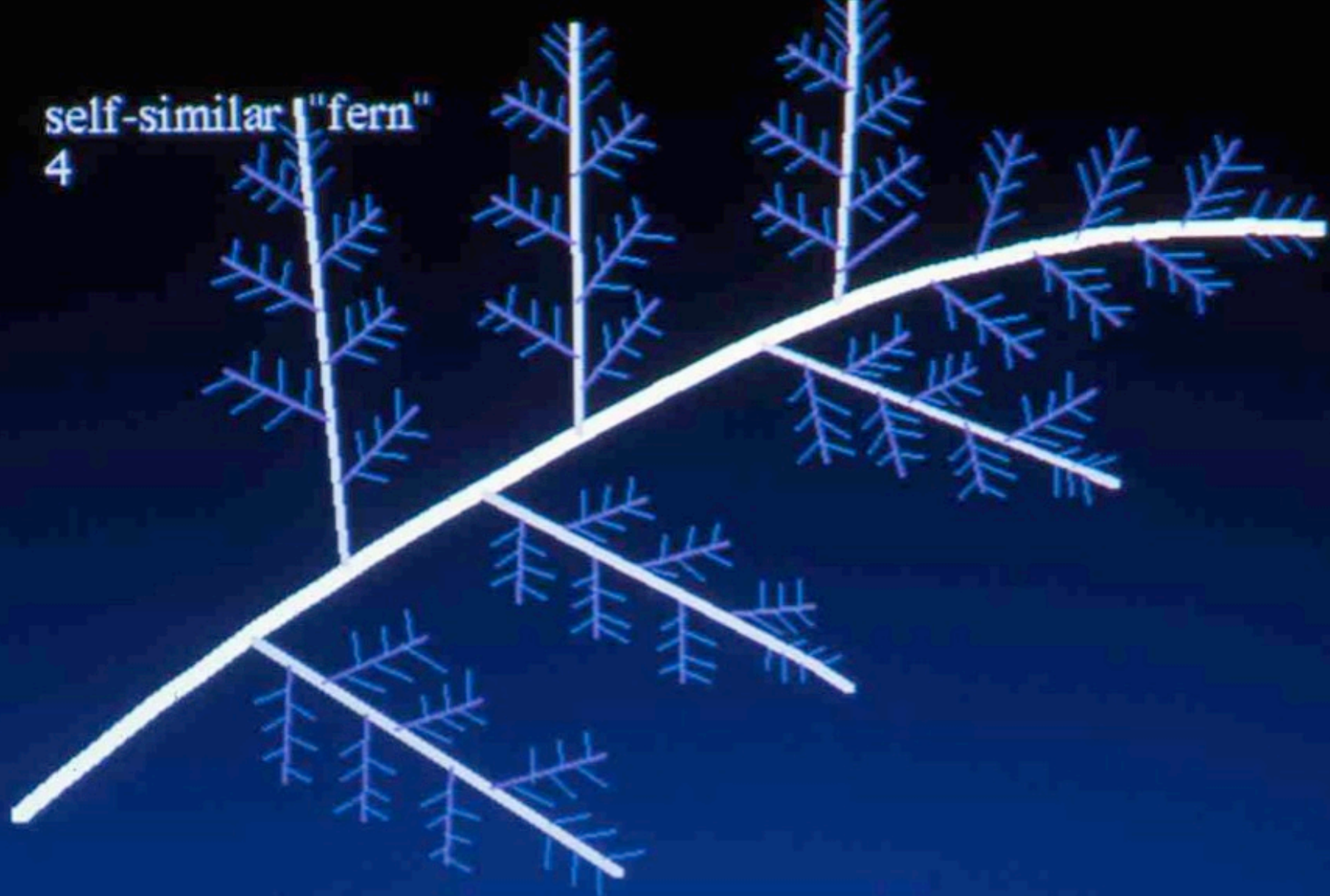
self-similar "fern"
2



self-similar "fern"
3



self-similar "fern"
4



mixing
3 ramification
matrices

3 «shapes»



2 : 0	10000										
3 : 0	0	10000									
4 : 0	0	0	10000								
5 : 5000	2500	1250	625	625							
6 : 5000	2500	1250	625	313	312						
7 : 125	250	500	1000	2000	3000	3125					
8 : 63	125	250	500	1000	2000	3000	3062				
9 : 31	63	125	250	500	1000	2000	3000	3031			
10 : 15	31	63	125	250	500	1000	2000	3000	3016		
11 : 7	15	31	63	250	125	500	1000	2000	3000	3009	

Mélange de trois matrices

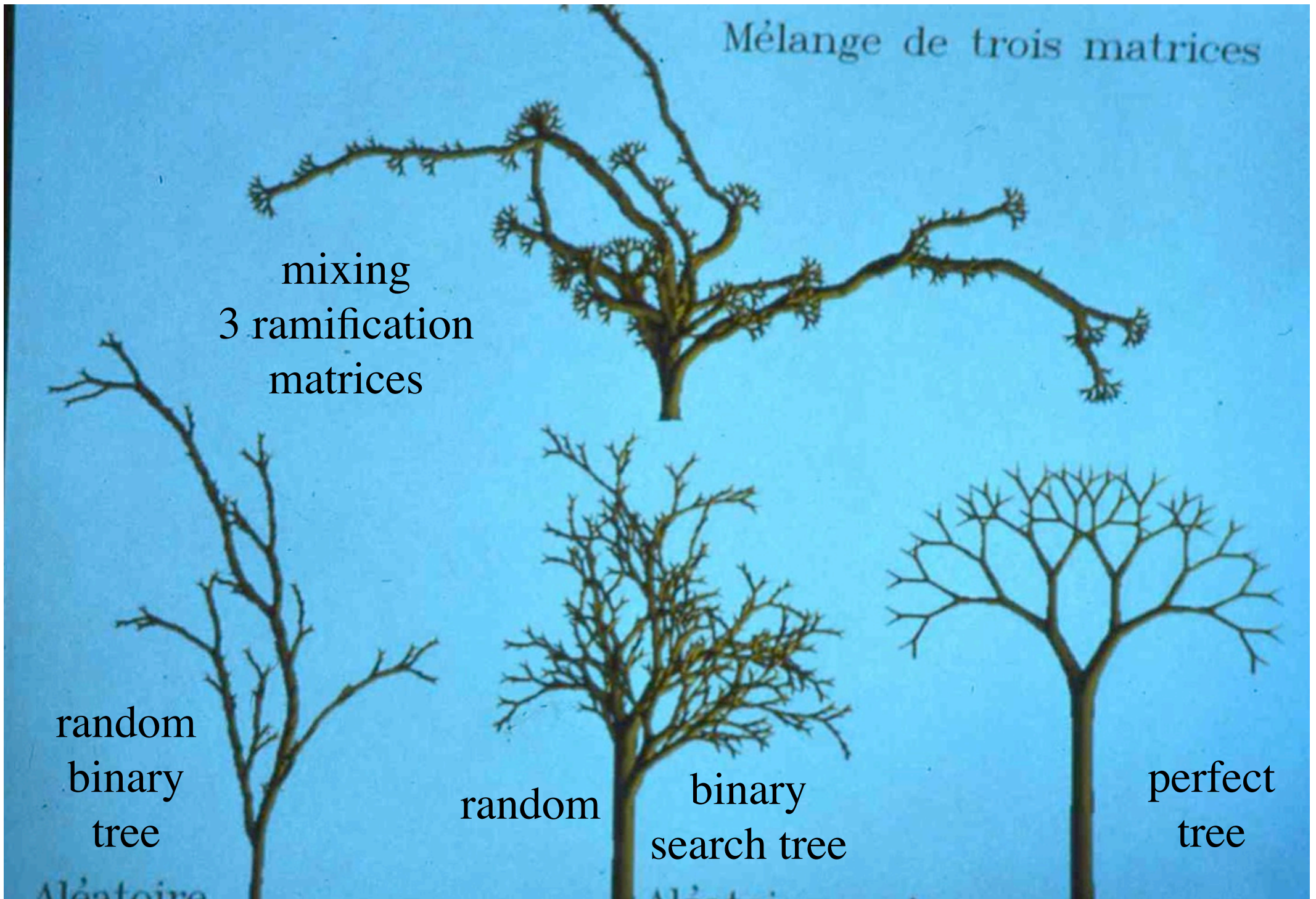
mixing
3 ramification
matrices

random
binary
tree

random

binary
search tree

perfect
tree



ramification matrix
in physics

digitous
fingering



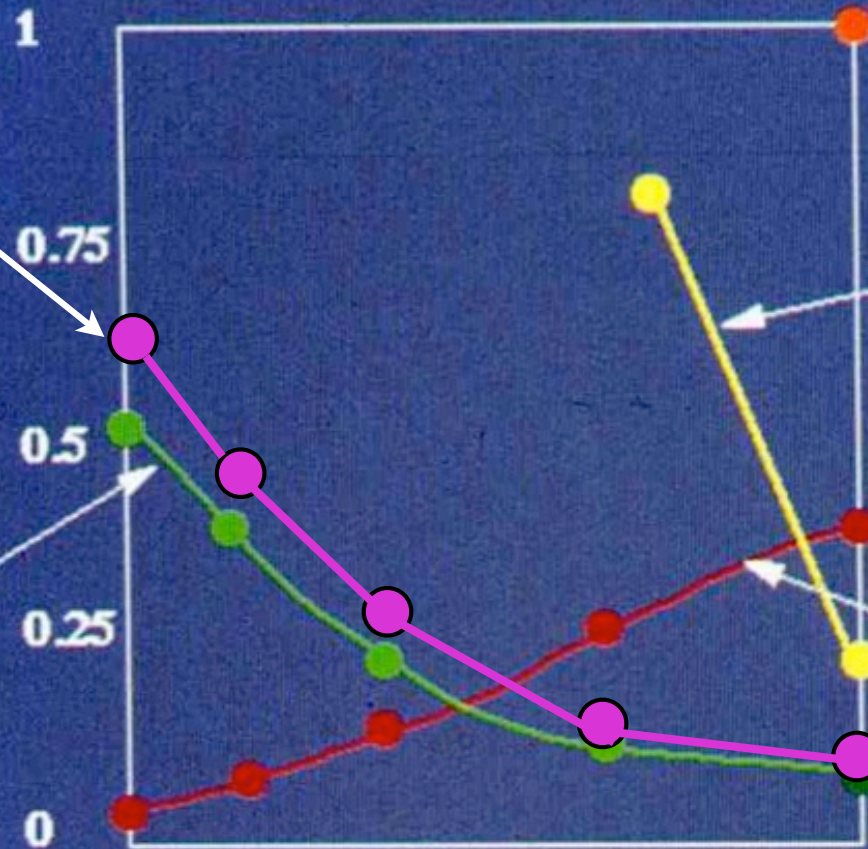
DLA

Diffusion
Limited
Aggregation



DLA,
digitous
fingering

random
binary tree



perfect tree

self-similar
fern

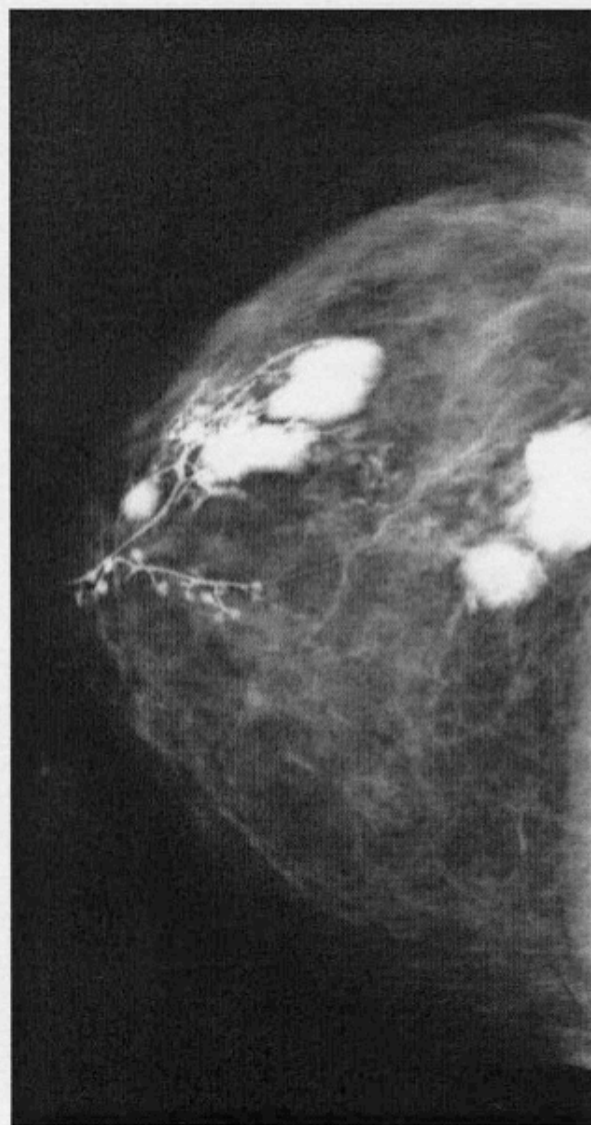
random
binary
search tree

self-similar ramification matrices

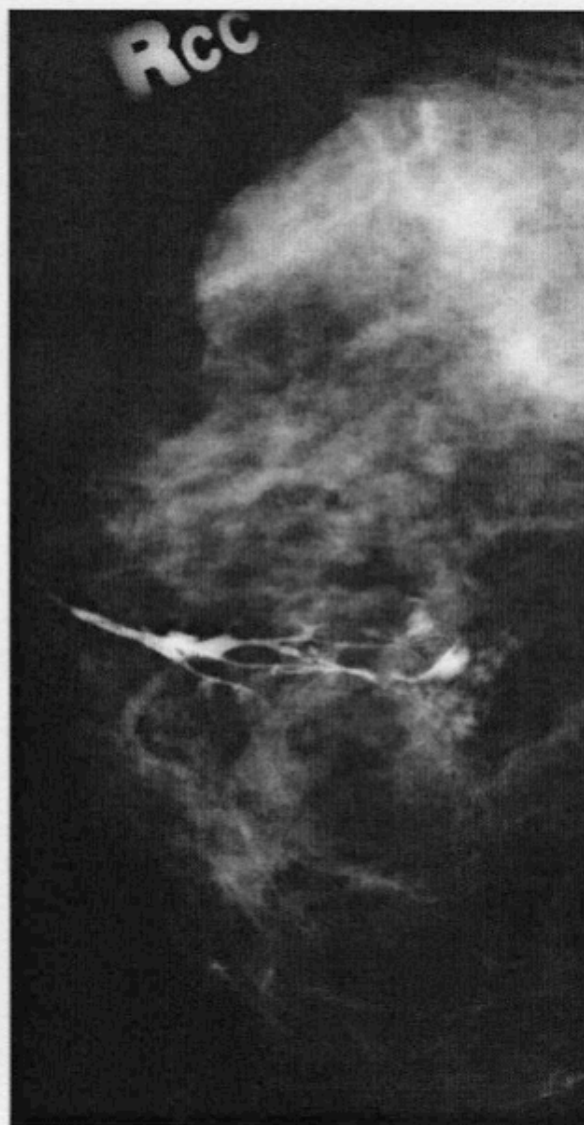
● Classification of Galactograms with ramification matrices

P. Bakic, M. Albert, A. Maidment
(2003)

Digital mammography



a.



b.

Figure 4. Two examples of galactograms that have been correctly classified by means of R matrices. **(a)** Galactogram with no reported findings (patient age, 45 years; right CC view; $r_{3,2} = 0.5$ and $r_{3,3} = 0.19$). (Large bright regions seen in this galactogram are due to extravasation, which did not affect the segmentation of the ductal tree.) **(b)** Galactogram with a reported finding of cysts (patient age, 55 years; right CC view; $r_{3,2} = 0.33$ and $r_{3,3} = 0.67$).

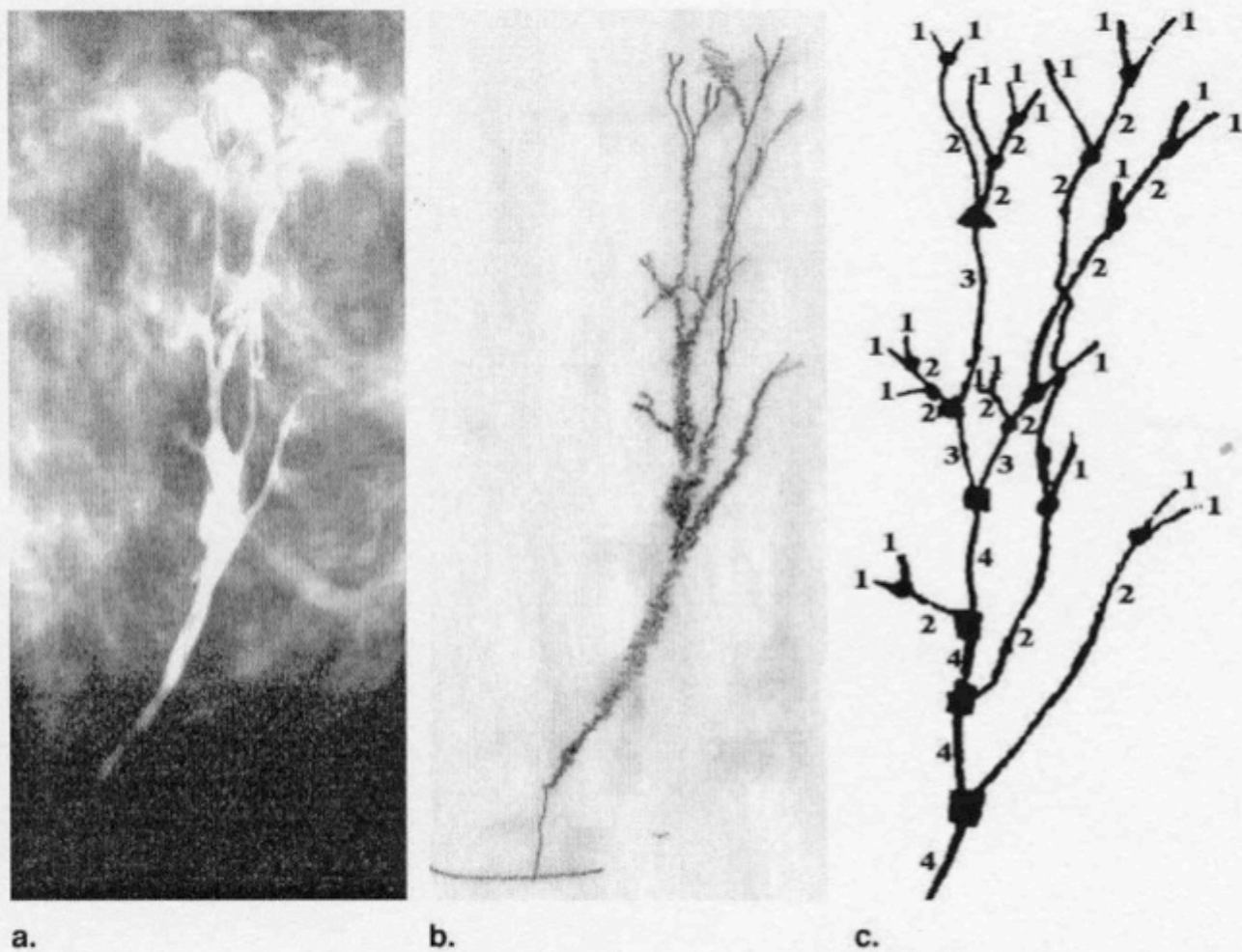


Figure 1. Segmentation of a ductal tree, showing (a) part of a galactogram with a contrast-enhanced ductal network, (b) the manually traced network of larger ducts from the contrast-enhanced portion of the galactogram, (c) numeric labeling of branches in the ductal network, and (d) the R matrix computed from the branching pattern. The dots, triangles, and squares denote branching points of different levels of the tree.

$$R = \begin{bmatrix} r_{2,1} & r_{2,2} & . & . \\ r_{3,1} & r_{3,2} & r_{3,3} & . \\ r_{4,1} & r_{4,2} & r_{4,3} & r_{4,4} \end{bmatrix} = \begin{bmatrix} 0.43 & 0.57 & . & . \\ 0 & 0.33 & 0.67 & . \\ 0 & 0.75 & 0 & 0.25 \end{bmatrix}$$

d.

visualization of information

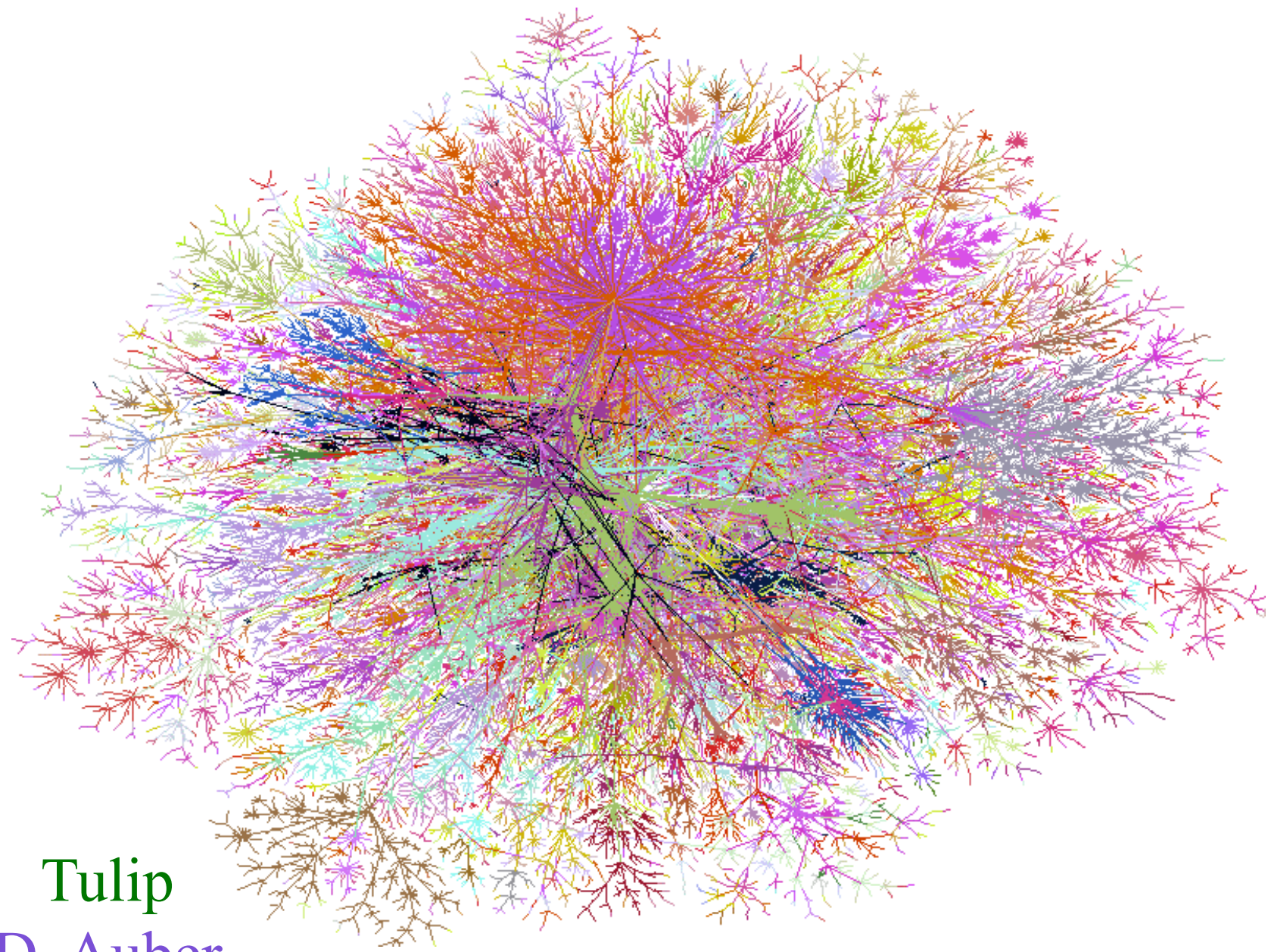
Visualization of information for very large graphs

- Visualisation de l'information
très grands graphes

D. Auber, M. Delest
Y. Chiericota, G. Melançon, J.M. Fedou

analyse de Horton -
Strahler

extension of Horton-Strahler analysis for graphs



Tulip
D. Auber

Synthetic images of
trees, leaves, landscapes ...

Arquês, Eyrolles, Janey, X.V.

A\$A

SIGGRAPH'89, IMAGINA' 90





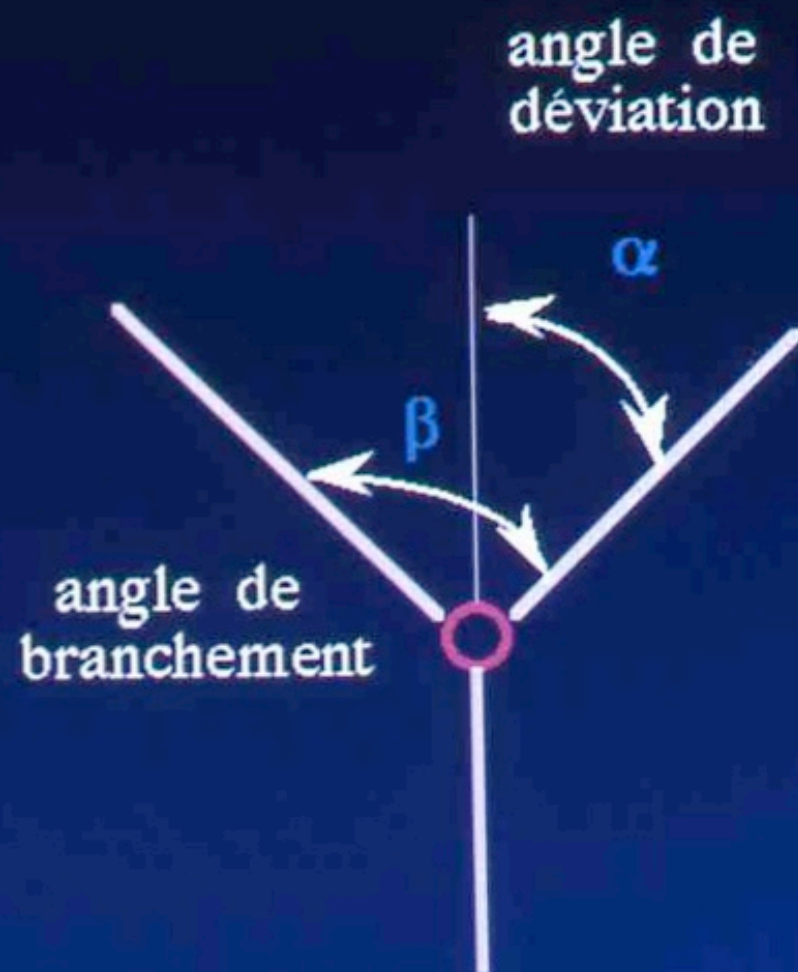
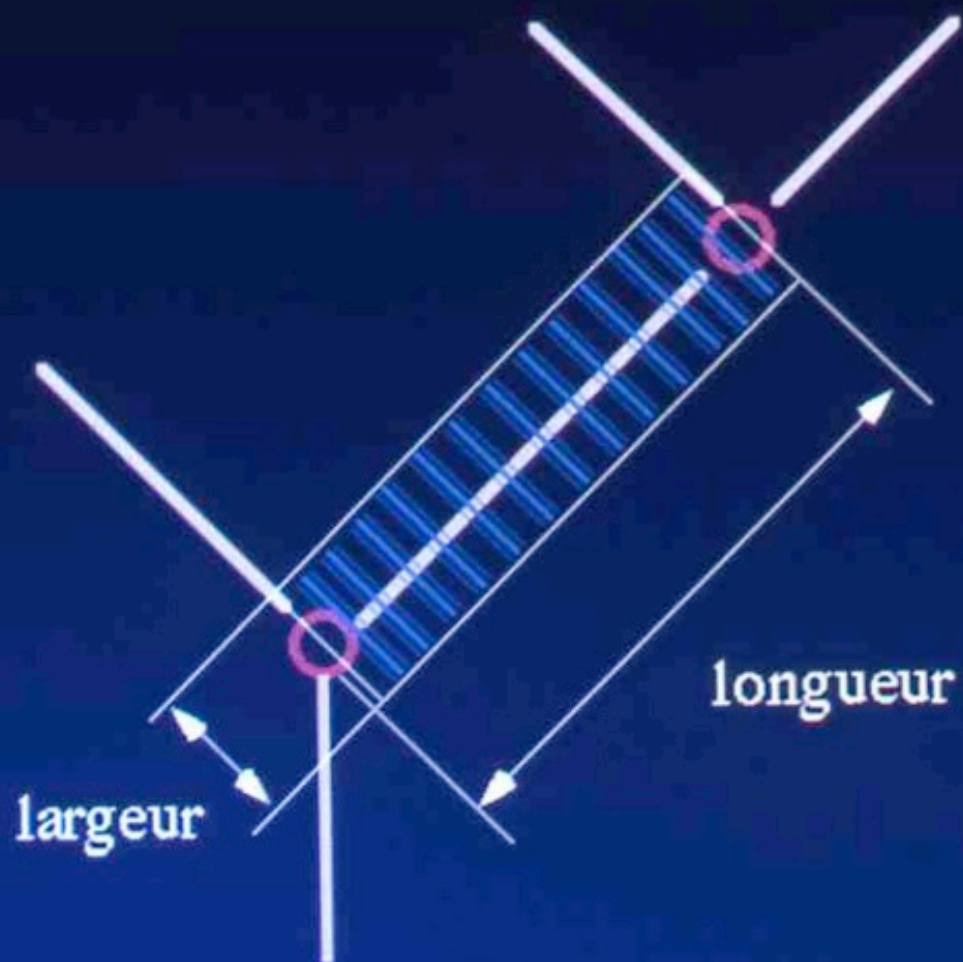
Génération de l'arbre combinatoire

choix de la matrice de ramification

Génération de l'arbre géométrique

- largeur $w(k)$
 - longueur $L(k)$
- } fonction de l'ordre
- angle de déviation
 - angle de branchement
- } fonction du biordre

dessin en 2D

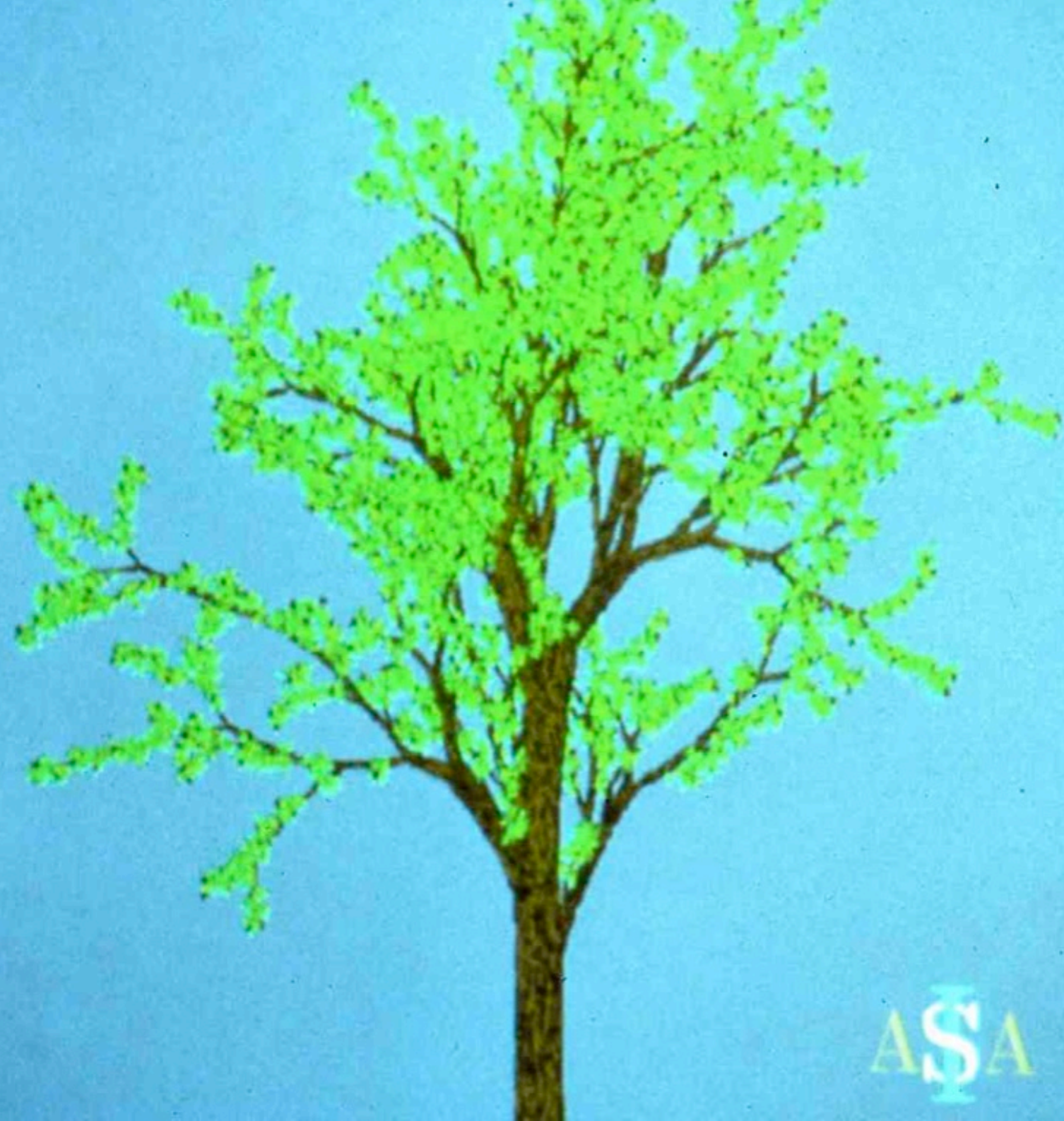








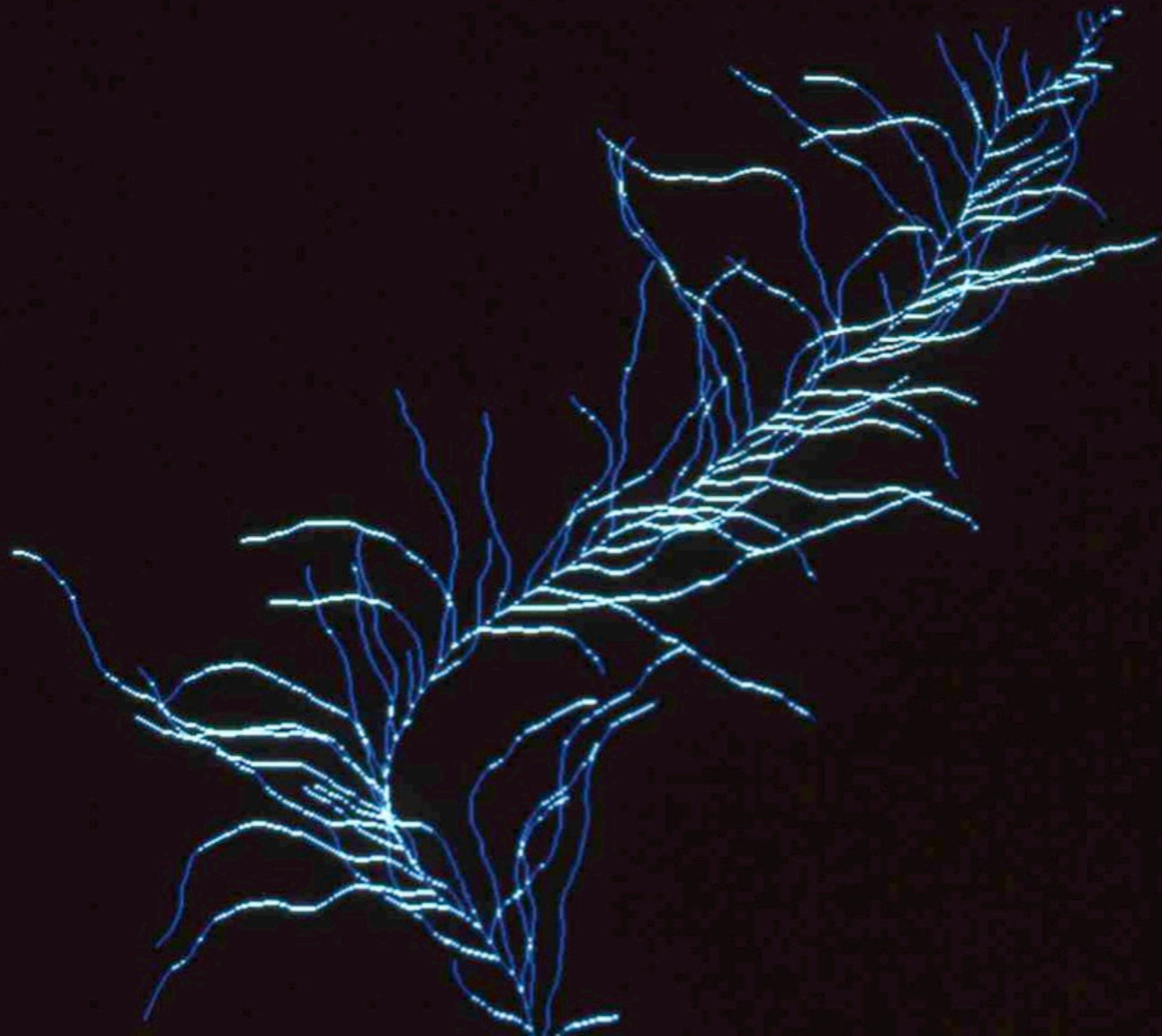




ASA











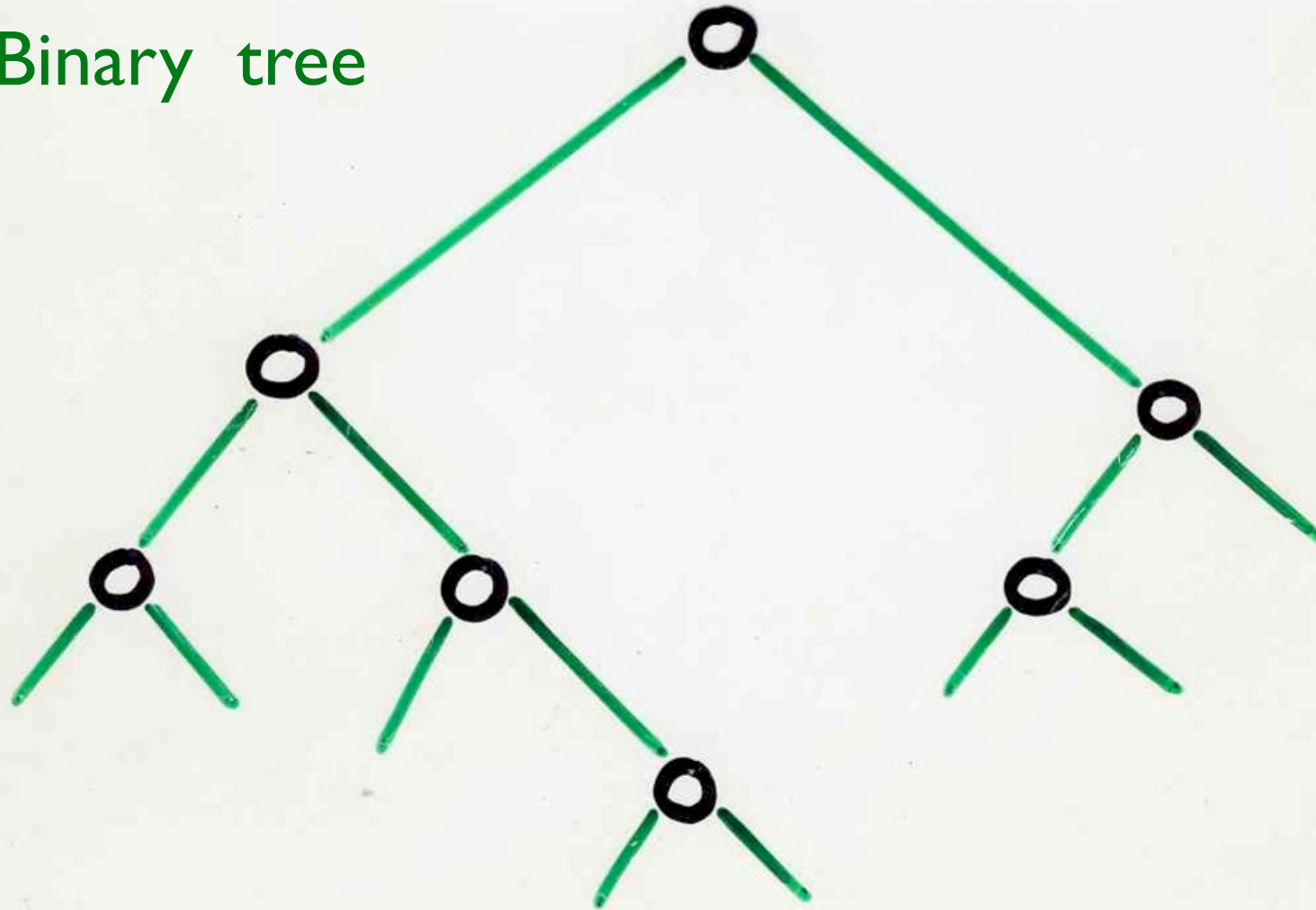






If there exist some beauty in these
synthetic images of trees,
it is only the pale reflection of the
extraordinary beauty of the
mathematics hidden behind the
algorithms generating these images

Binary tree



number of binary trees
having n internal vertices
(or $n+1$) leaves (external vertices)

C_n = number of
binary trees
with n "internal nodes"
(= $n+1$ "external nodes")



Catalan
number

$$C_n = \frac{1}{n+1} \binom{2n}{n}$$

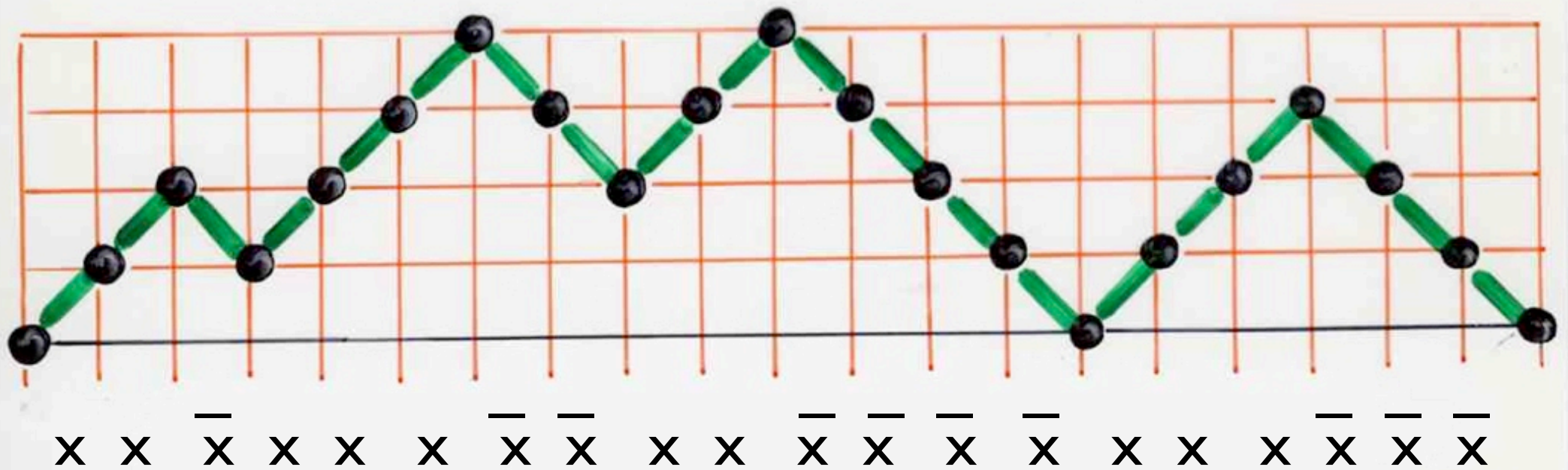
$$= \frac{(2n)!}{(n+1)! n!}$$

$$n! = 1 \times 2 \times \dots \times n$$

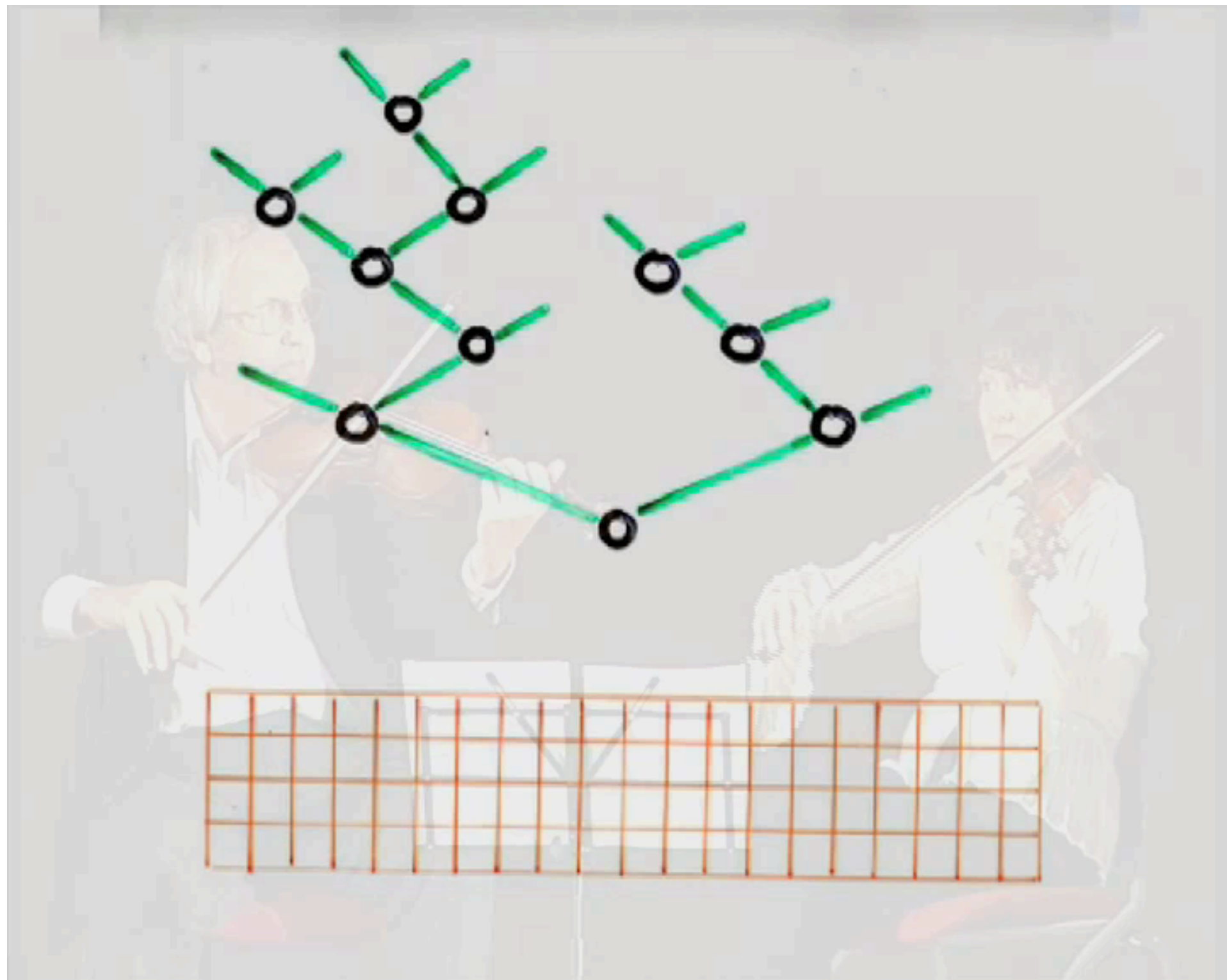
from binary trees
to Dyck paths

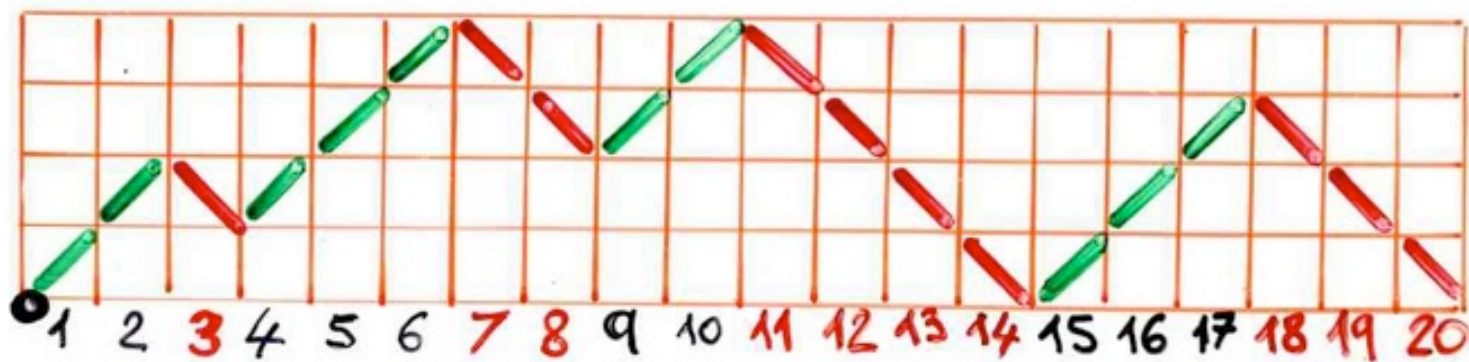
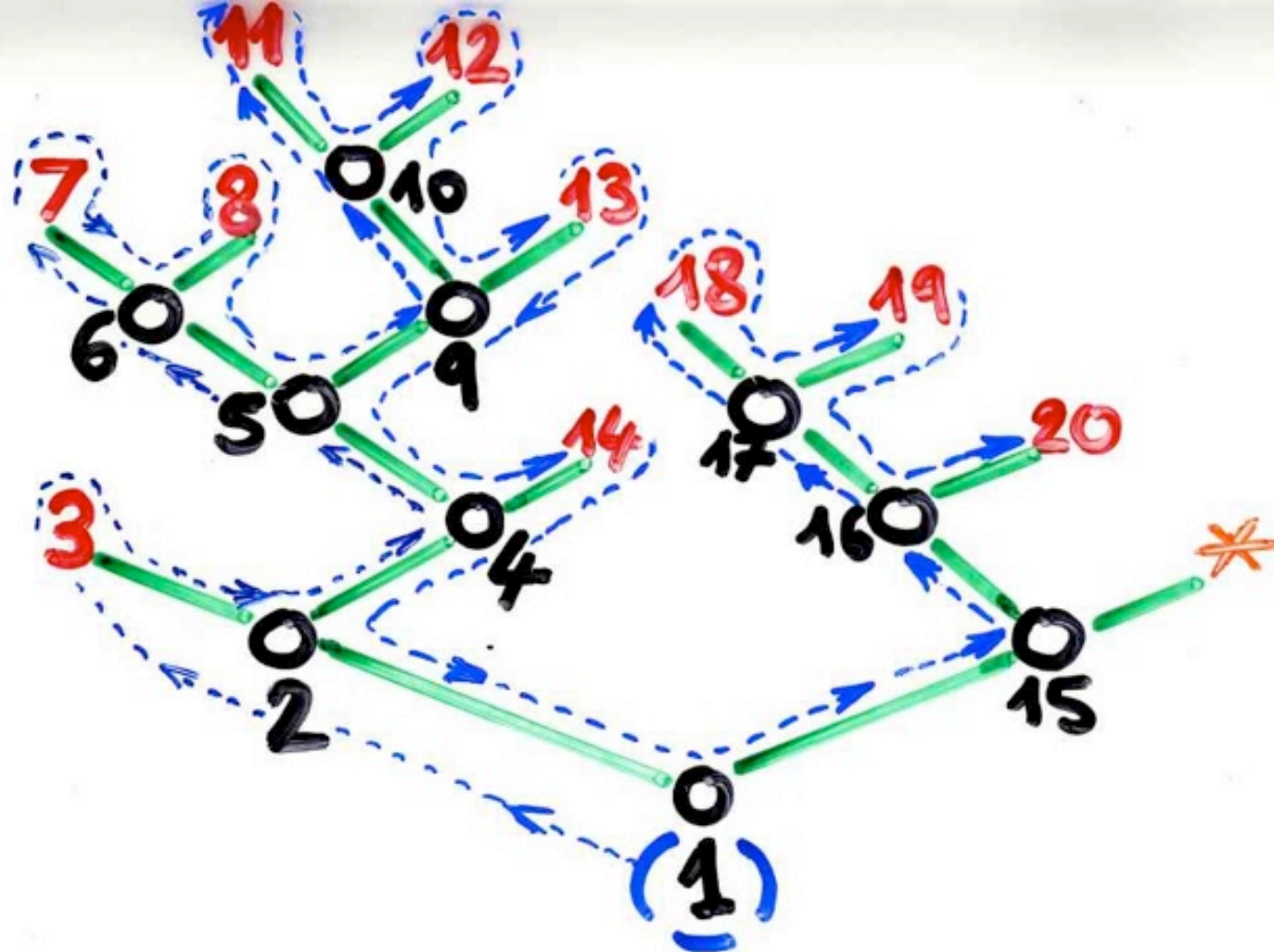
Dyck paths
Dyck words
(nested strings)

Dyck path



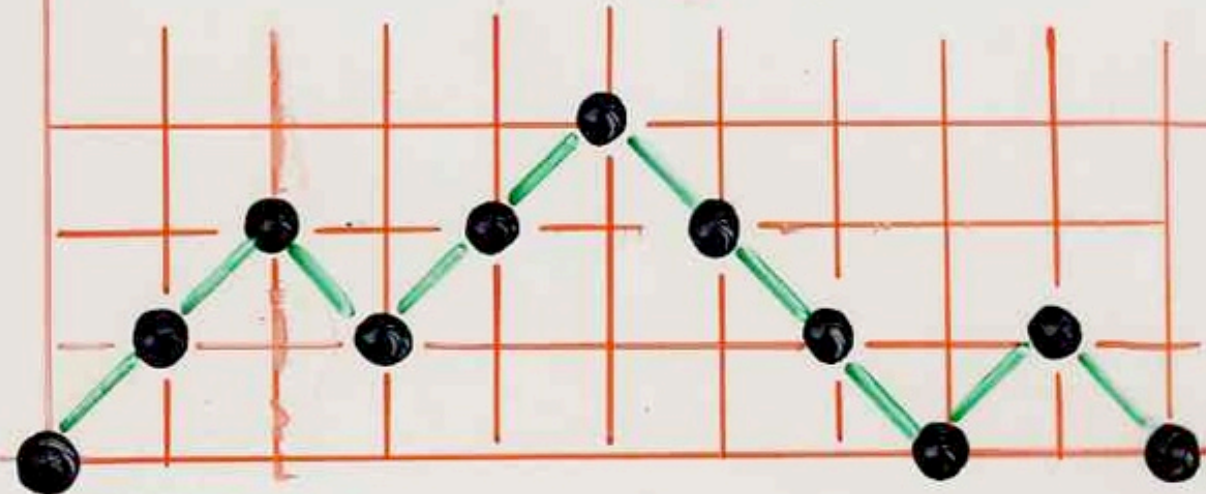
Dyck word





logarithmic height

chemin
de
Dyck



$$\frac{1}{n+1} \binom{2n}{n}$$

Catalan

Dyck
path

Dyck path

Height

w
 $h(w)$

logarithmic height $lh(w)$

$$= \lfloor \log_2(1+h(w)) \rfloor$$

$$lh(w) = k$$

$$\Leftrightarrow 2^k - 1 \leq h(w) < 2^{k+1} - 1$$

(complete)

binary trees

n (internal) vertices

Strahler nb = k

Franson

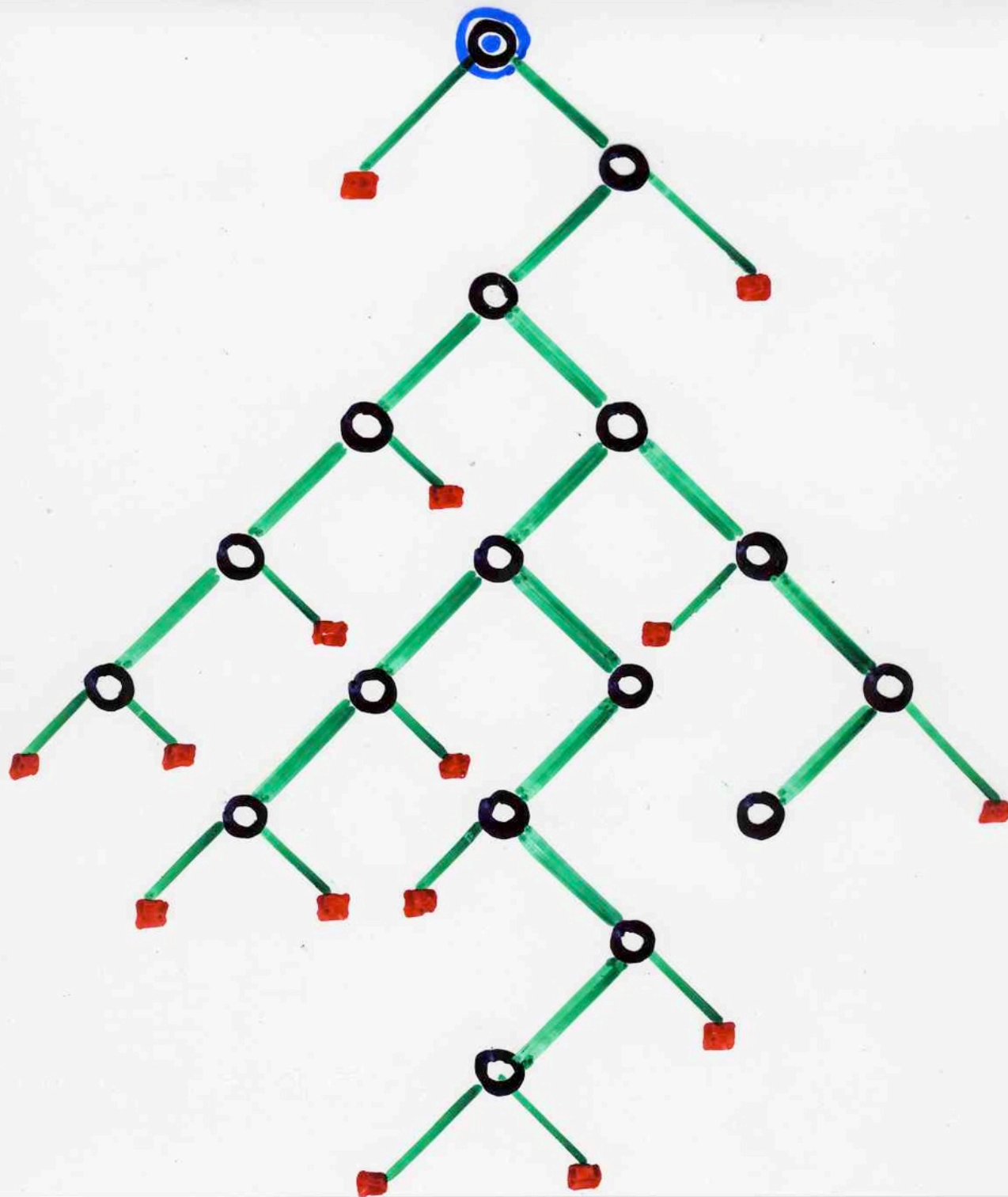
(1984)

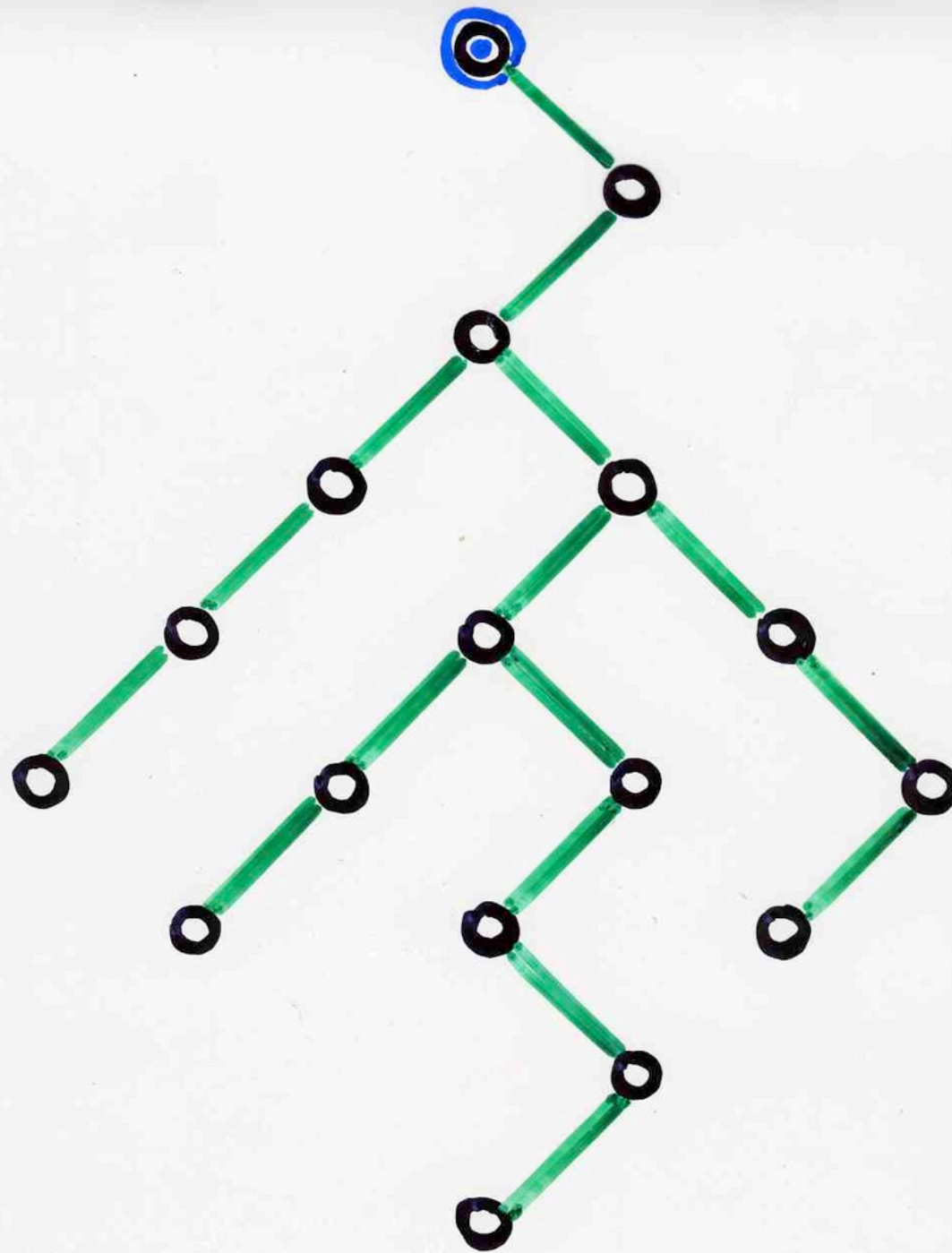
Dyck paths

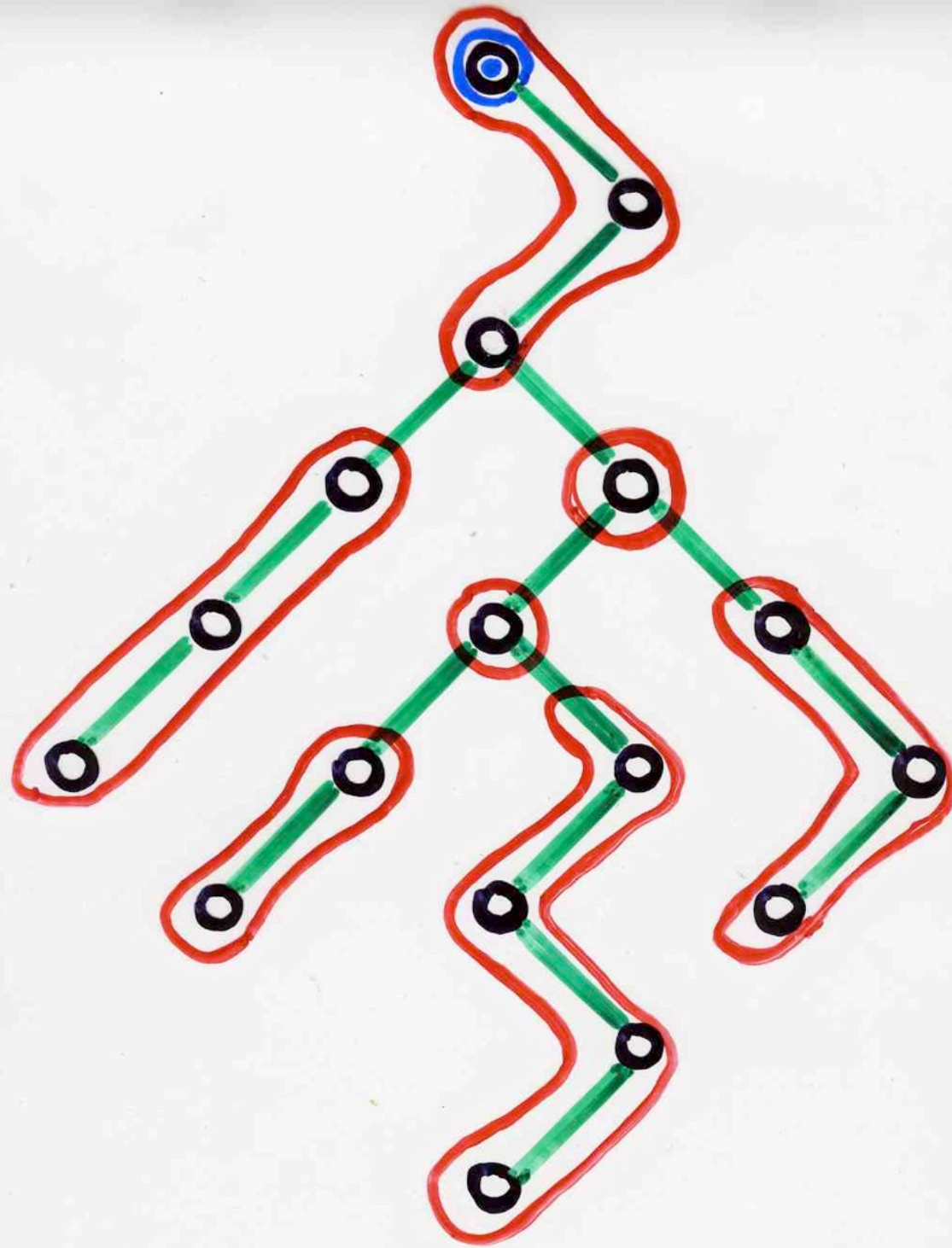
length $2n$

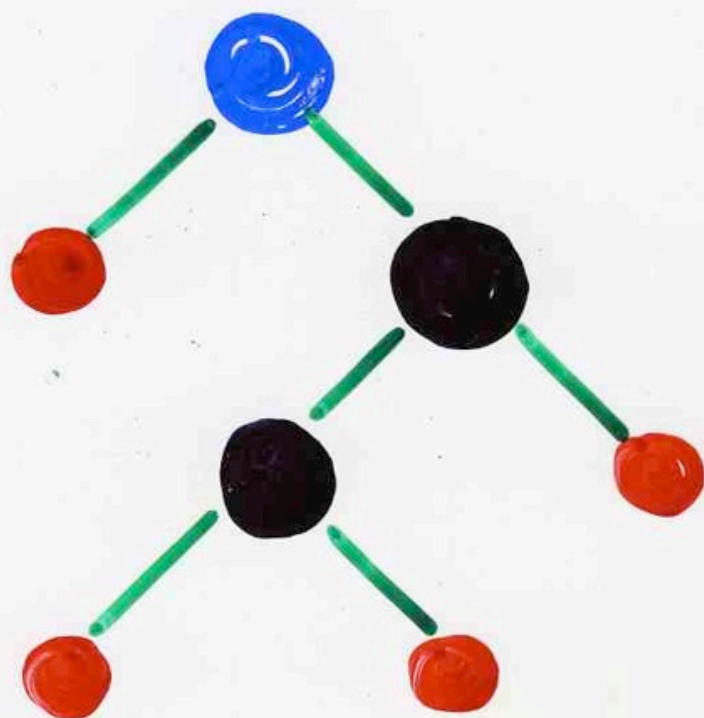
log. height

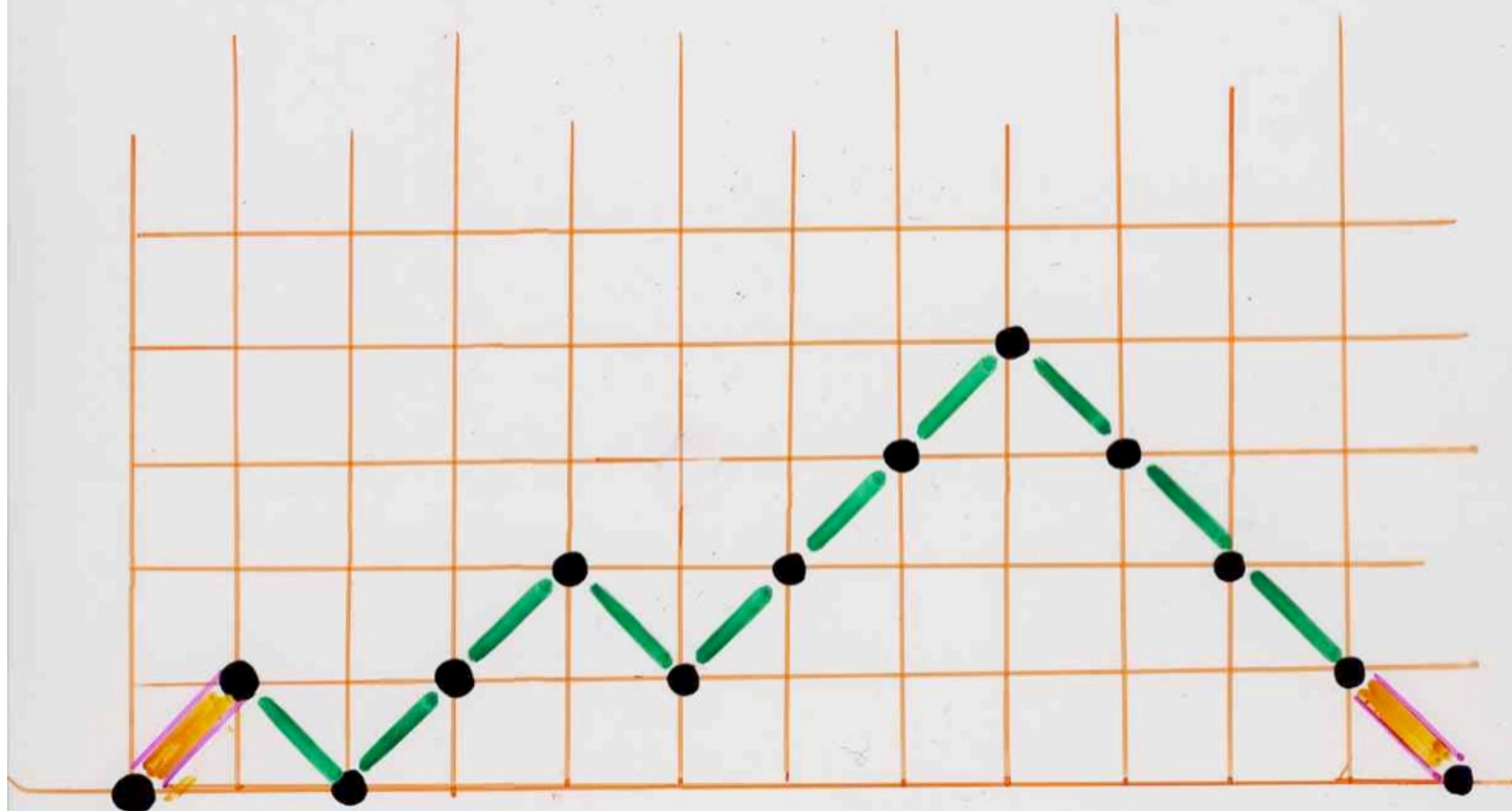
$lh(w) = k$

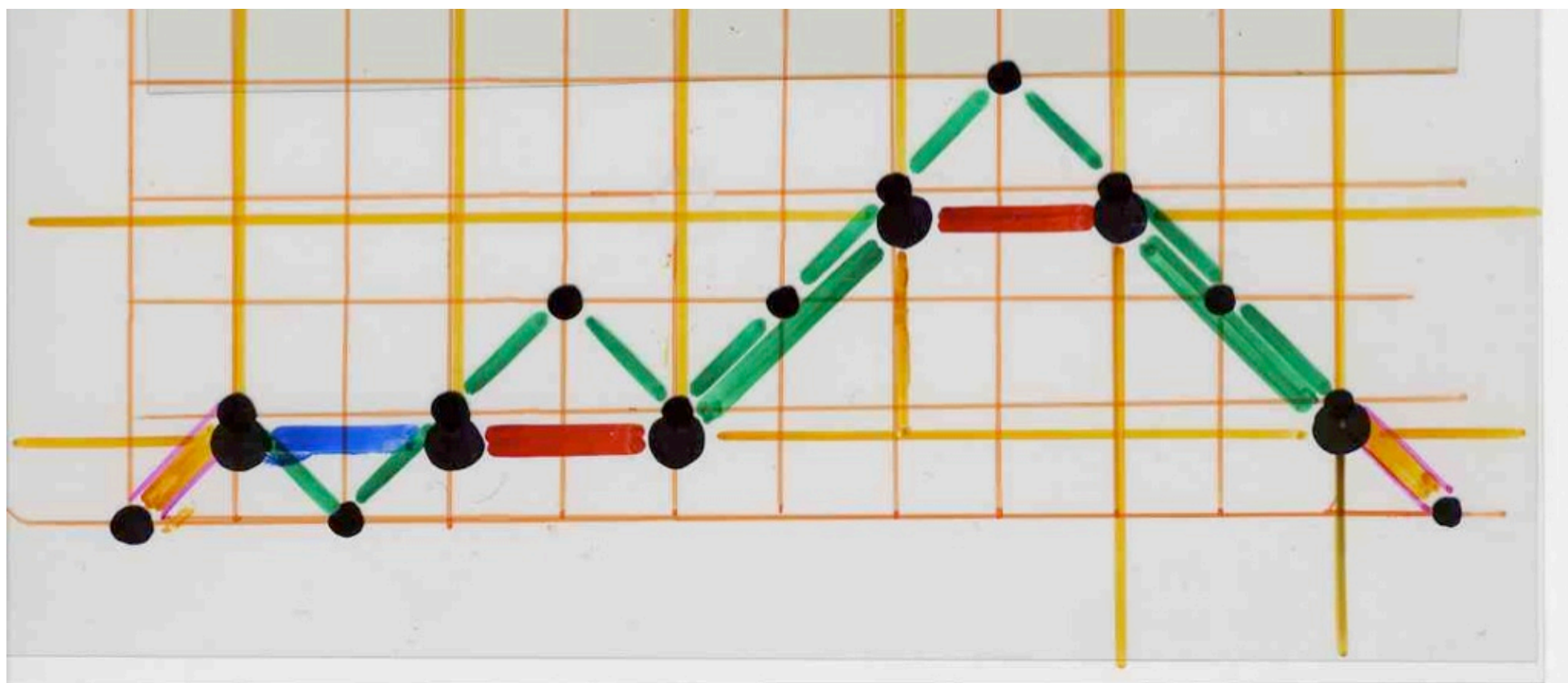


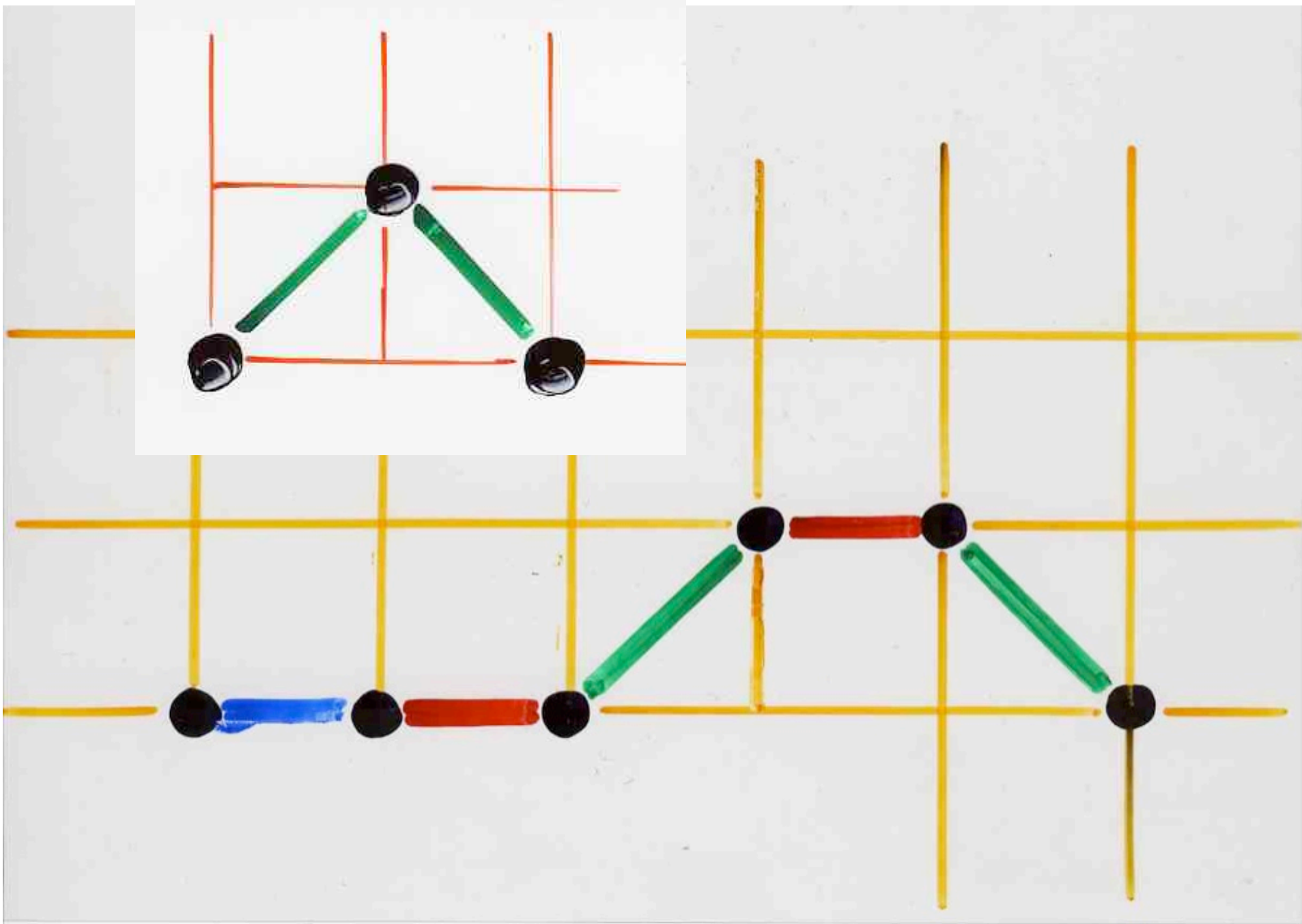
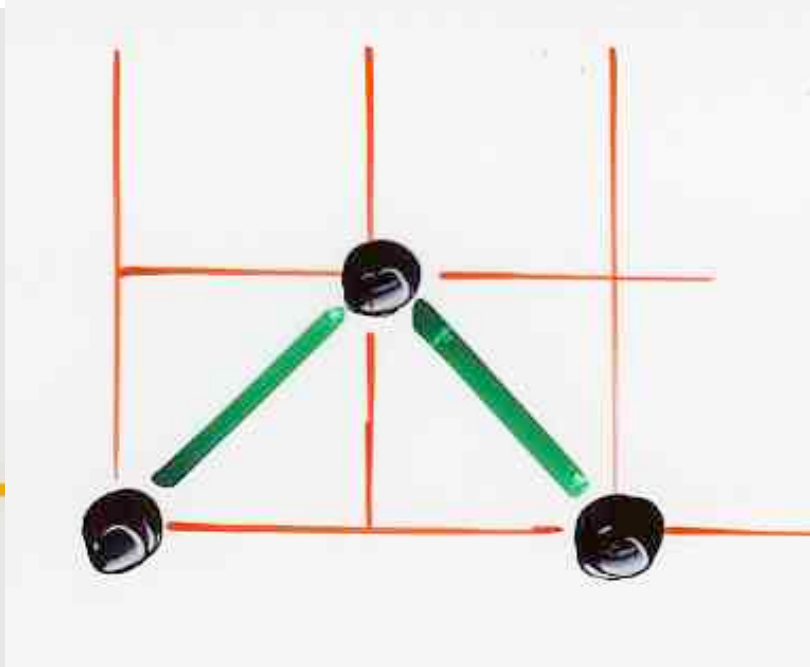


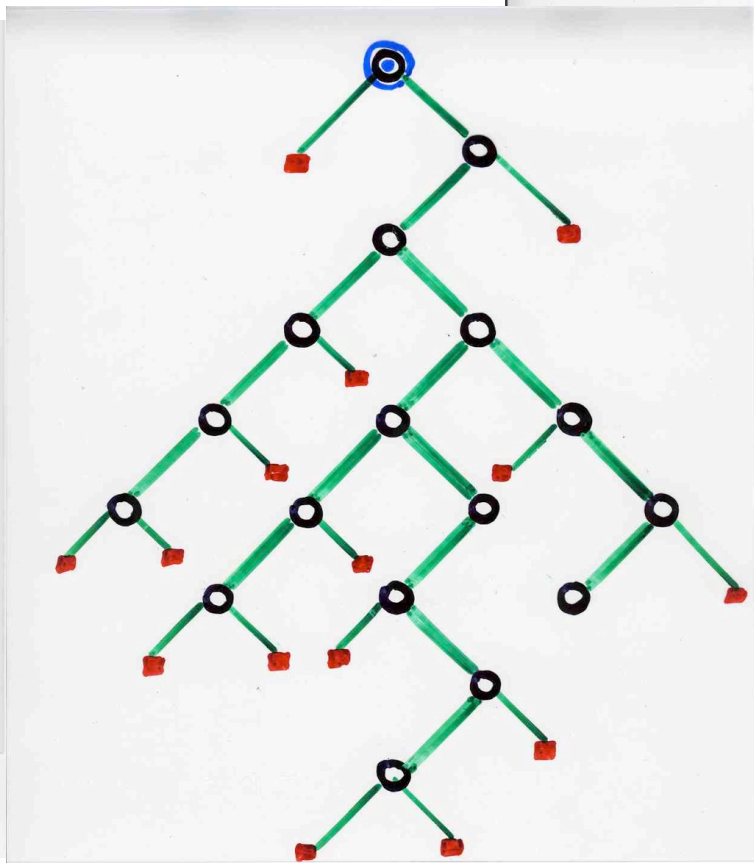
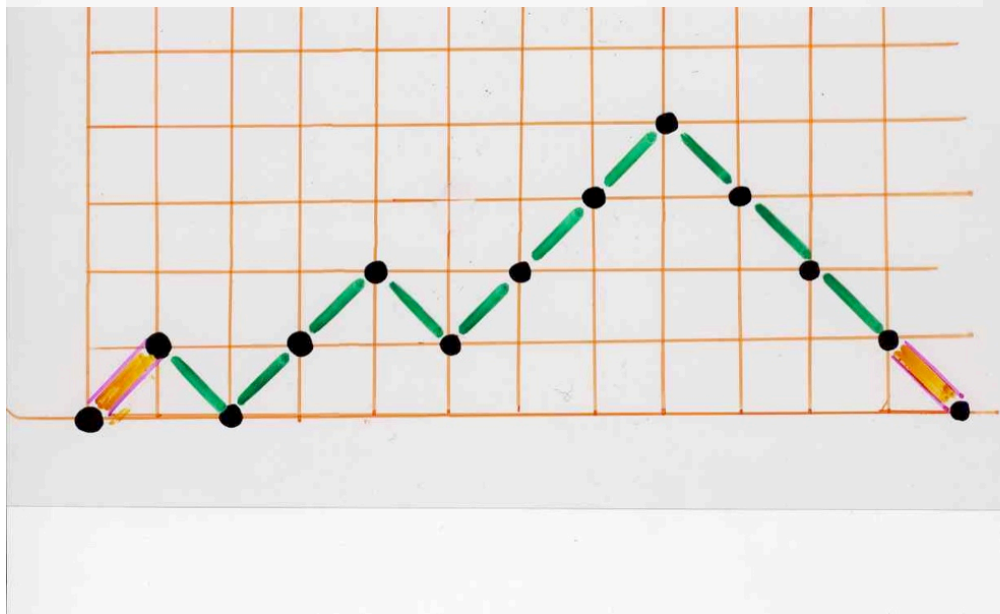
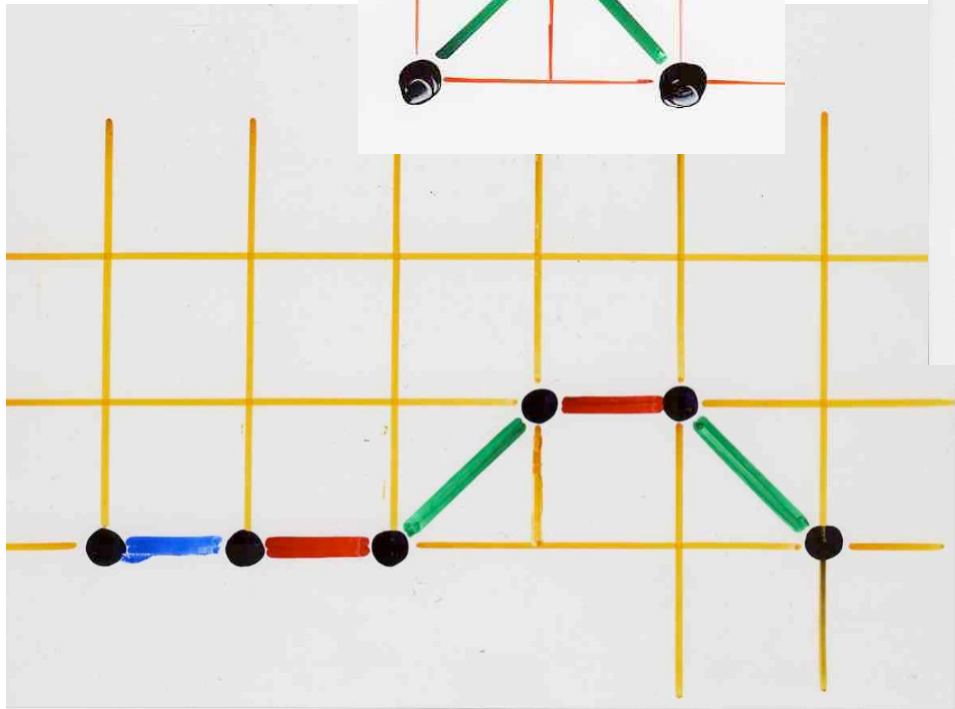
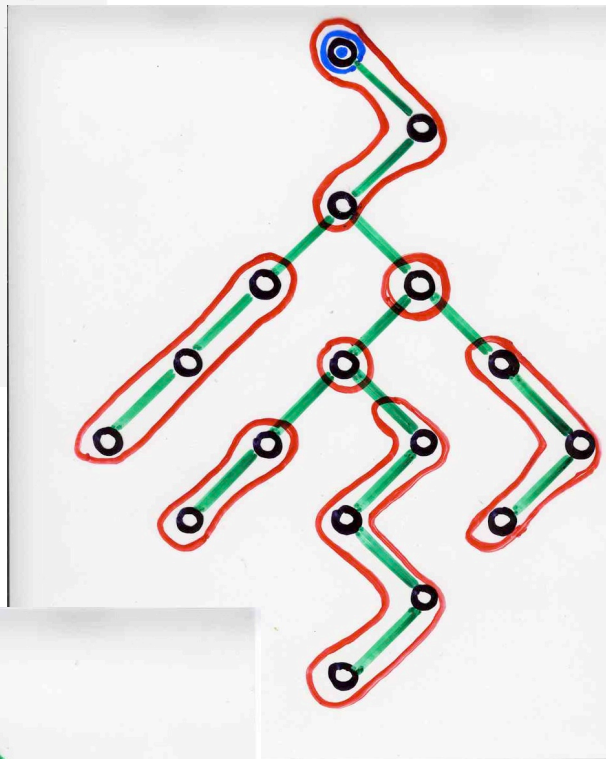
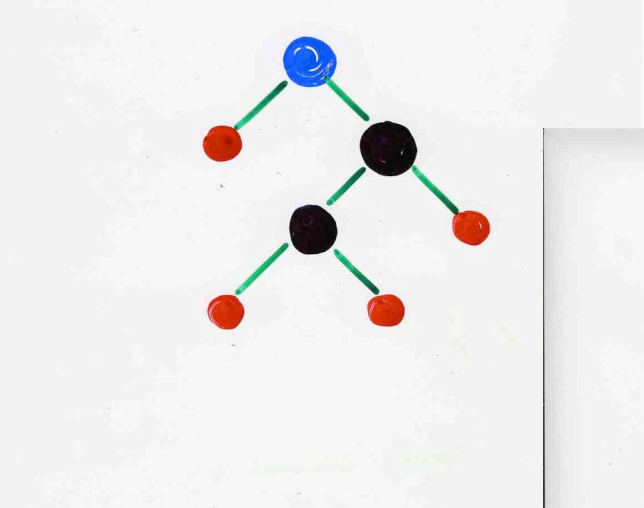
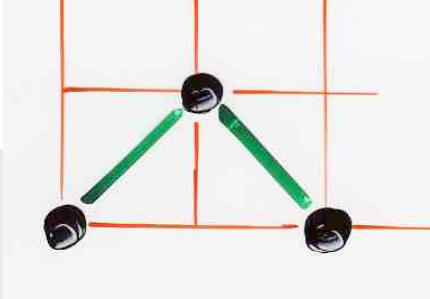












(complete)

binary trees

n (internal) vertices

Strahler nb = k

Franson

(1984)

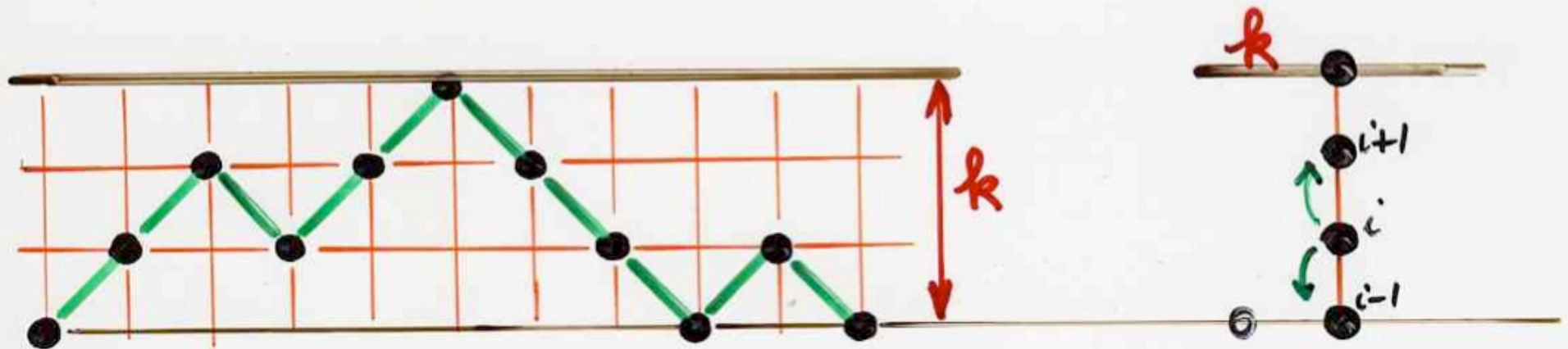
Dyck paths

length $2n$

log. height

$lh(w) = k$

ex: Dyck path
bounded at height k



generating function

$$S_{n,k} = \text{nb of (complete) binary trees } \mathcal{B} \\ \text{with } n \text{ (internal) vertices} \\ \text{Strahler nb } St(\mathcal{B}) = k$$

$$S_k(t) = \sum_{k \geq 0} S_{n,k} t^n$$

$$S_{\leq k}(t) = \sum_{k \geq 0} S_{n, \leq k} t^n$$

$$\sum_{\omega} t^{|\omega|/2} = \frac{F_k(t)}{F_{k+1}(t)}$$

Dyck paths
bounded k

$$A = (a_{ij}) = \begin{pmatrix} 0 & t & & 0 & \\ t & & & & \\ & & & & \\ 0 & & & & t \\ & & & t & 0 \end{pmatrix}$$

$$\sin(n+1)\theta = (\sin\theta) U_n(\cos\theta)$$

$$U_n(t/2) \quad \text{reciprocal}$$

$$F_n(t^2)$$

Fibonacci polynomial

$$F_{n+1}(t) = F_n(t) - t F_{n-1}(t)$$

$$F_0 = F_1 = 1$$



$$S_k(t) = \frac{t^{(2^k-1)}}{R_{2^k-1}(t)}$$

$$= S_{\leq k}(t) - S_{\leq (k-1)}(t)$$

$$S_{\leq k}(t) = \frac{R_{2^k-2}(t)}{R_{2^k-1}(t)}$$

average Strahler number
over binary trees with n vertices

$$St_n = \log_4 n + f(\log_4 n) + o(1)$$

Flajolet, Raoult, Vuillemin (1979) periodic

$T(n)$ = number of 1's in the
binary expansion of $1, 2, \dots, (n-1)$

$$T(n) = \frac{1}{2} n \log n + n F(\log n)$$

$$f(t) = 1 - \frac{\gamma}{2 \log 2} - \int_0^\infty t H_4(t) F(\log t + u) e^{-t^2} dt$$

(complete)

binary trees

n (internal) vertices

Strahler nb = k

Franson

(1984)

Dyck paths

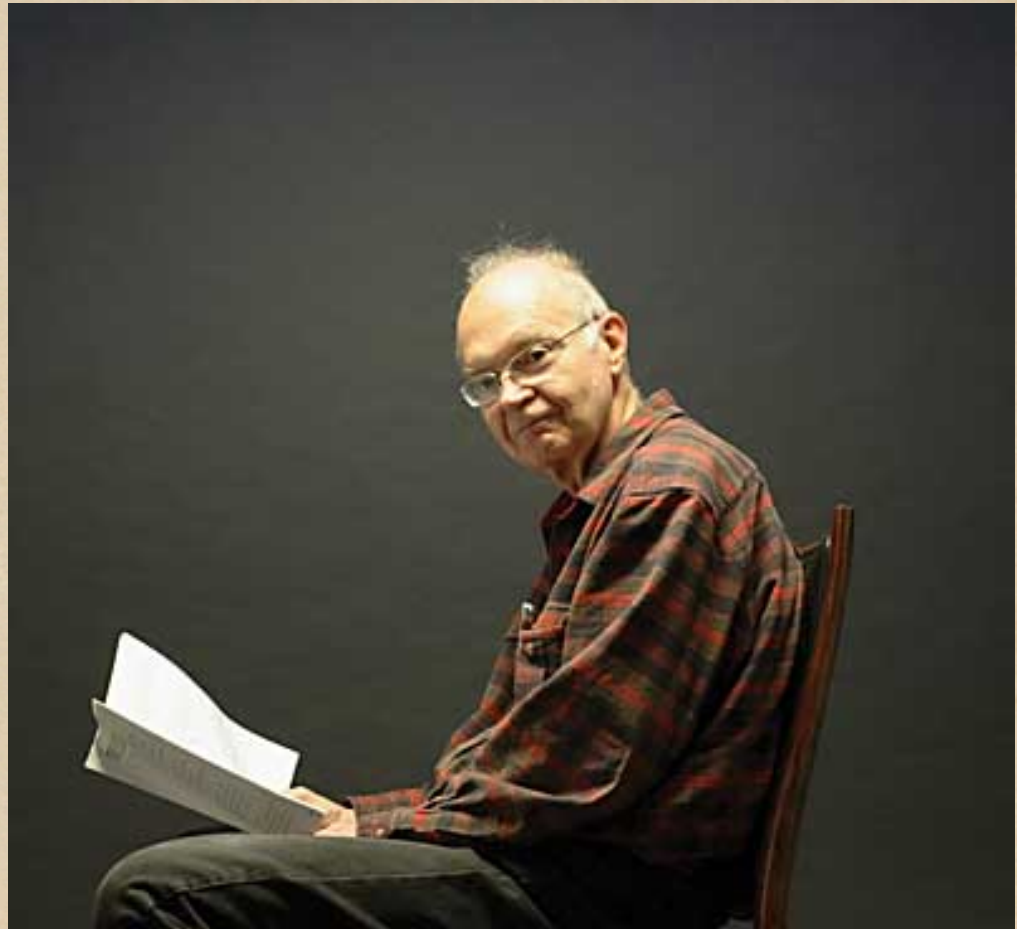
length $2n$

log. height

$lh(w) = k$

Knuth
(2005)

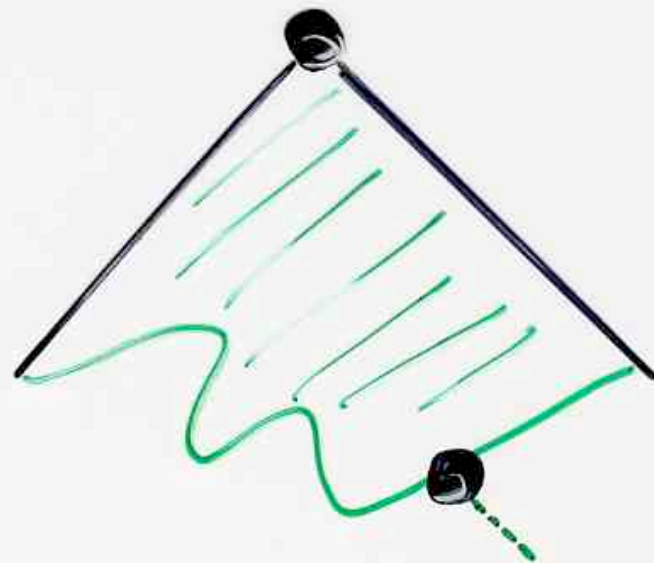
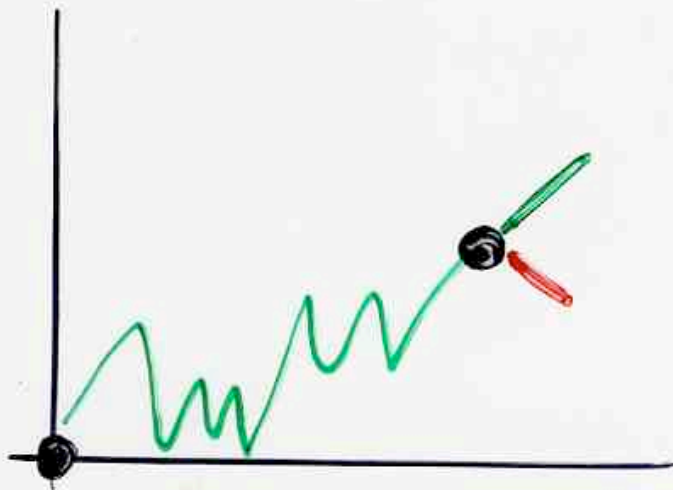
Knuth bijection

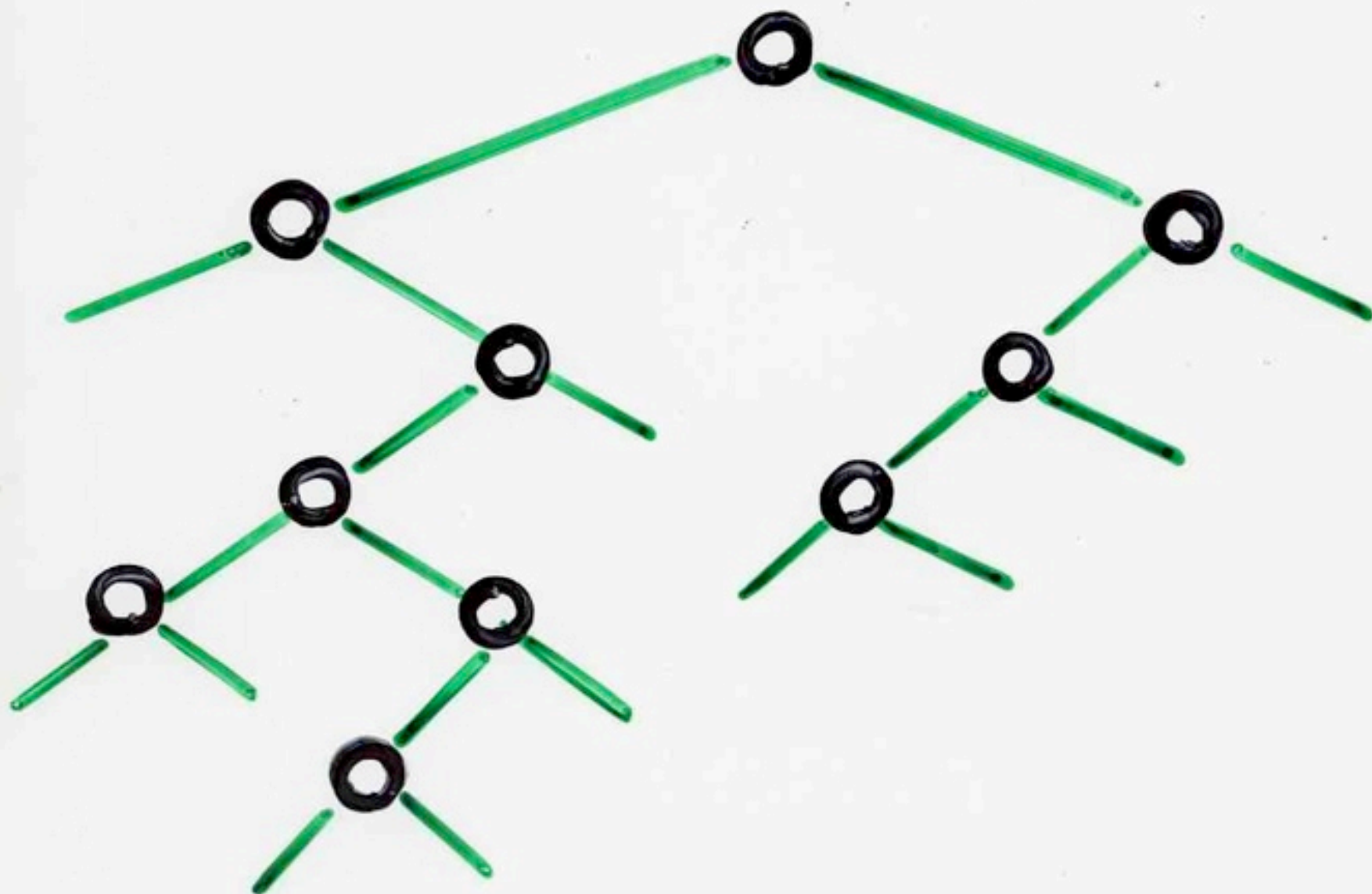


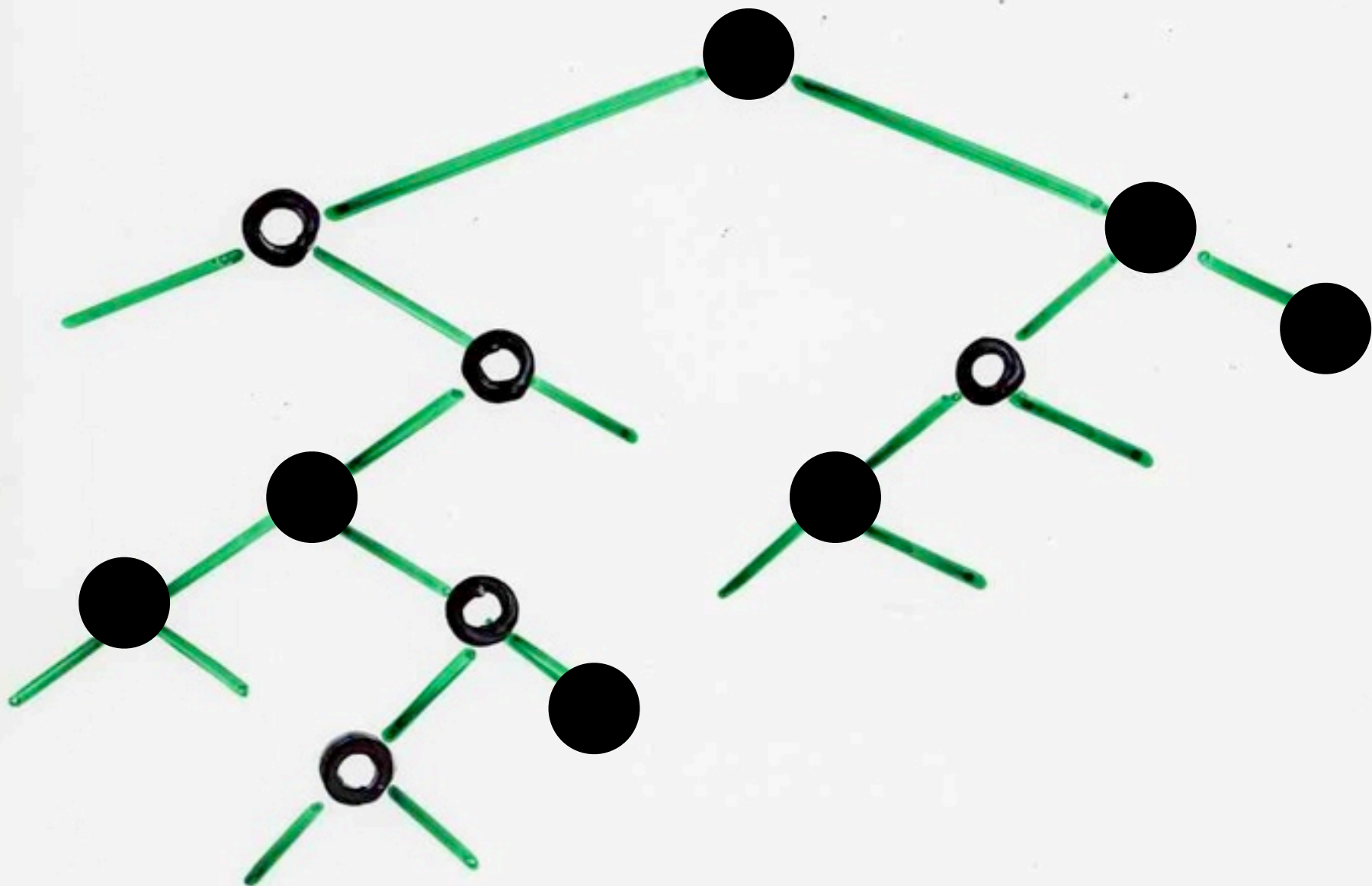
family of bijections

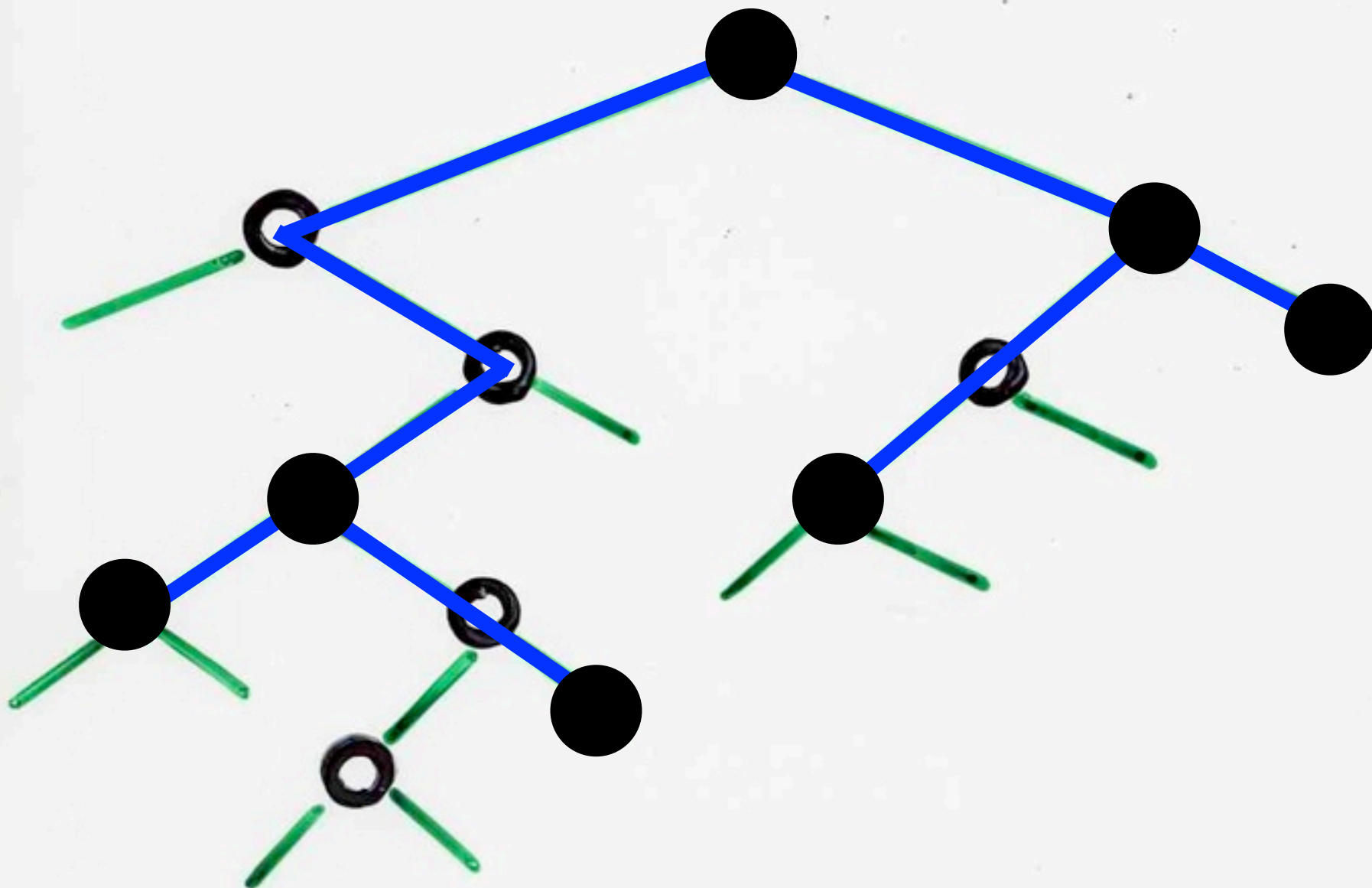
Proskurovski

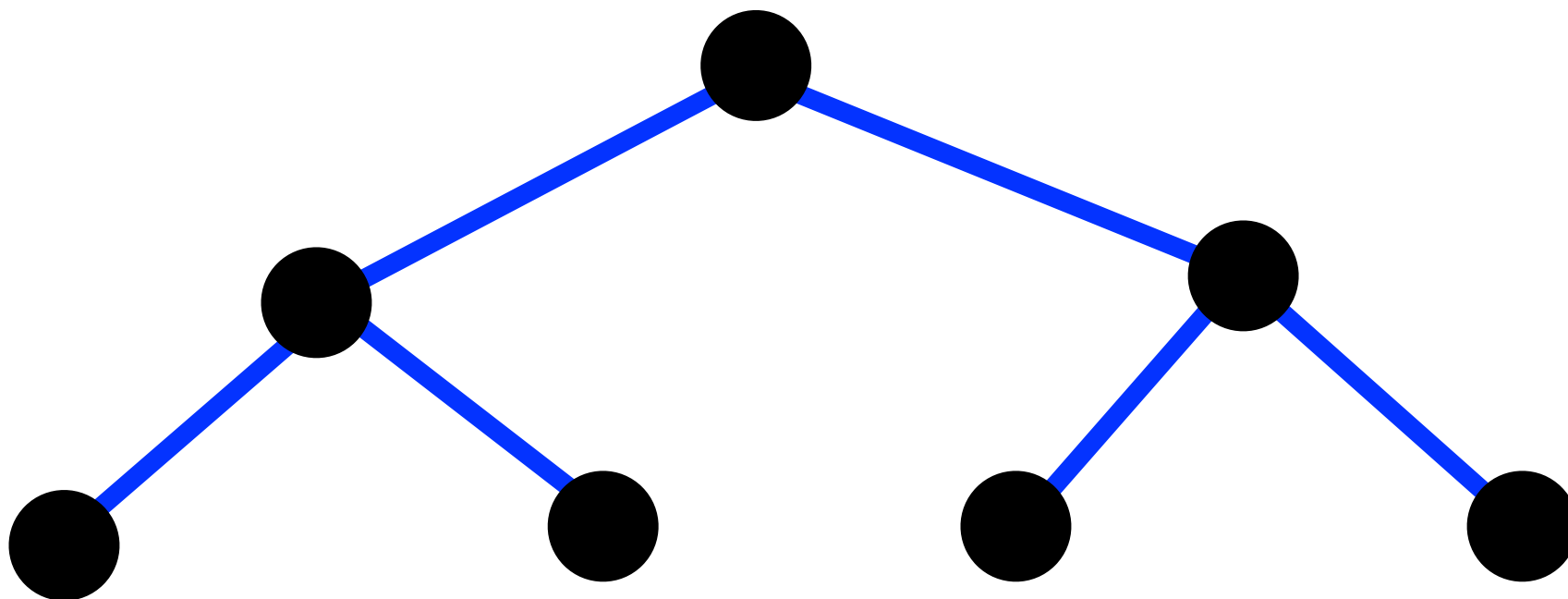
(1980)

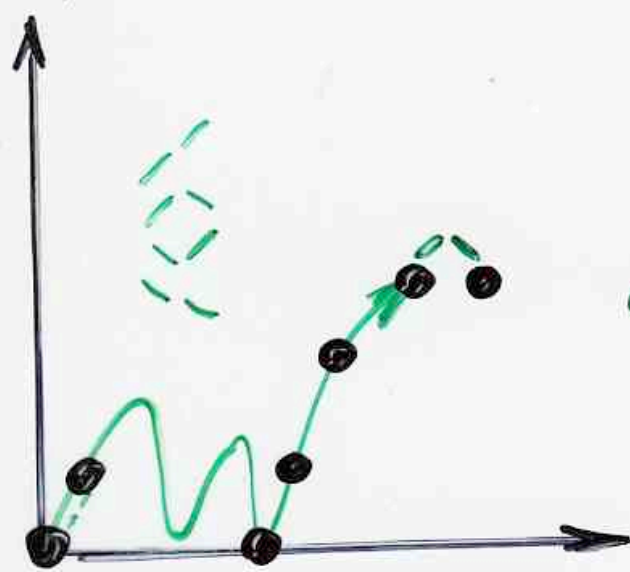




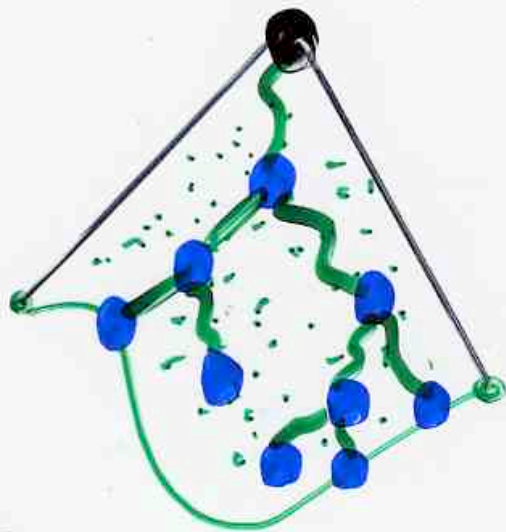




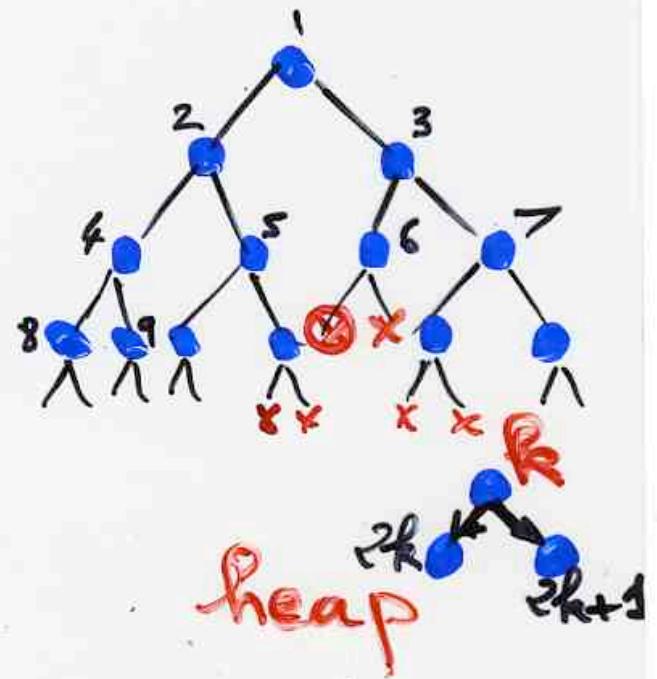




Dyck
(nested path string)



binary tree



T
A
O
C
P

The
Art
Of
Computer
Programming

T

A

O

C

B

The

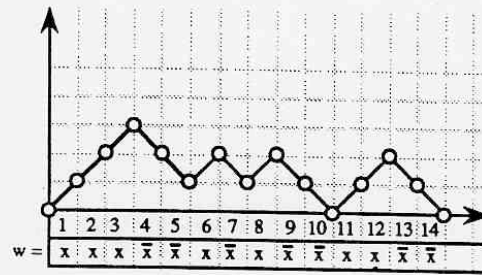
Art

Of

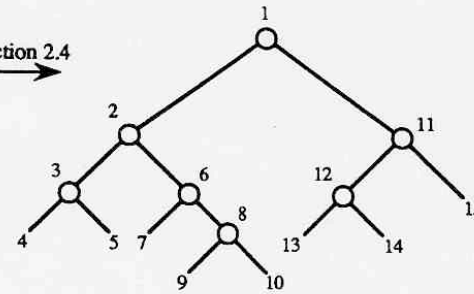
Combinatorial

Bijections

the trilogy:
binary trees, Dyck paths,
forest of planar tress



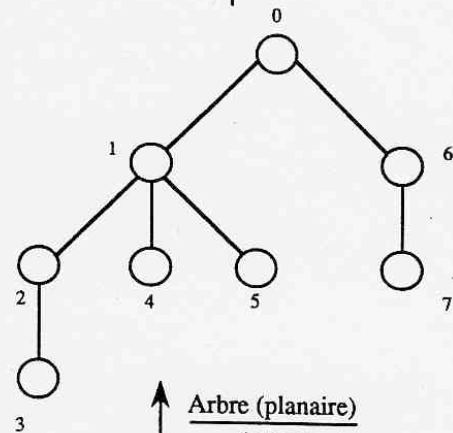
bijection 2.4



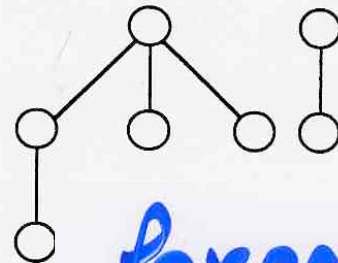
Arbre binaire complet
($2n+1$ sommets)

Dyck Paths

φ bijection 2.5



Arbre (planaire)
($n+1$ sommets)
bijection 1.18

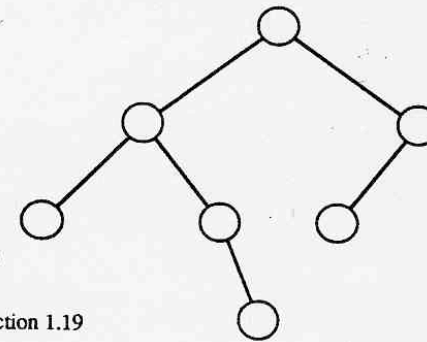


forest of trees

ε

binary trees

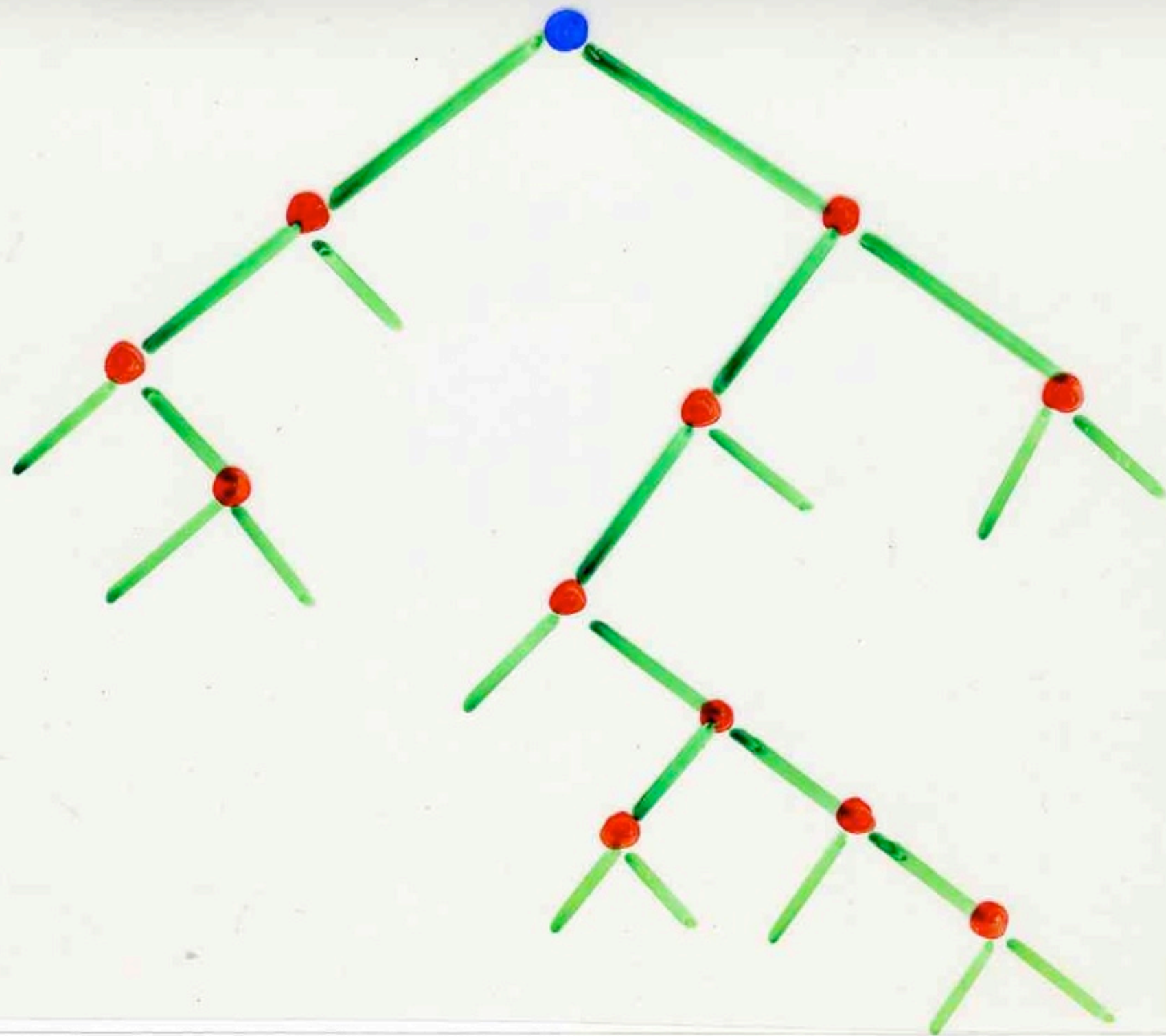
bijection 1.19

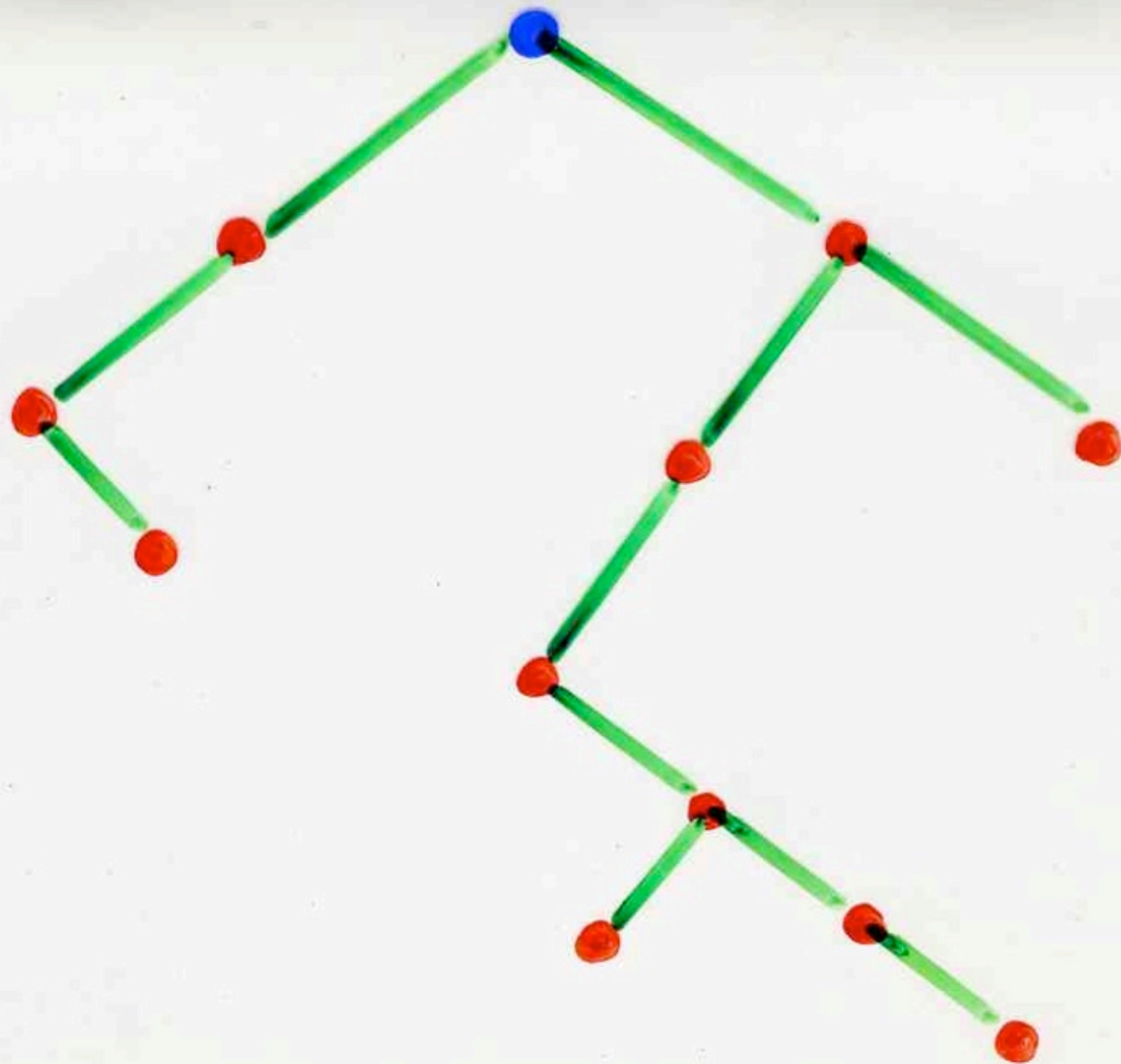


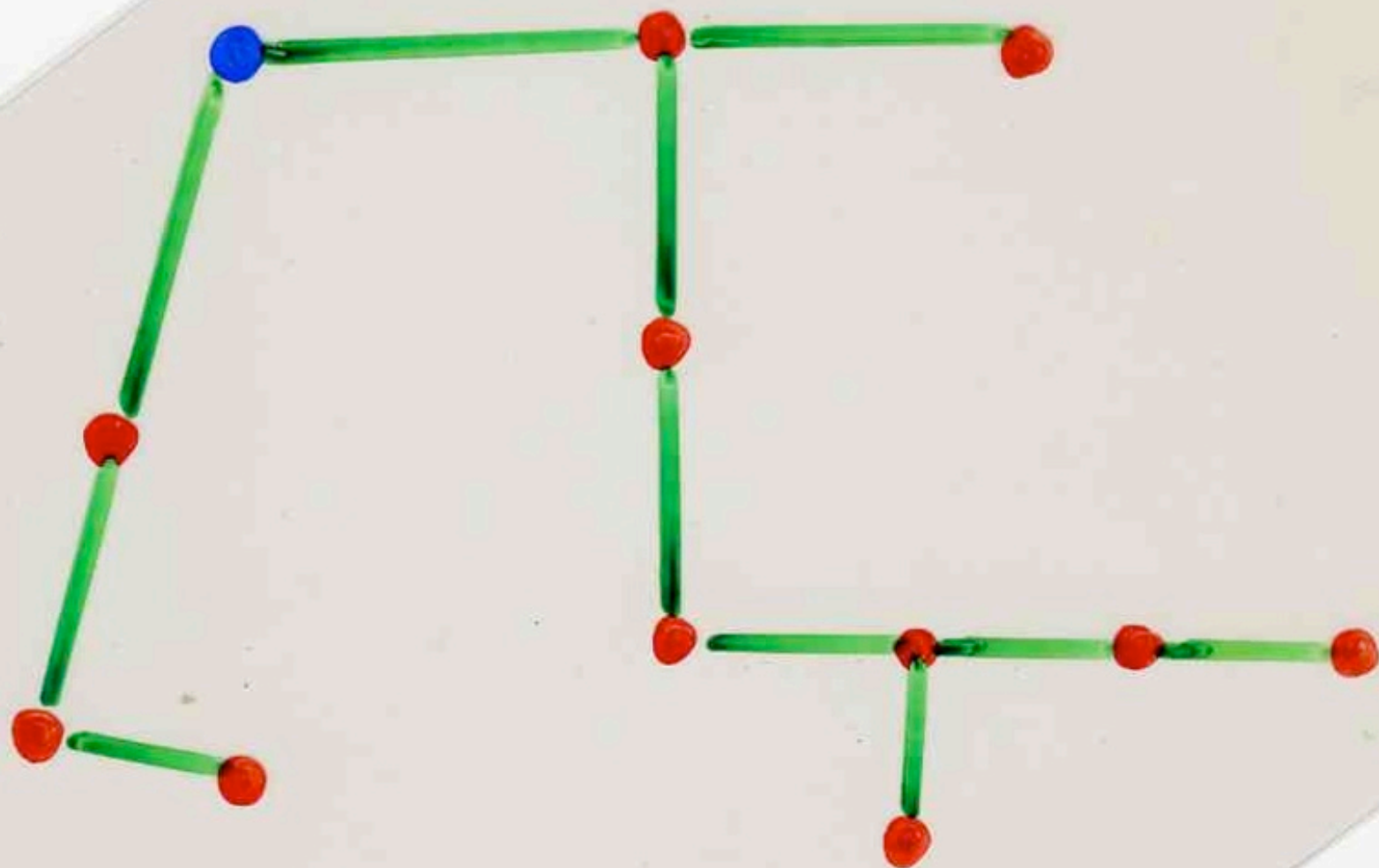
Arbre binaire
(n sommets)

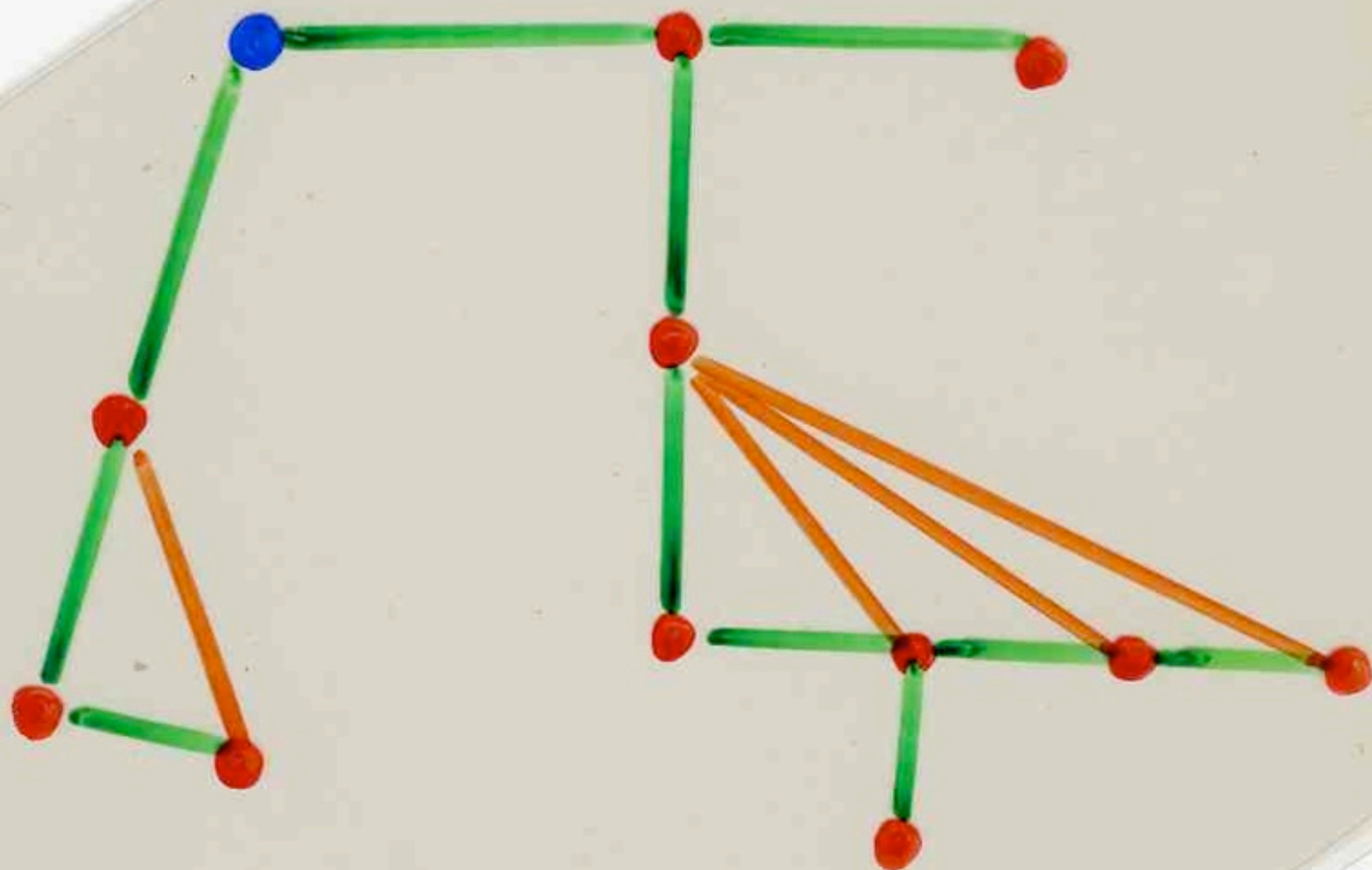
From a binary tree to
an (ordered) forest
of (planar) trees

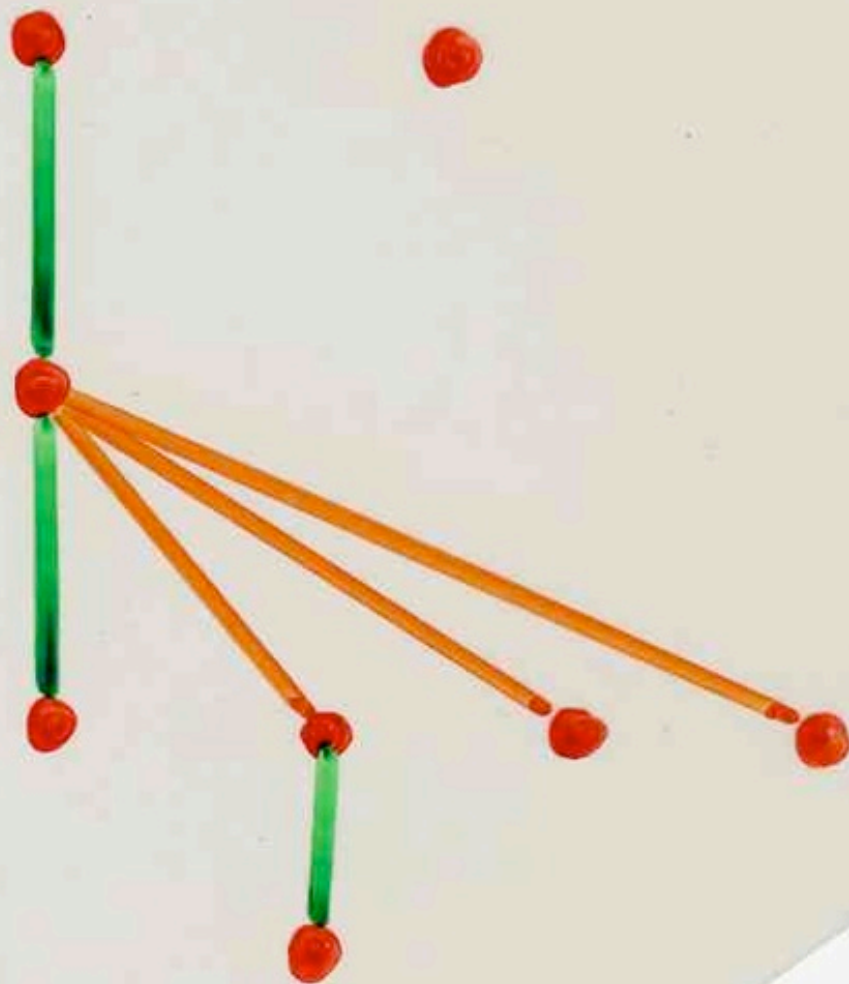
TAOCP
“natural transform”

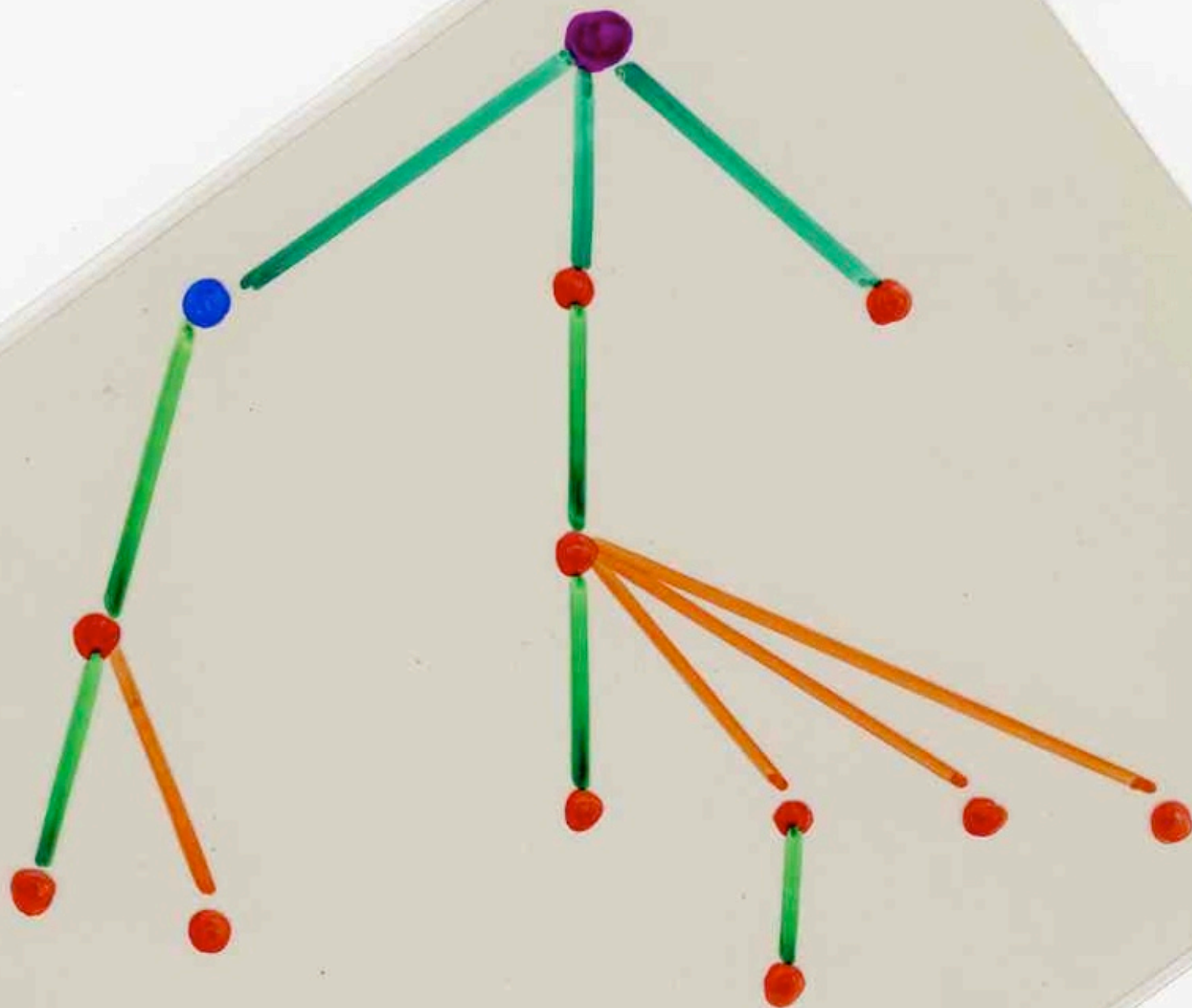


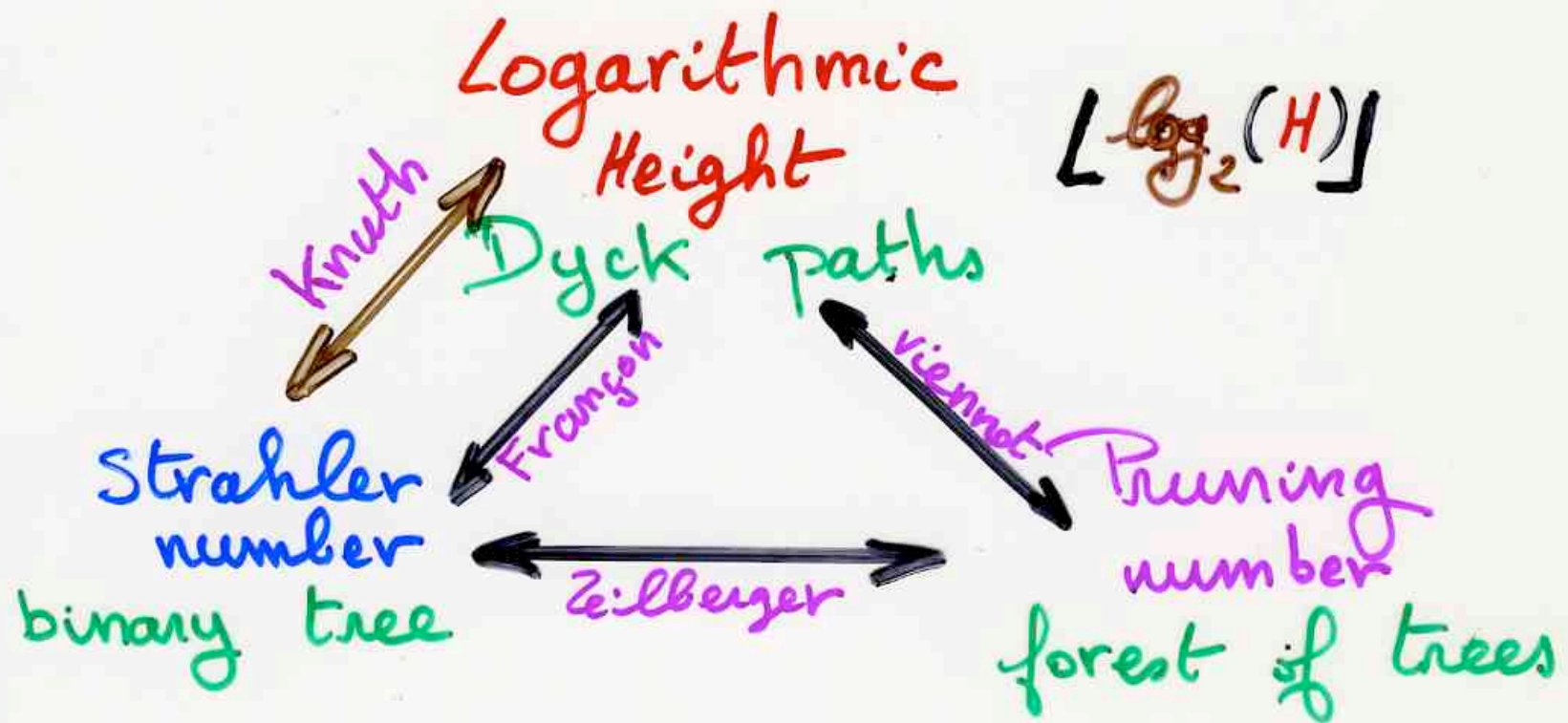




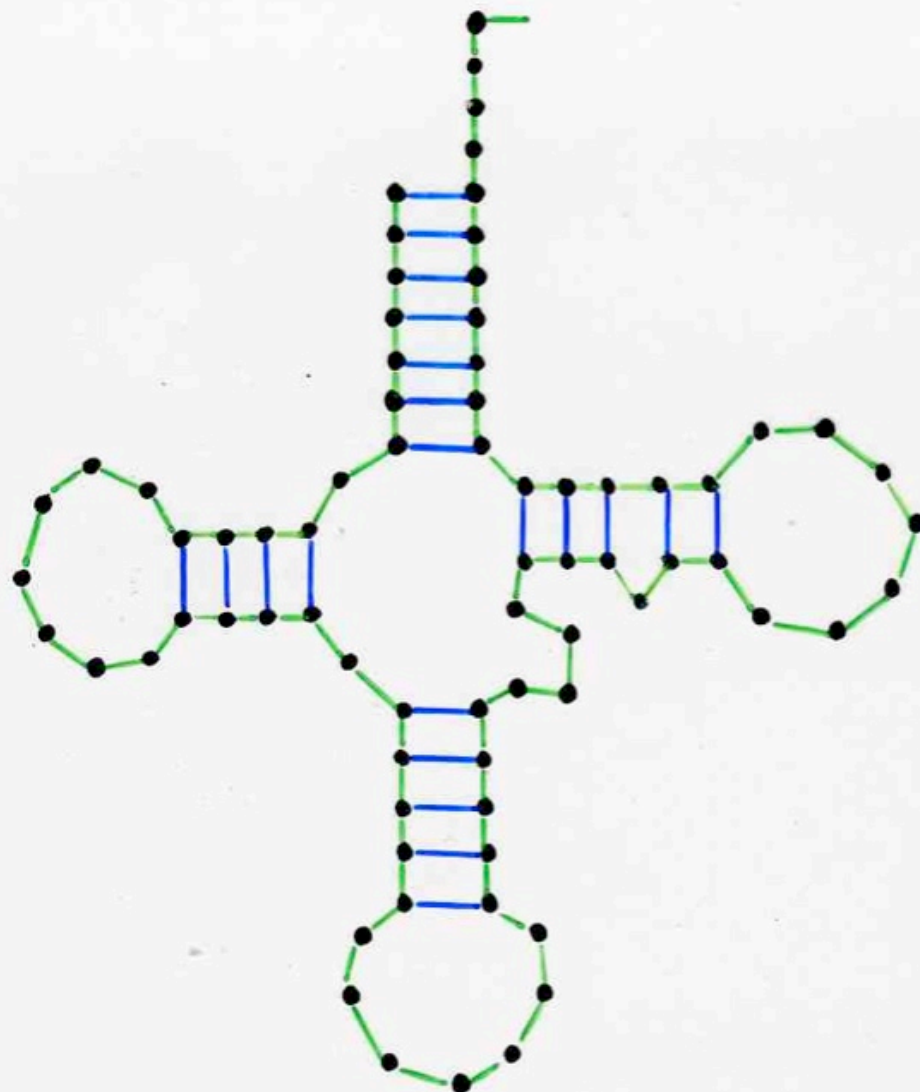




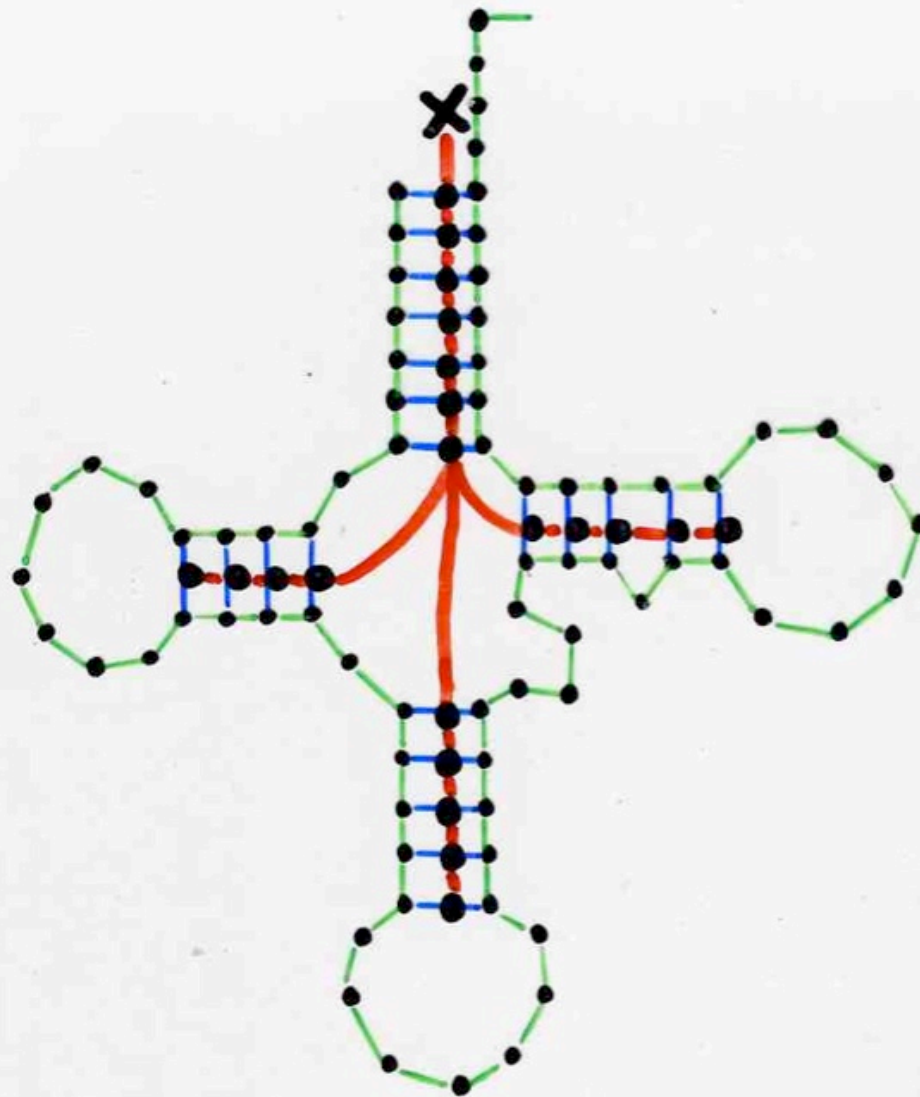




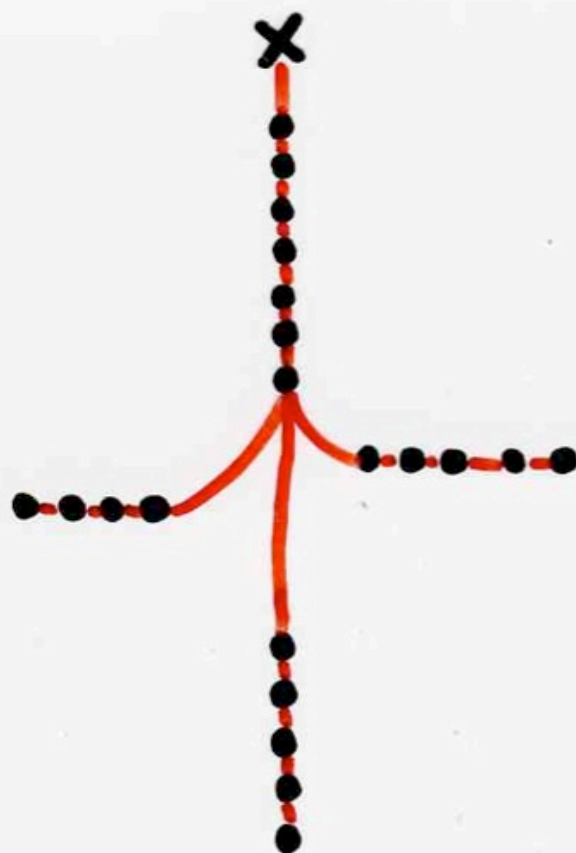
trees
in secondary structures
of RNA



tARN^{Phe}



tARN^{Phe}



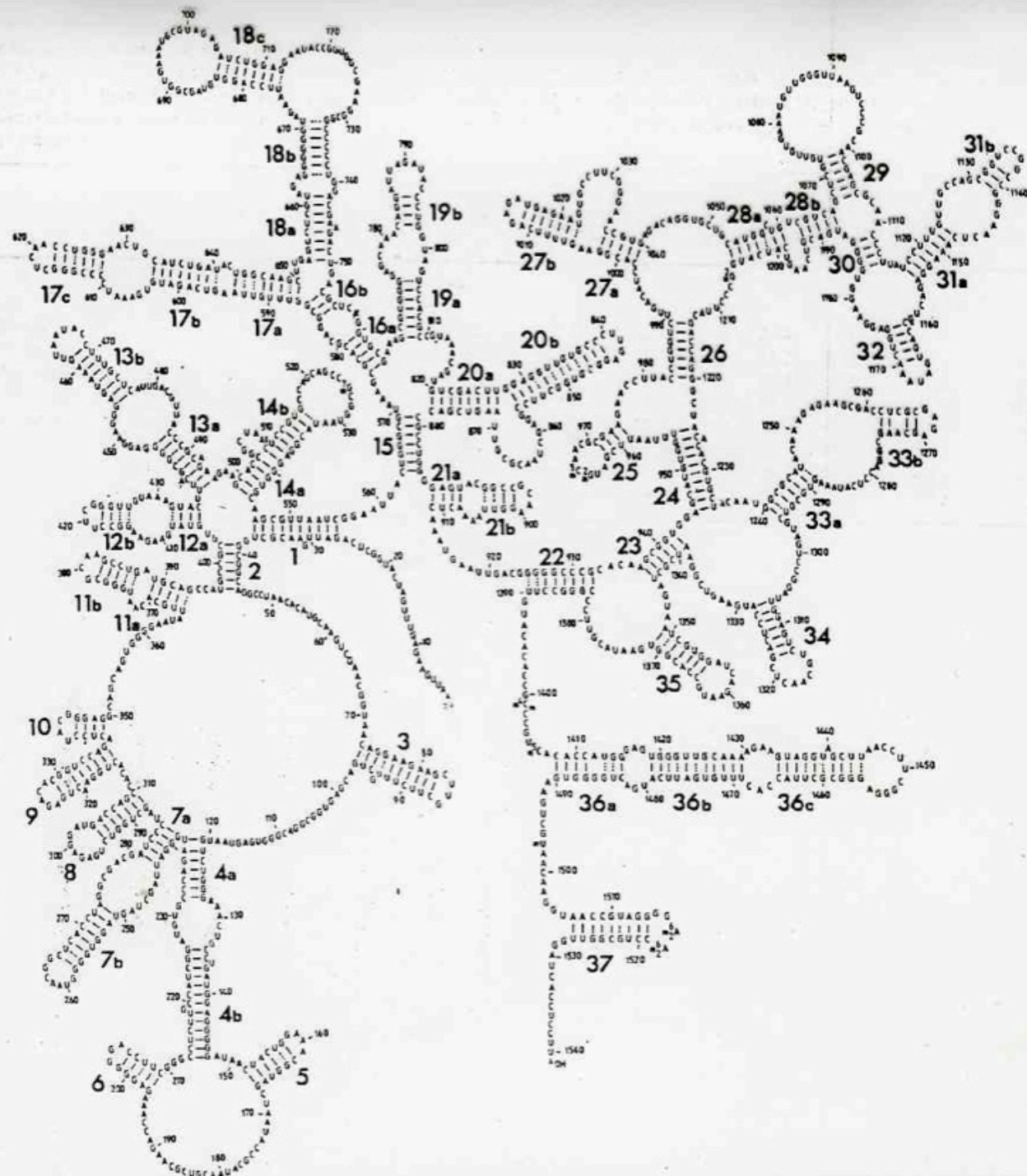


Fig. 1. Secondary structure model of the 16S RNA from *E. coli*. This model has been fully described elsewhere [18]. The various secondary structure motifs are numbered for reference. Base-pairings 2 and 23 are included in this up-dated scheme and slight modifications have been introduced into helices 18b and 33b

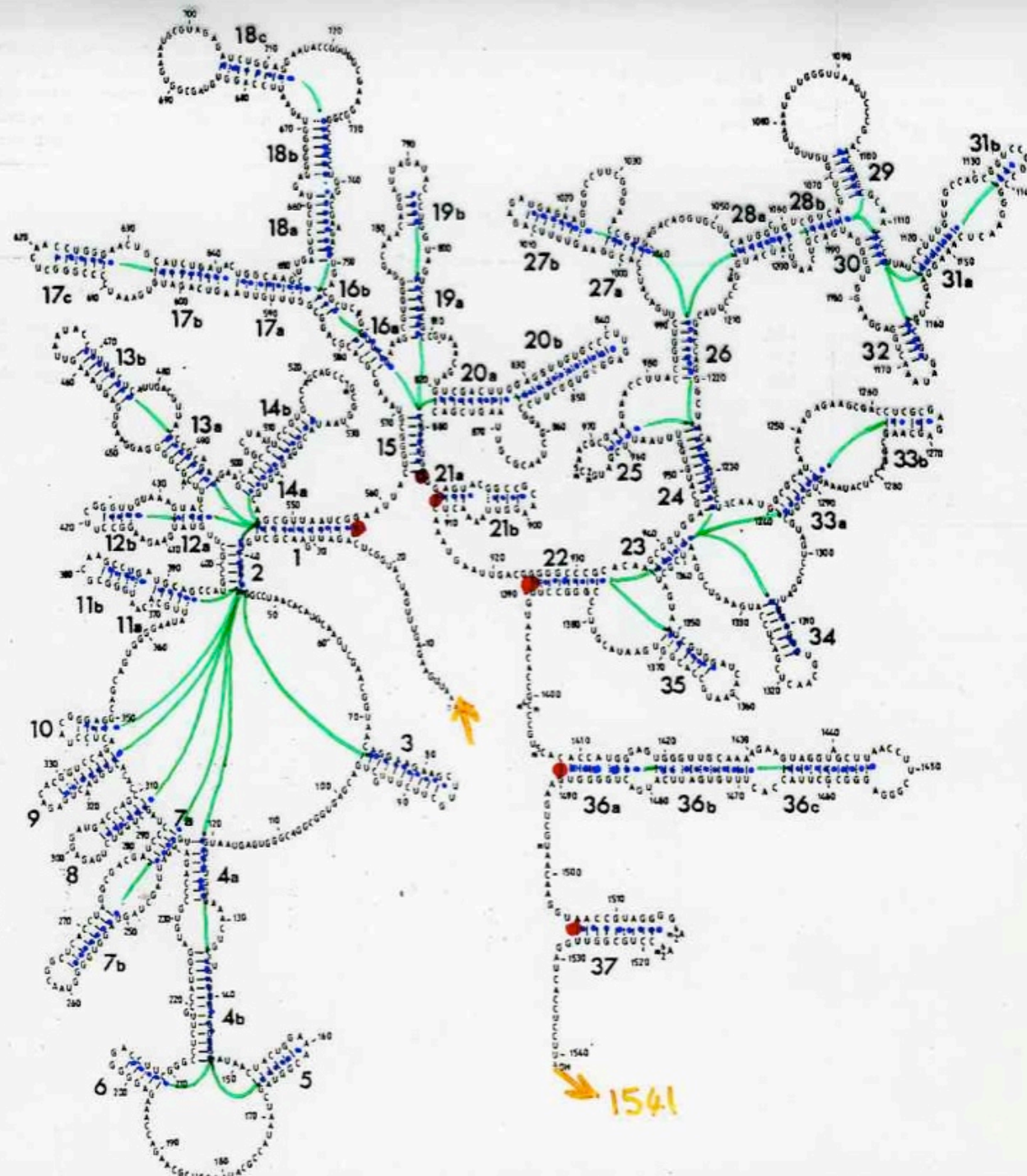
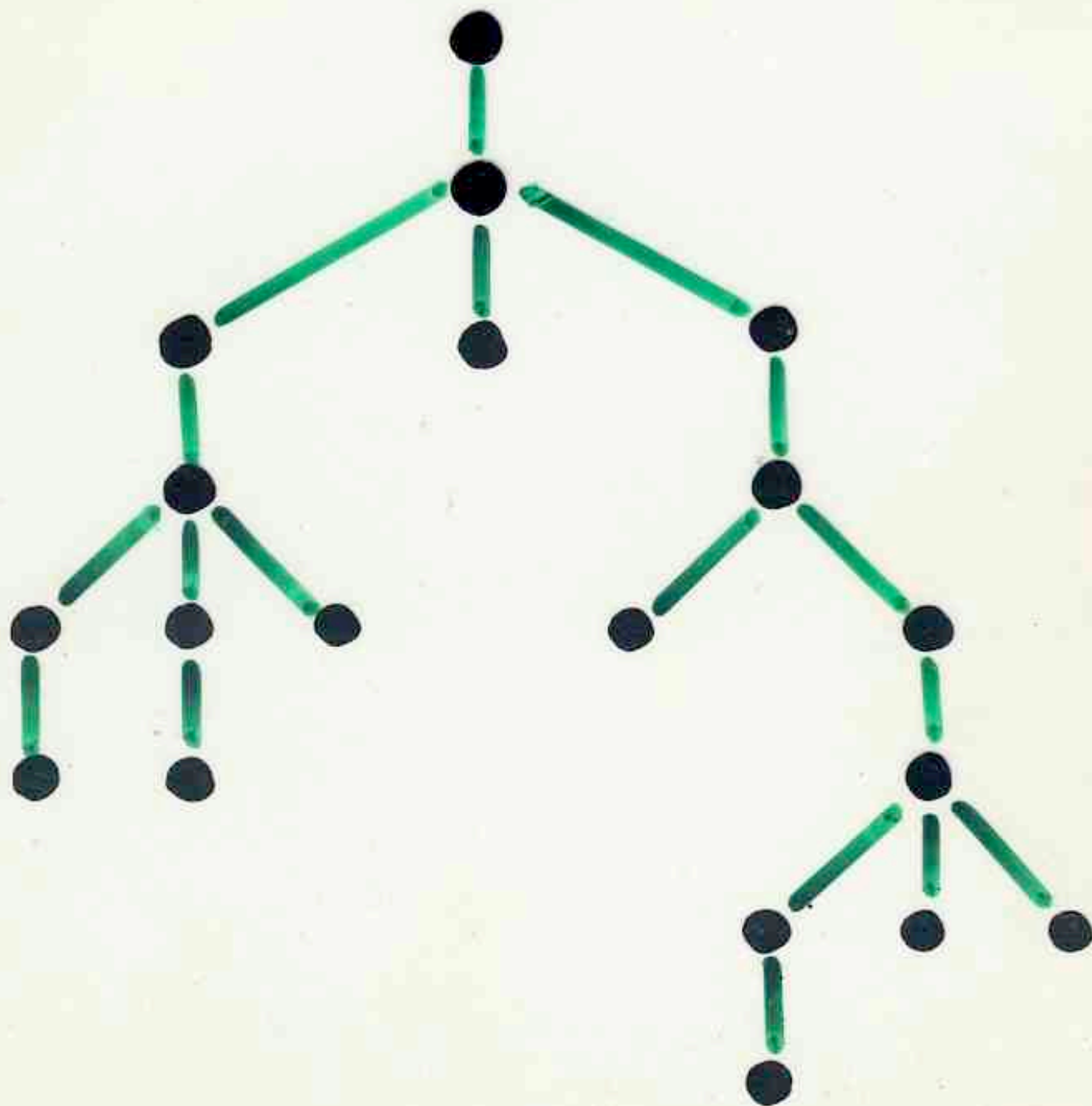


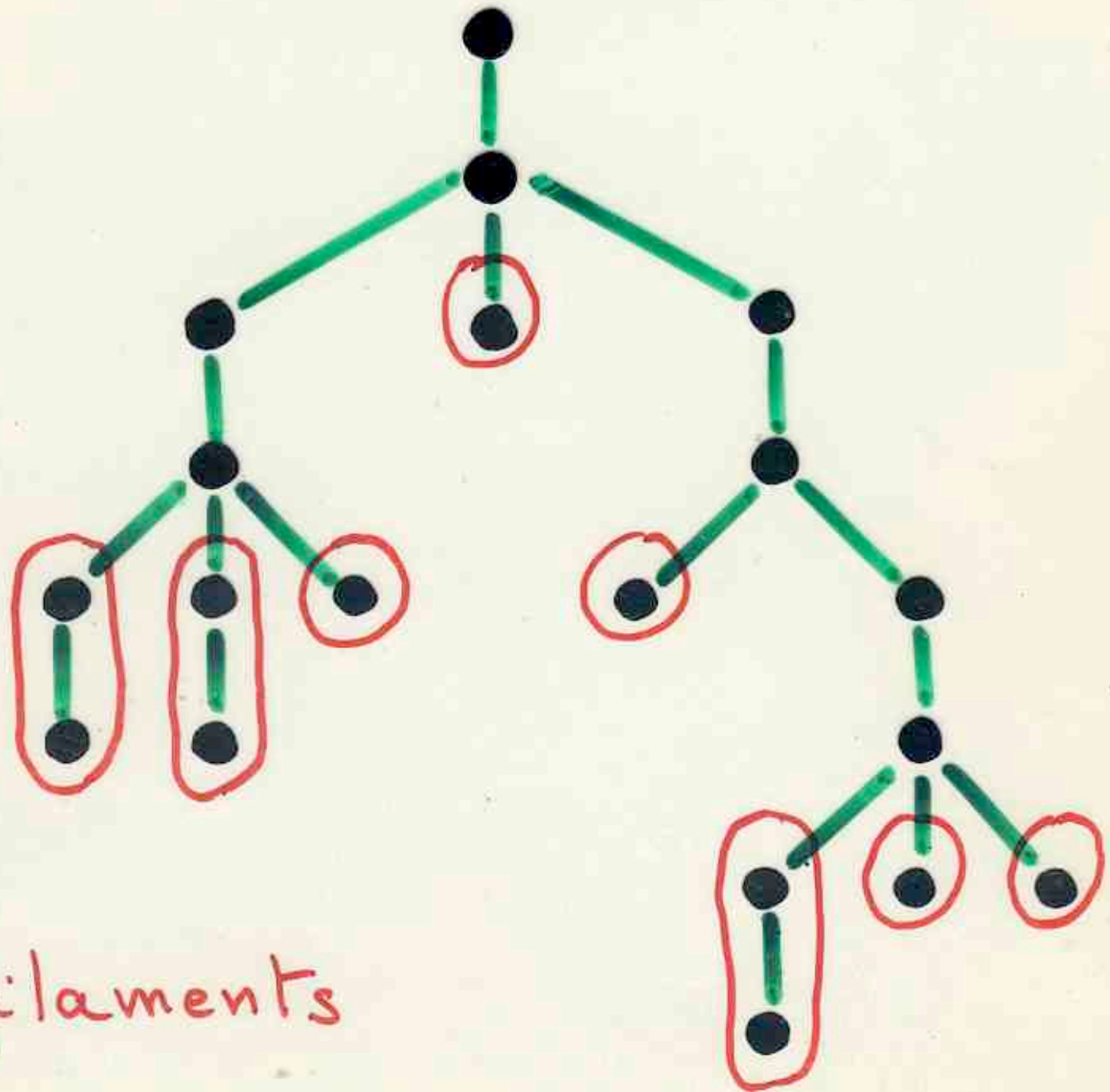
Fig. 1. Secondary structure model of the 16S RNA from *E. coli*. This model has been fully described elsewhere [18]. The various secondary structure motifs are numbered for reference. Base-pairings 2 and 23 are included in this up-dated scheme and slight modifications have been introduced into helices 18b and 33b

M. Waterman

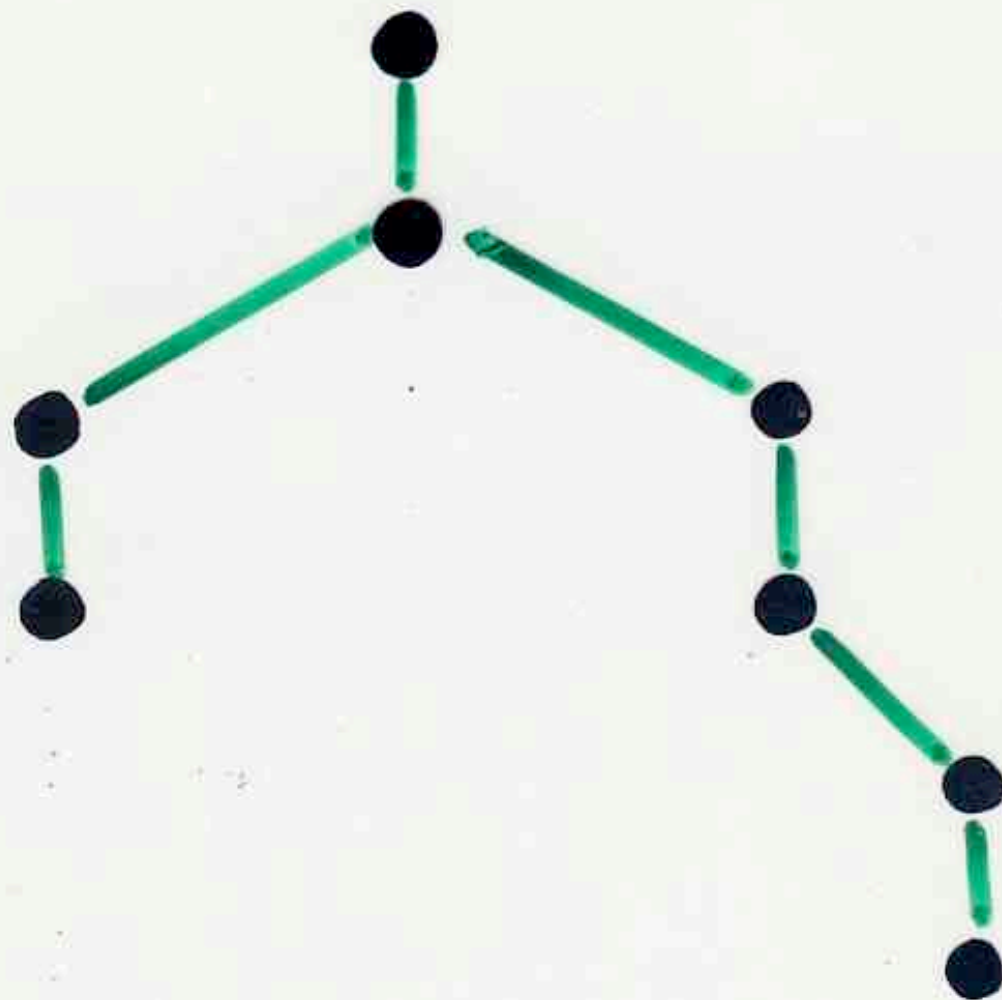
- ordre d'une structure secondaire ARN
- ordre de la forêt d'arbres sous-jacente
- ordre d'un arbre (planaire)

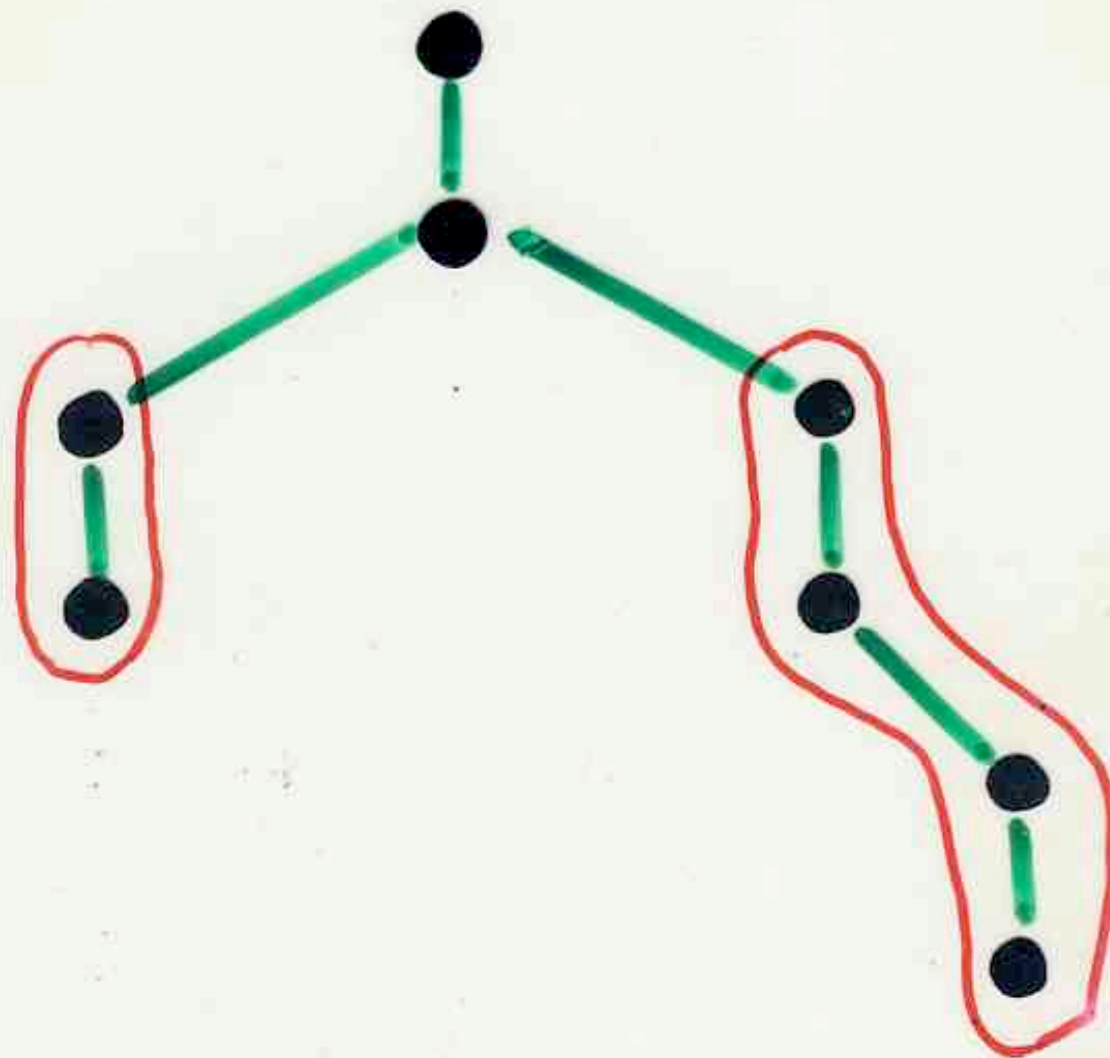
pruning number
of
forests of planar trees

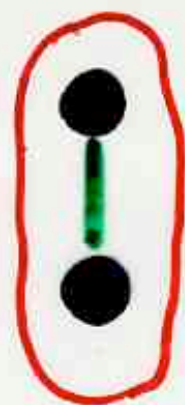




filaments











$F_{n,k}$ = nombre de
forêts d'arbres
ayant n sommets
et d'ordre k

number of forests of planar trees with n vertices and pruning number k

$F_{n,k}$ = nombre de
 forêts d'arbres
 ayant n sommets
 et d'ordre k

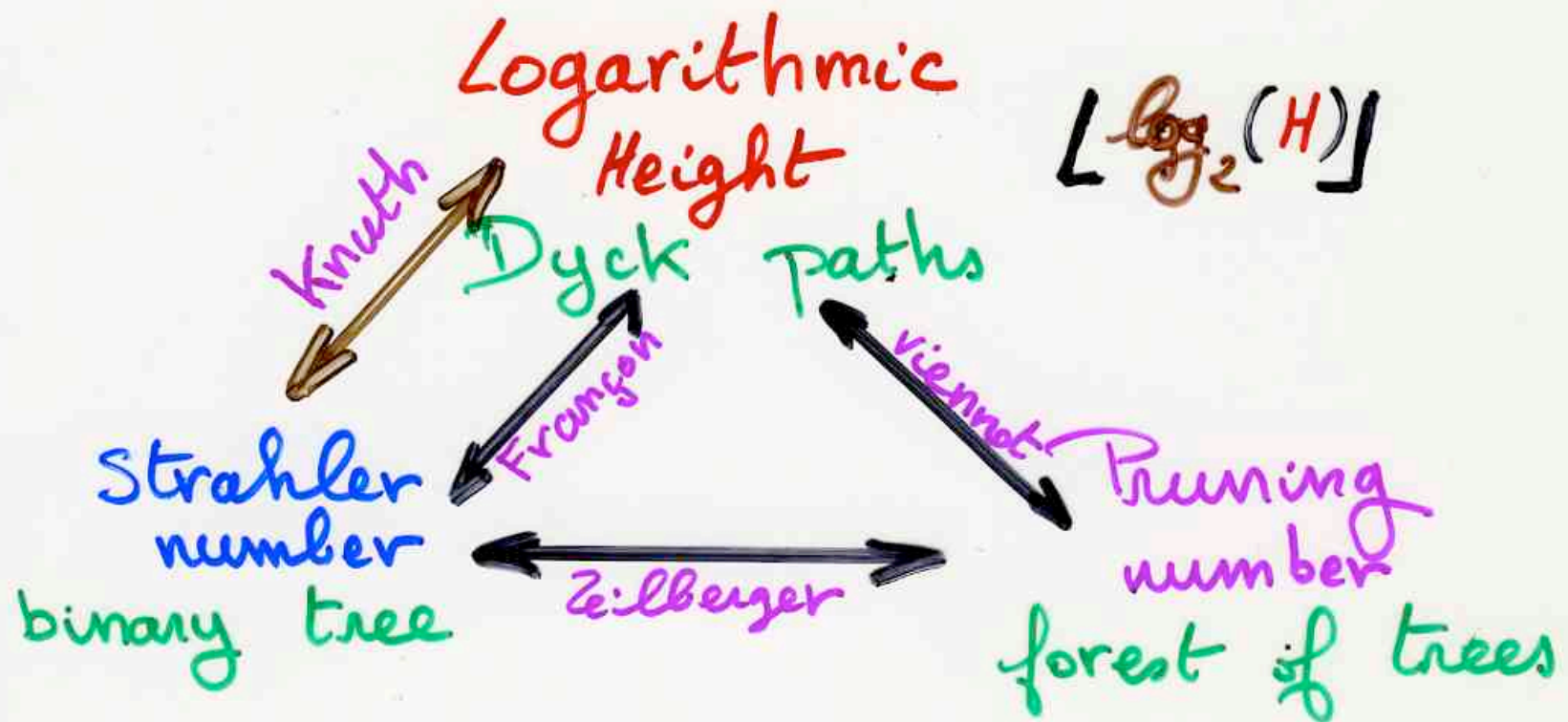
number of forests of planar trees with n vertices and pruning number k

= $S_{n,k}$

Vauchassade de Chaumont
 X. V. (1985) (2001)

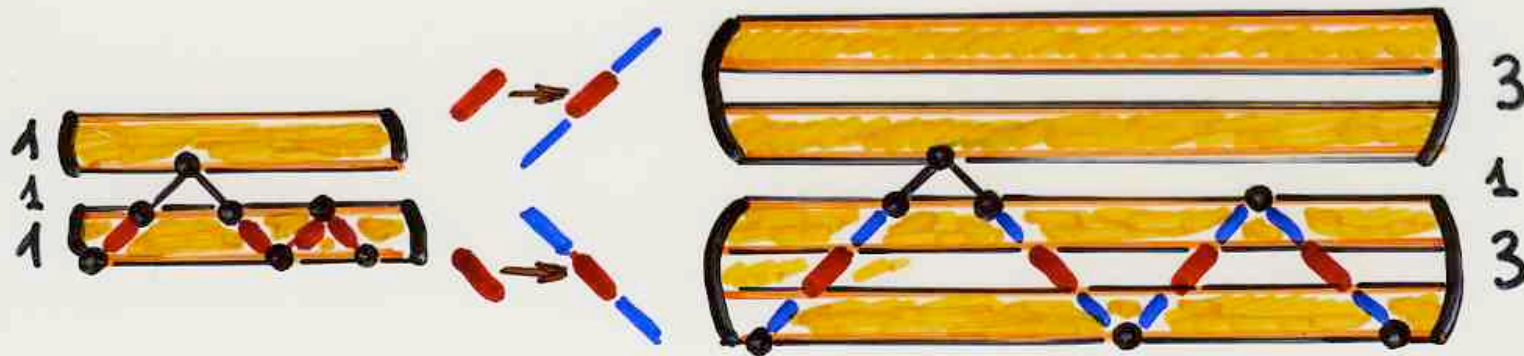
D. Zeilberger (1985)

number of binary trees with n vertices and Strahler number k



$$1 + 1 \longrightarrow 2 + 2$$

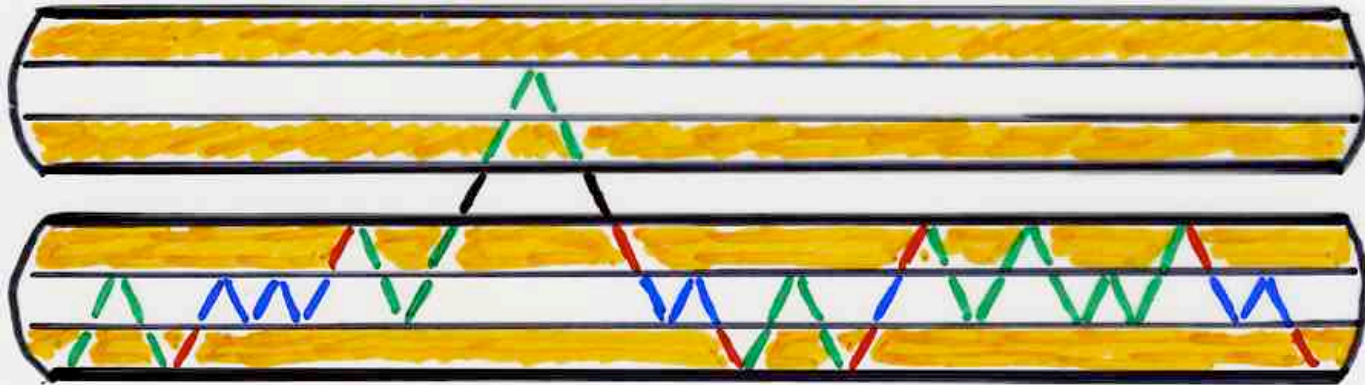
Expansion

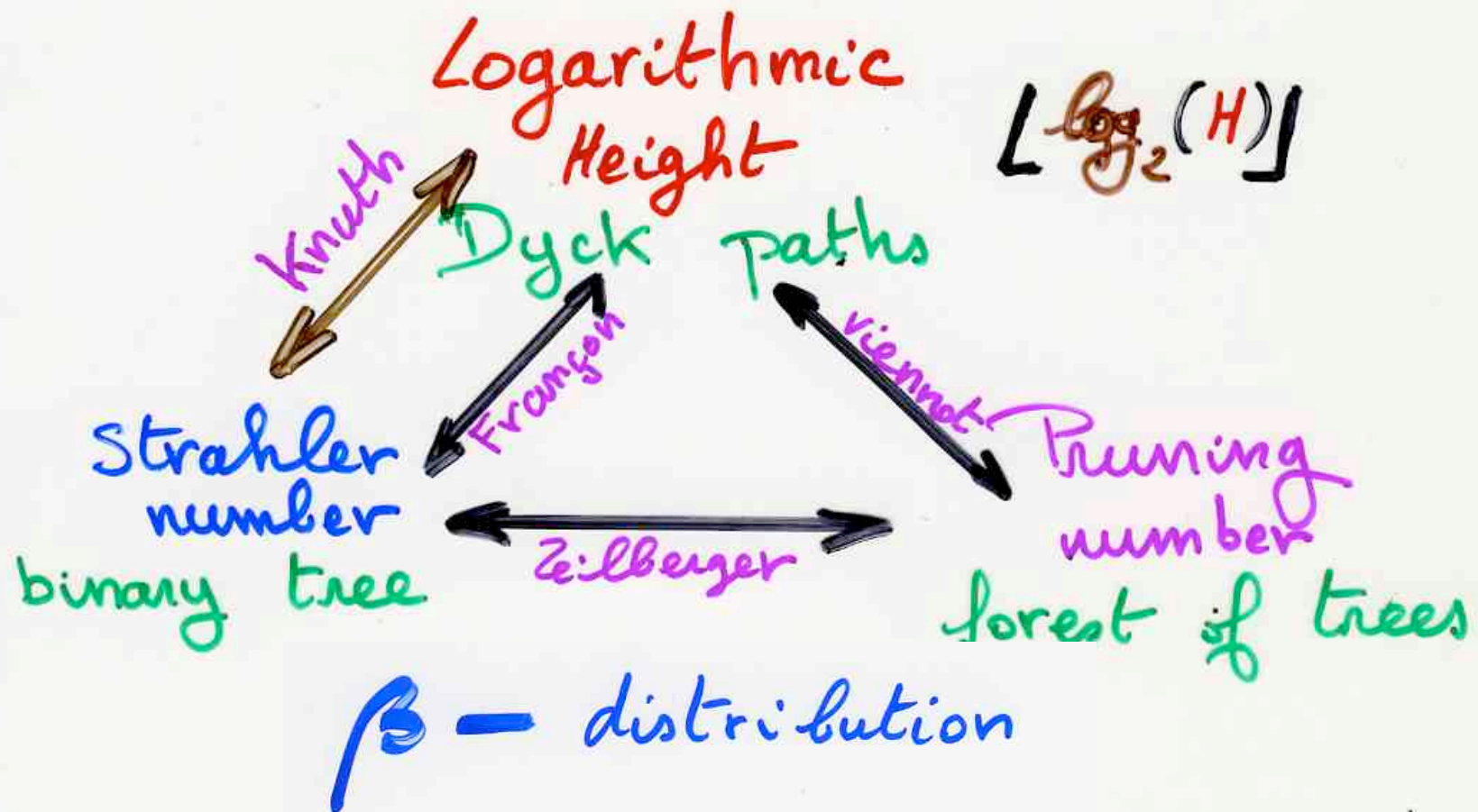


$1 + 1$



$1 + 3$





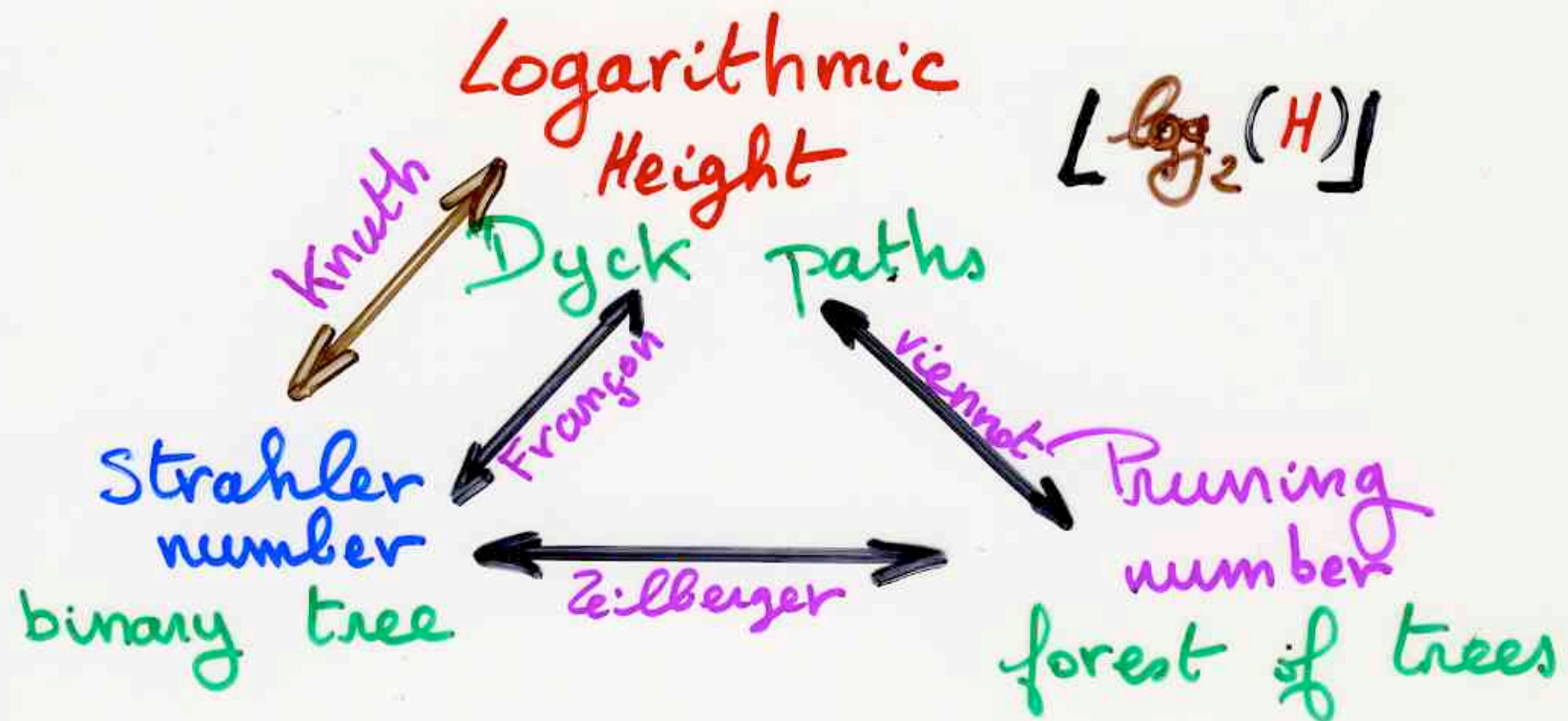
Programs to Read

[ZEILBERGER](#), [FRANÇON](#), [VIENNOT](#), an [explanatory introduction](#),
and a [MetaPost source file for VIENNOT](#)

Three Catalan bijections related to Strahler numbers, pruning orders,
and Kepler towers (February 2005)

Kepler towers

system of Kepler towers
number of towers



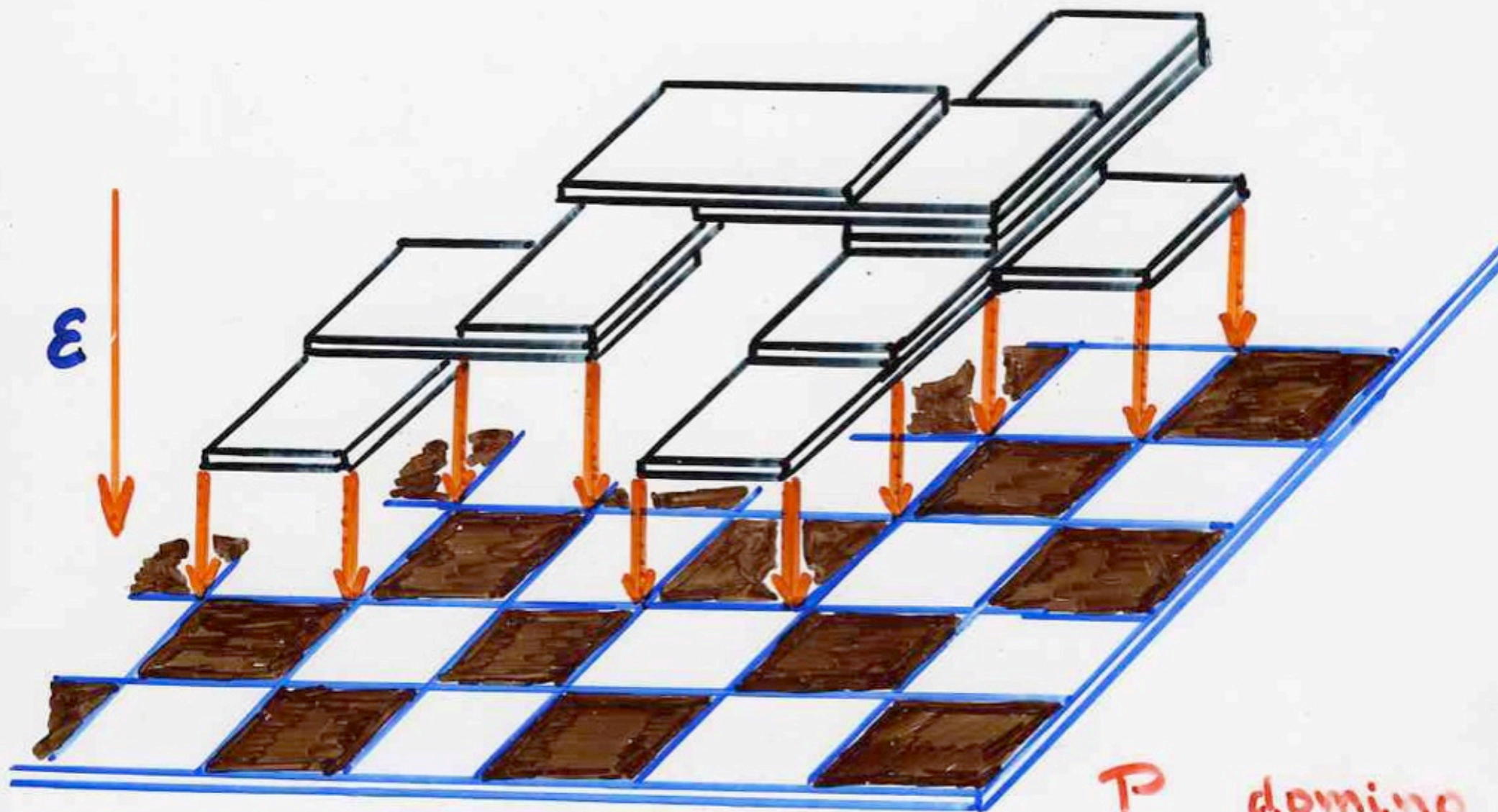






Heaps of pieces

Kepler towers



$$B = \mathbb{R} \times \mathbb{R}$$

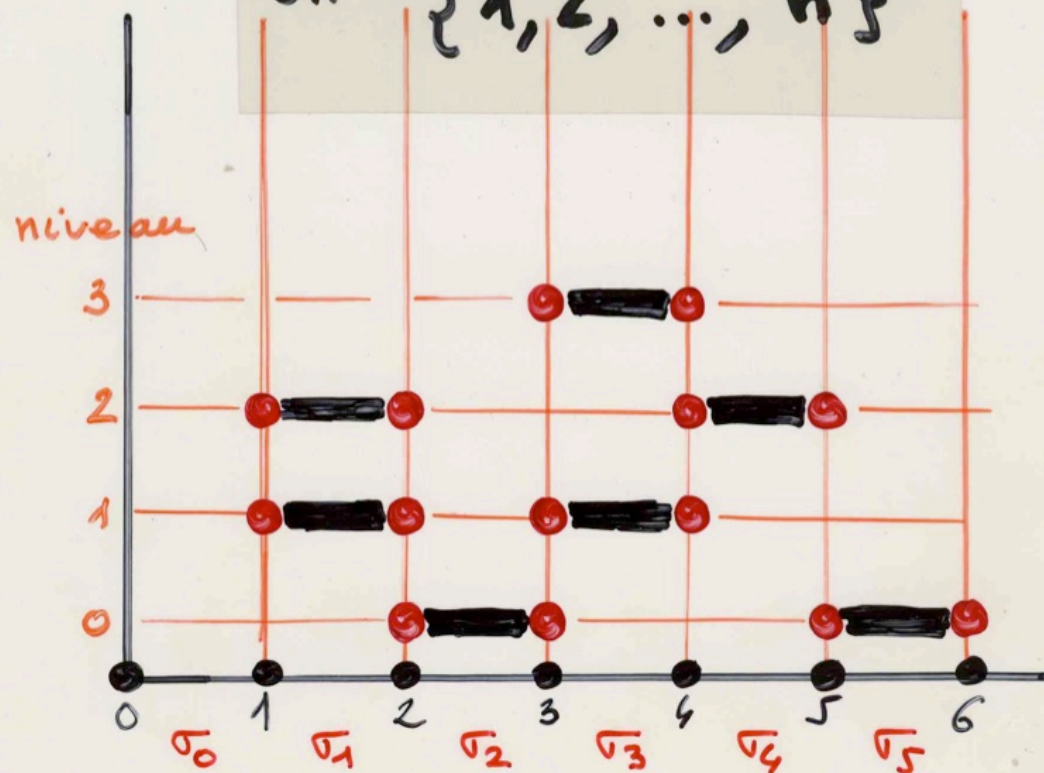
P domino

$$\pi = Id$$

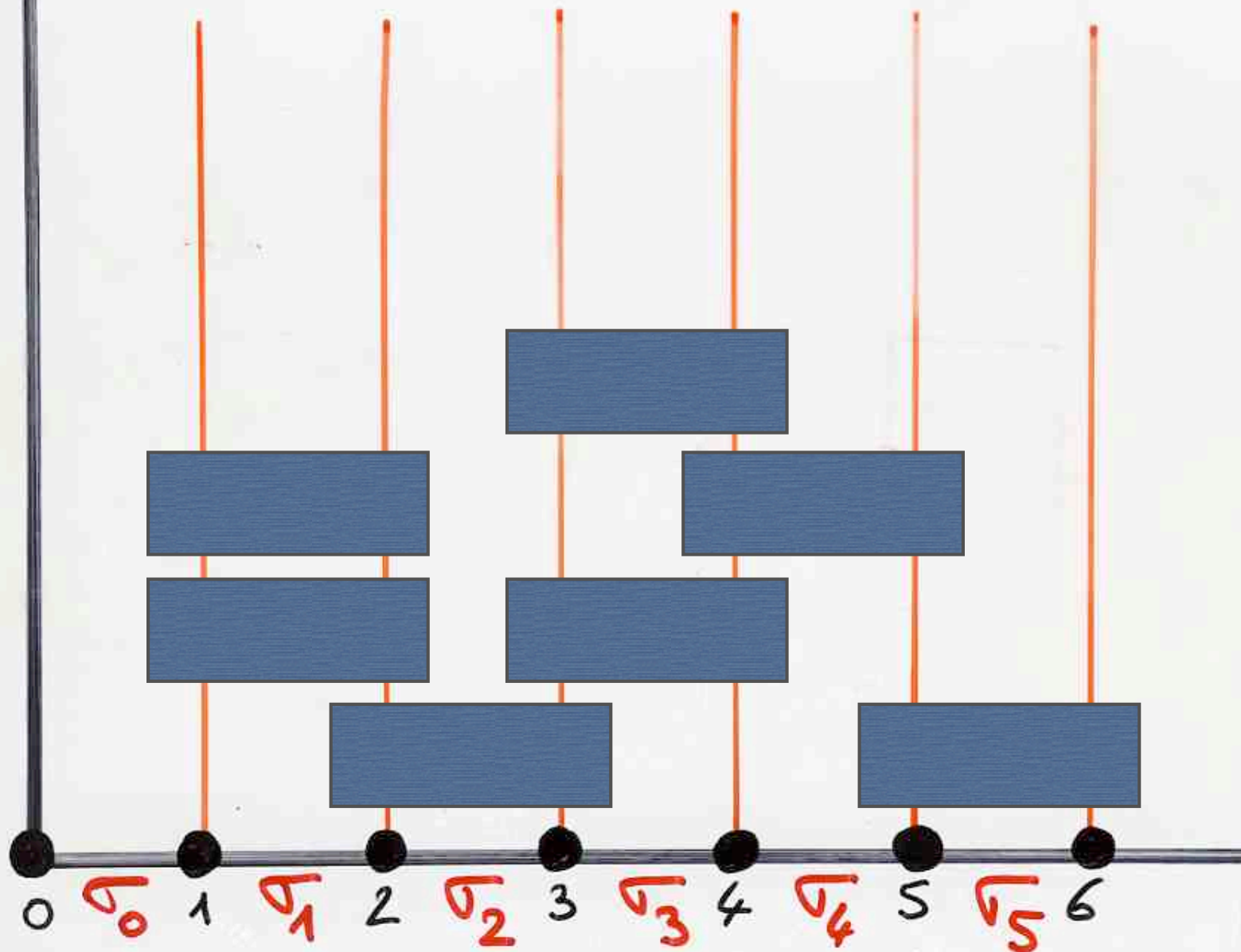
heap of

dimers

on $\{1, 2, \dots, n\}$



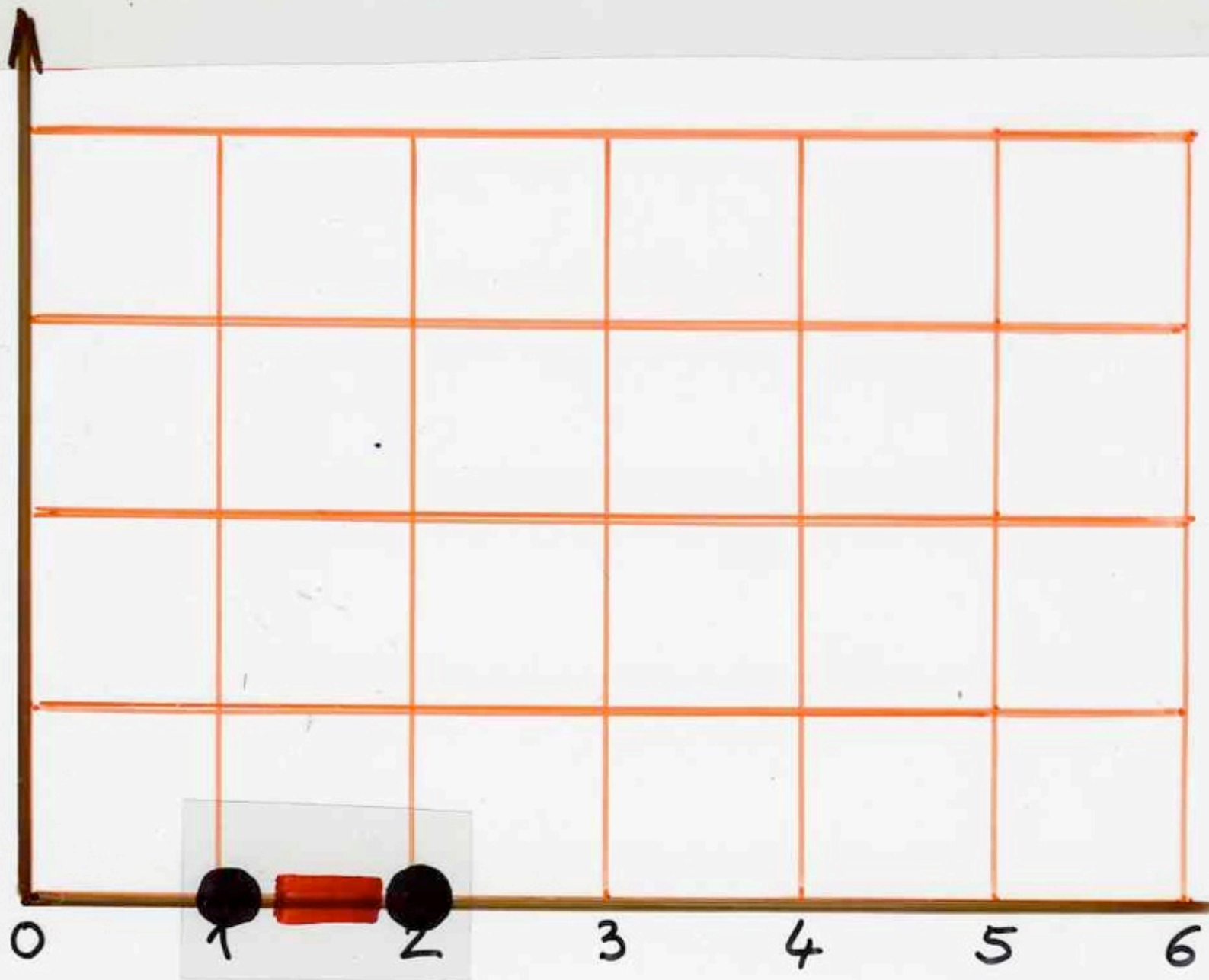
$$W = \sigma_2 \sigma_3 \sigma_5 \sigma_1 \sigma_4 \sigma_1 \sigma_3$$



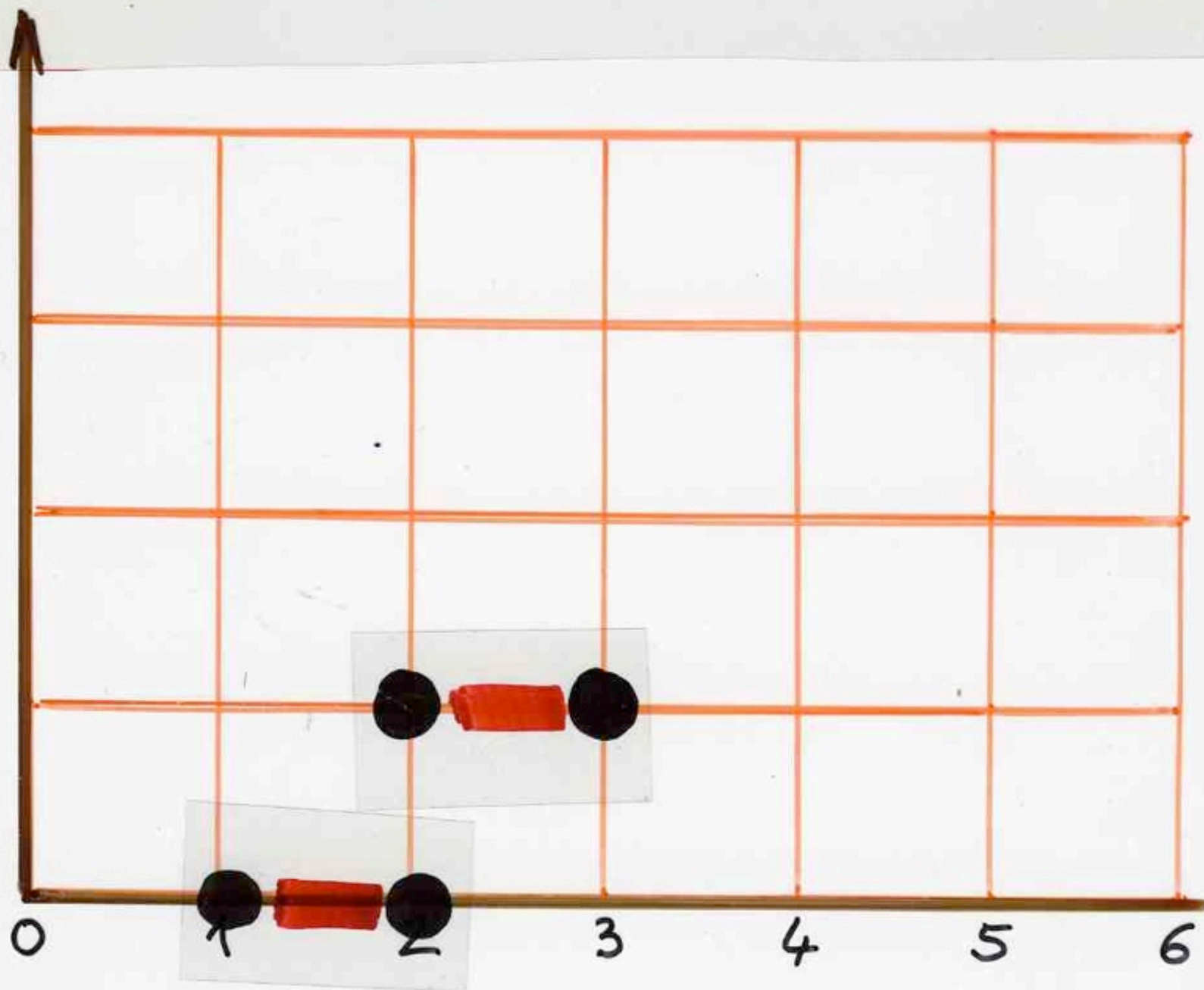
$$w = \sigma_1 \sigma_2 \sigma_4 \sigma_1 \sigma_4 \sigma_3 \sigma_0 \sigma_4$$



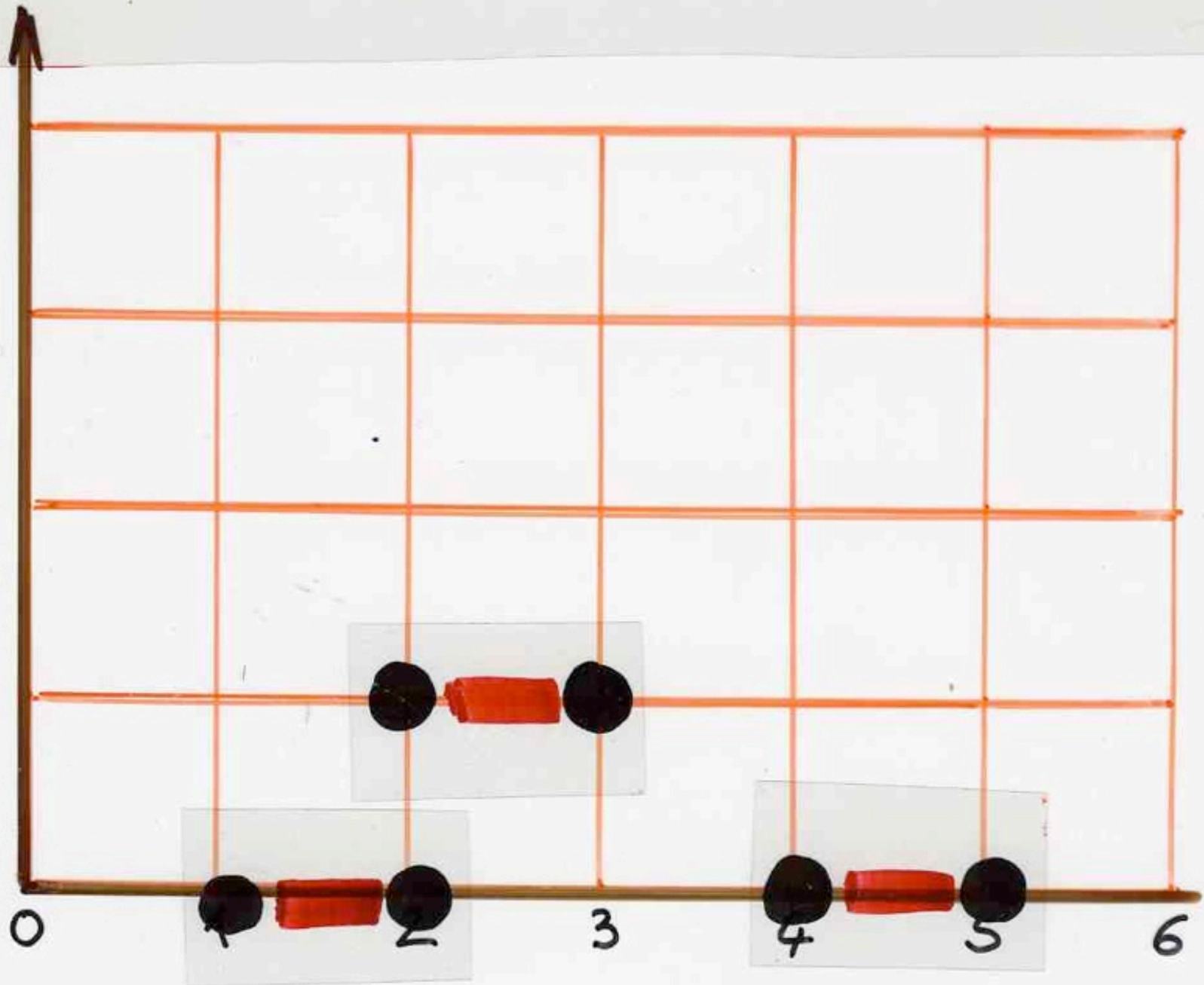
$$w = \sigma_1 \sigma_2 \sigma_4 \sigma_1 \sigma_4 \sigma_3 \sigma_0 \sigma_4$$



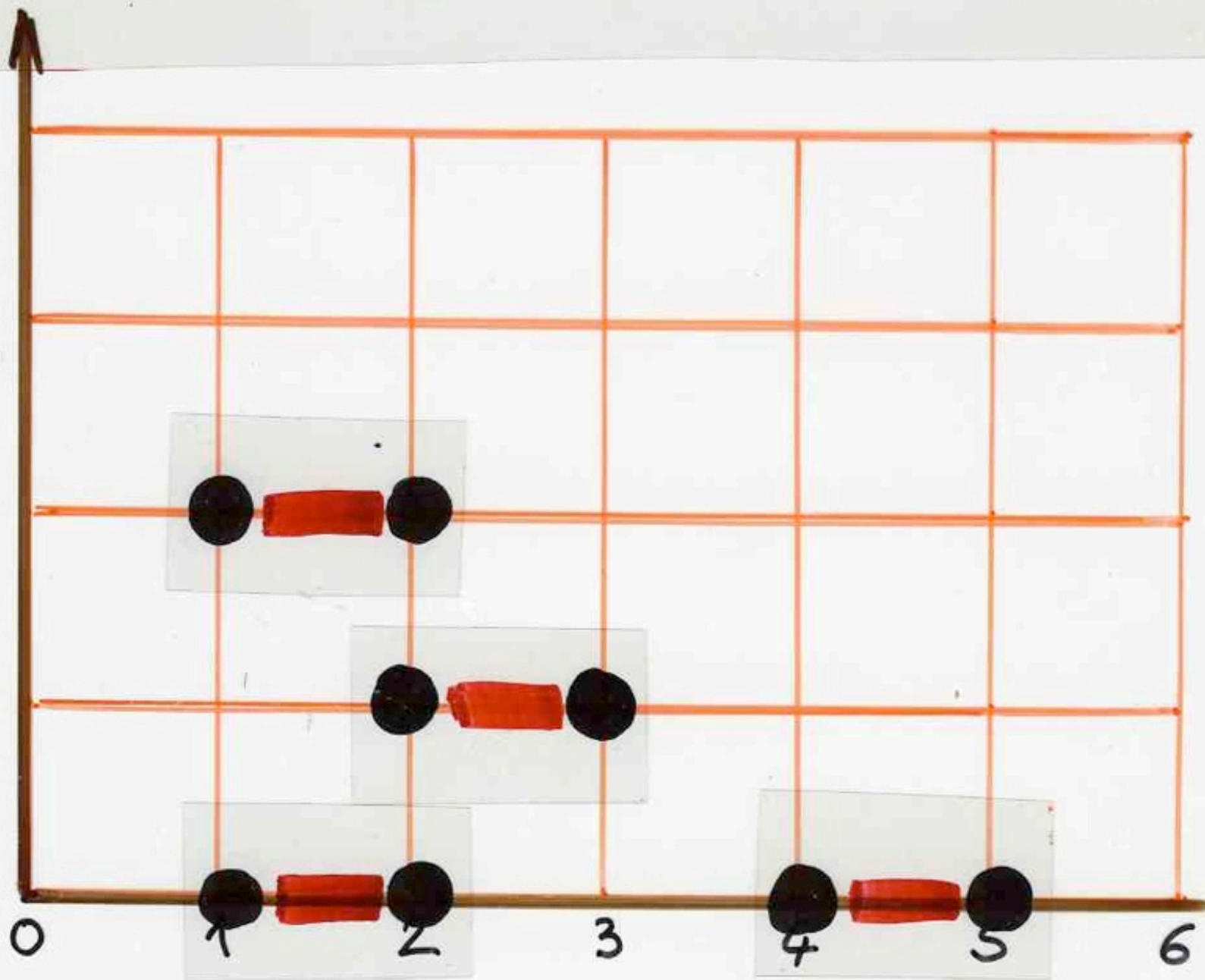
$$W = \sigma_1 \sigma_2 \sigma_4 \sigma_1 \sigma_4 \sigma_3 \sigma_0 \sigma_4$$



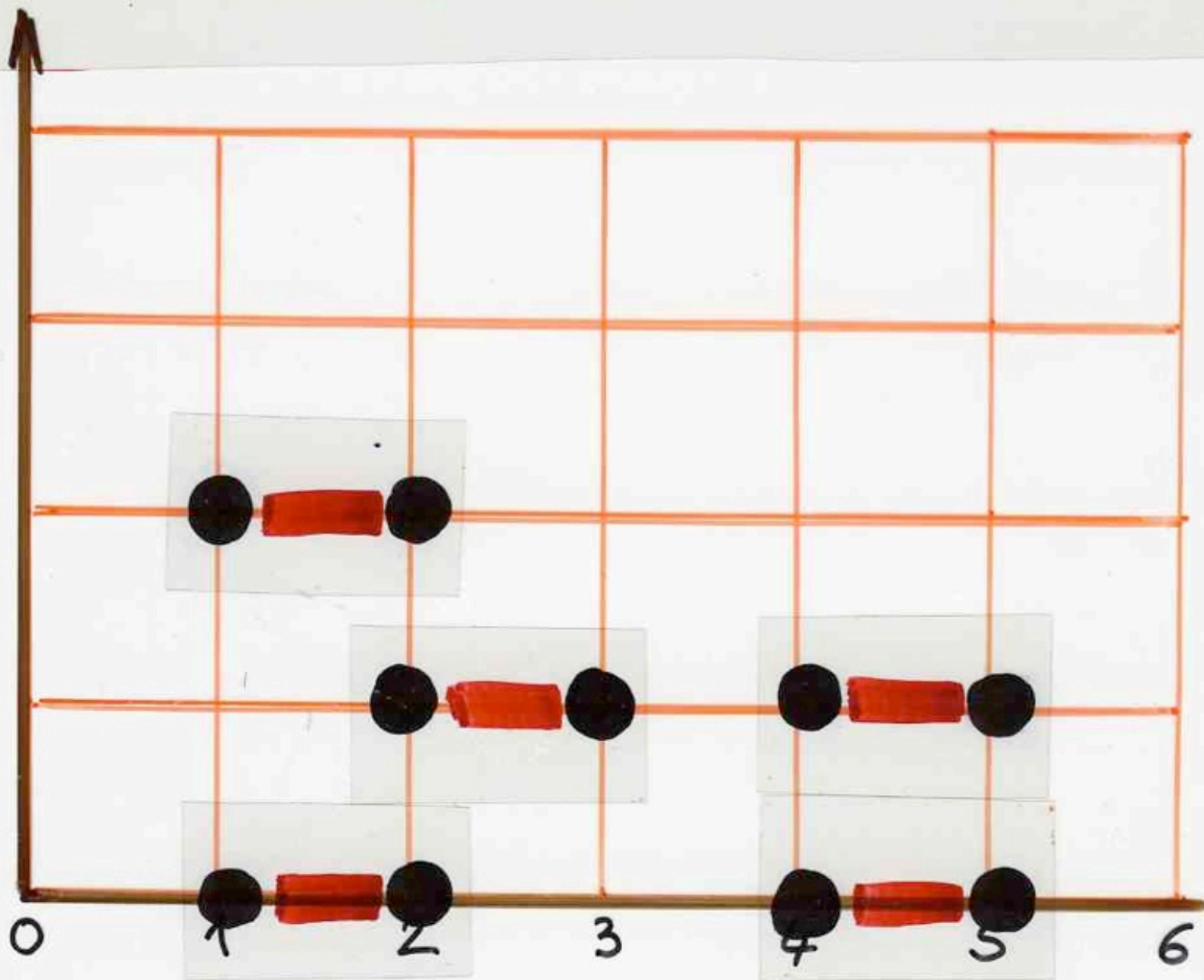
$$w = \sigma_1 \sigma_2 \sigma_4 \sigma_1 \sigma_4 \sigma_3 \sigma_0 \sigma_4$$



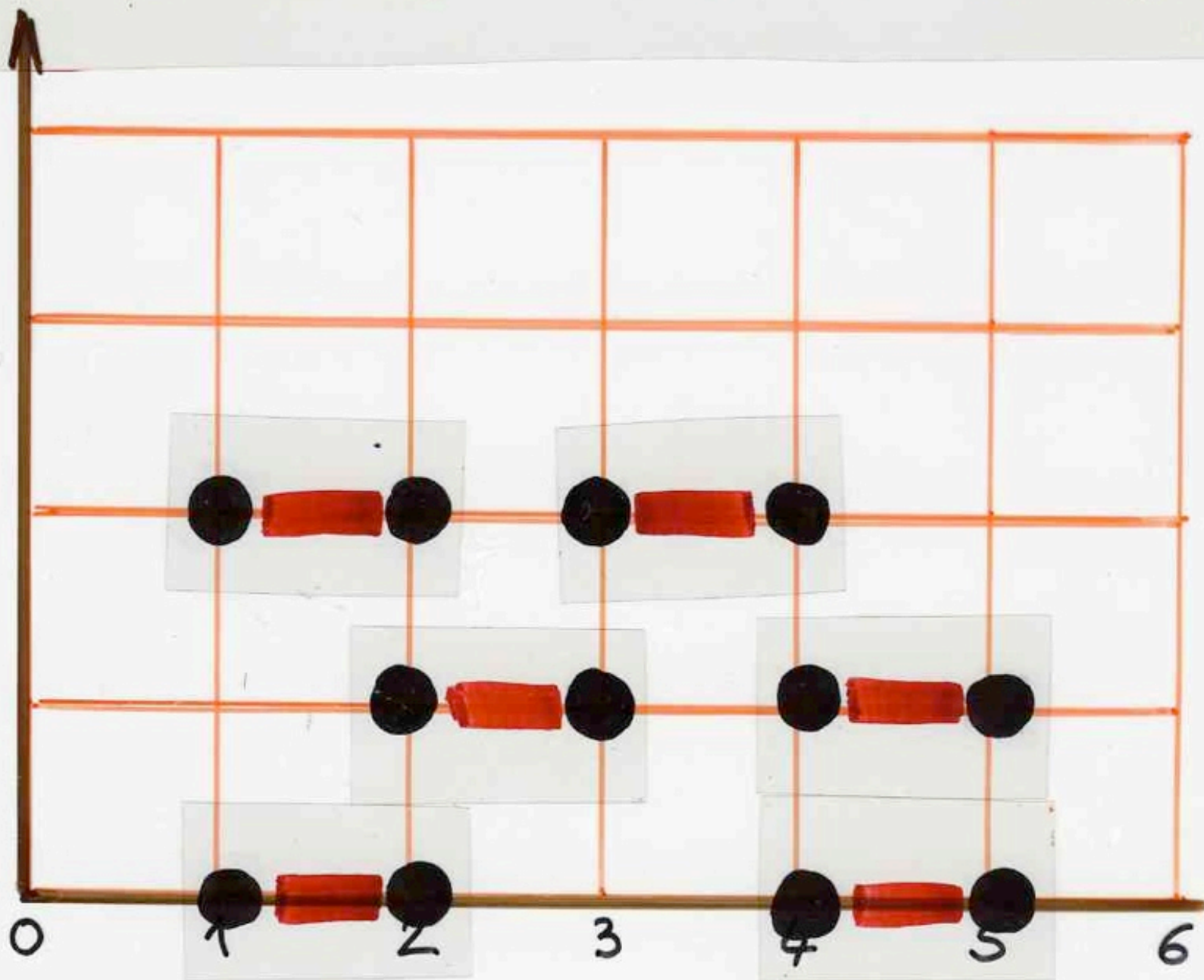
$$w = \sigma_1 \sigma_2 \sigma_4 \sigma_1 \sigma_4 \sigma_3 \sigma_0 \sigma_4$$



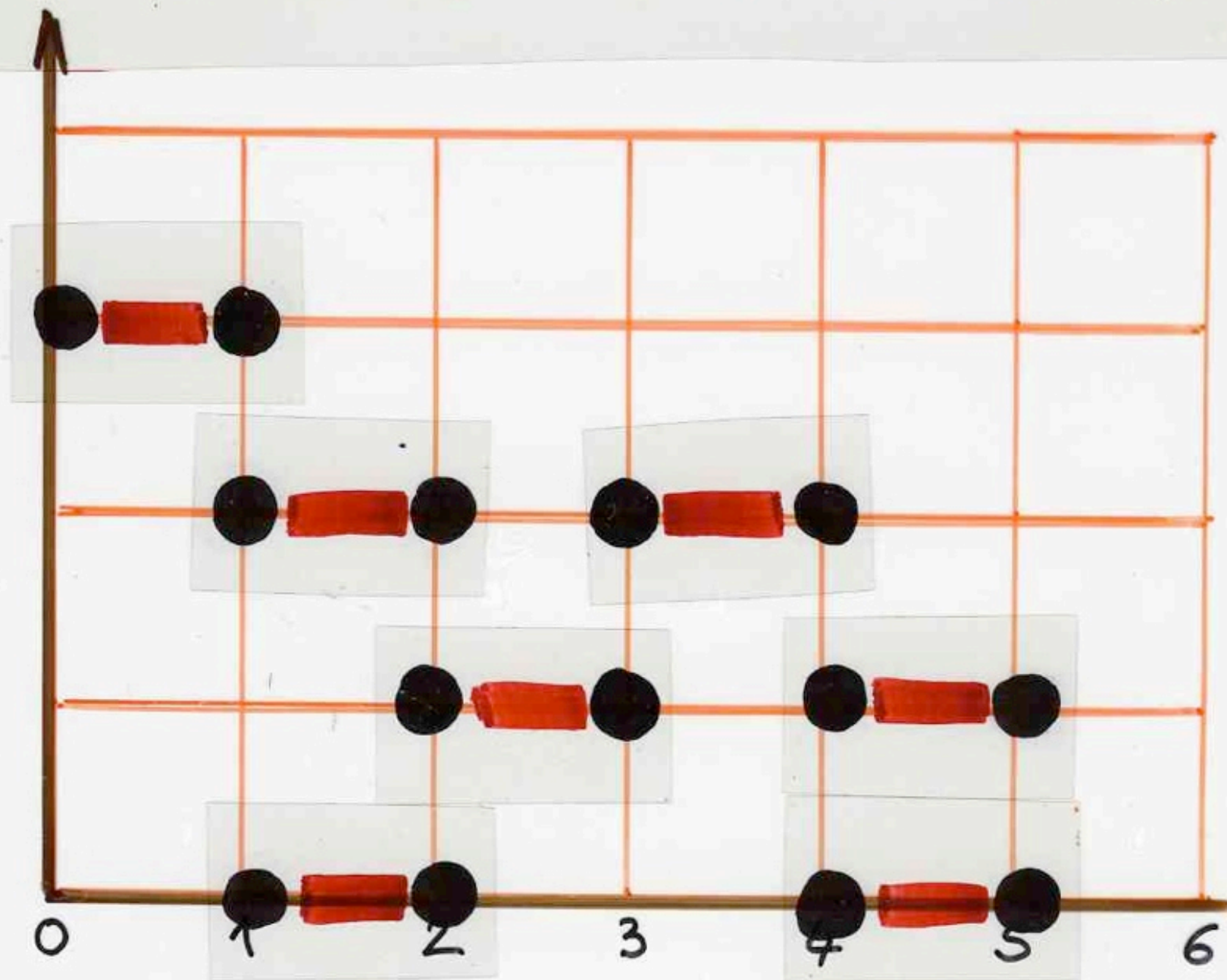
$$w = \sigma_1 \sigma_2 \sigma_4 \sigma_1 \sigma_4 \sigma_3 \sigma_0 \sigma_4$$



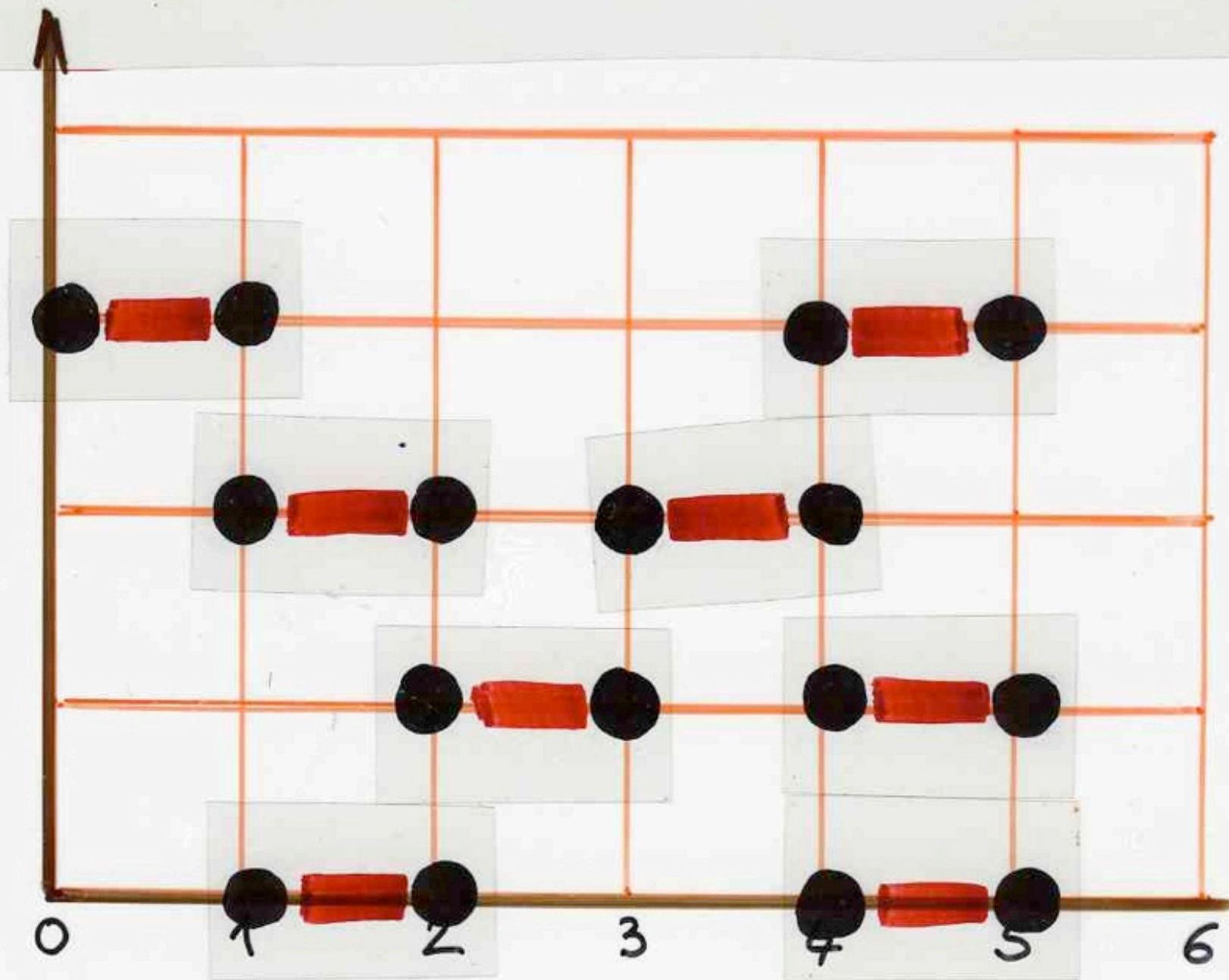
$$w = \sigma_1 \sigma_2 \sigma_4 \sigma_1 \sigma_4 \sigma_3 \sigma_0 \sigma_4$$



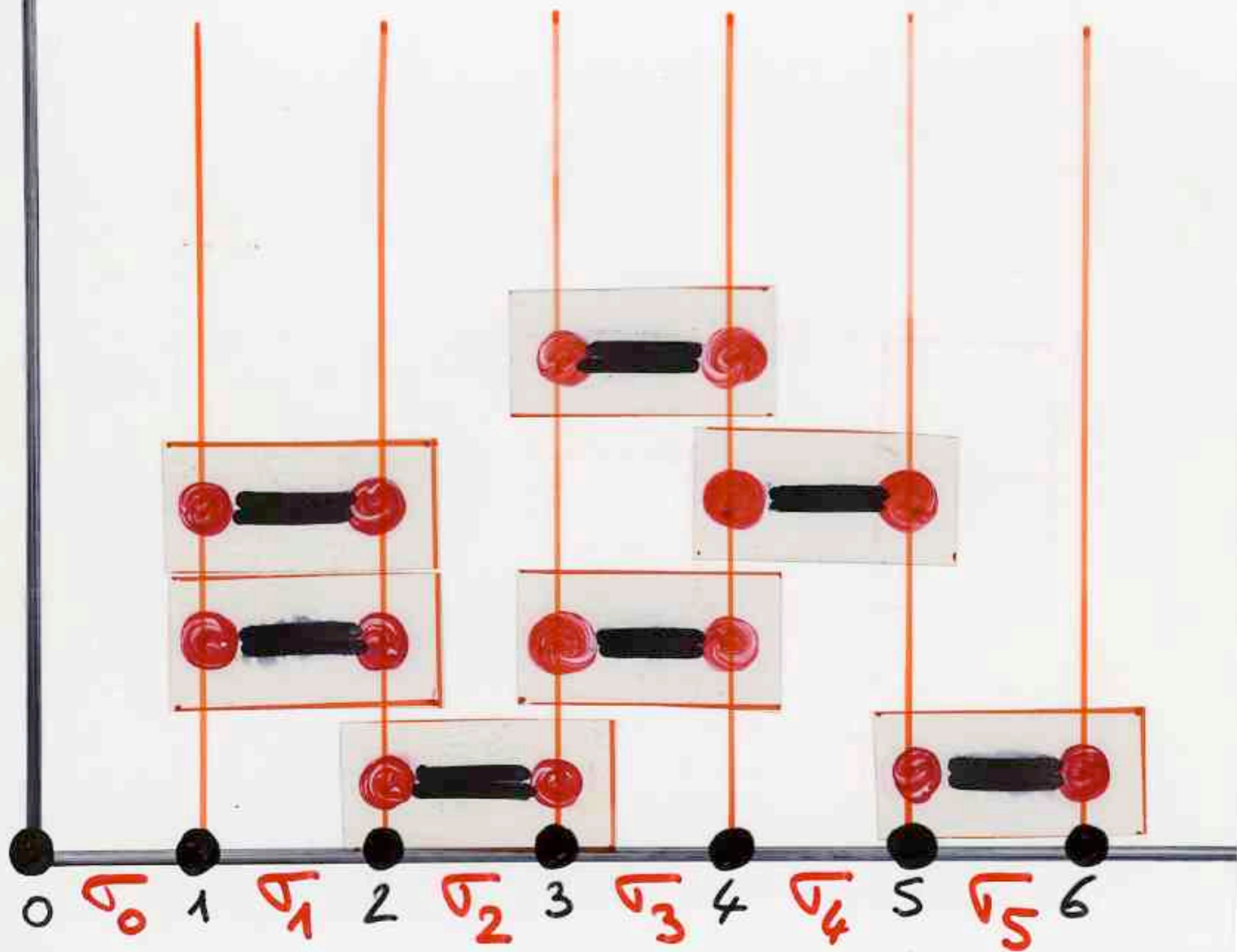
$$w = \sigma_1 \sigma_2 \sigma_4 \sigma_1 \sigma_4 \sigma_3 \sigma_0 \sigma_4$$



$$w = \sigma_1 \sigma_2 \sigma_4 \sigma_1 \sigma_4 \sigma_3 \sigma_0 \sigma_4$$



$$W = \sigma_2 \sigma_3 \sigma_5 \sigma_1 \sigma_4 \sigma_1 \sigma_3$$

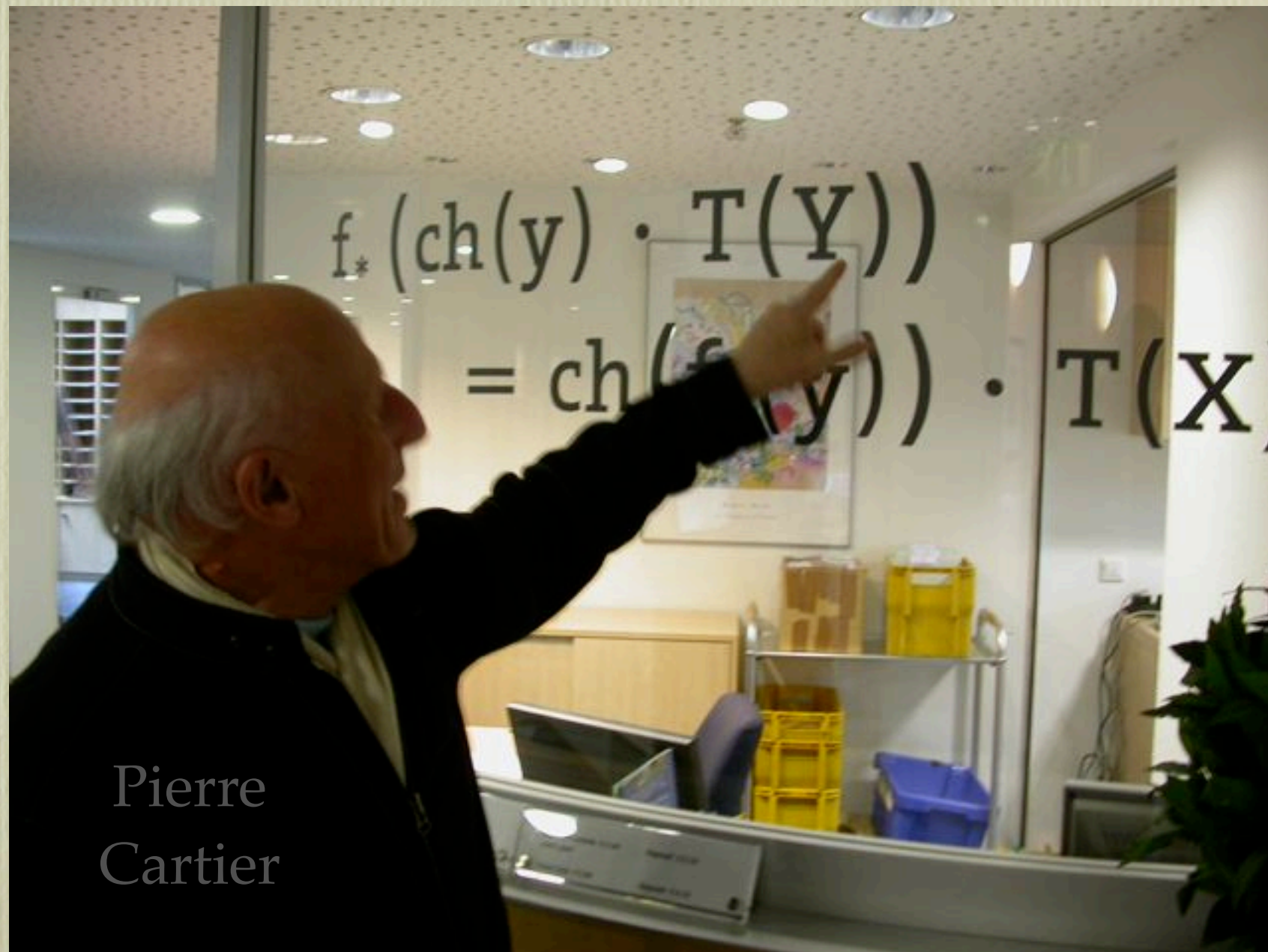


empilements
de
pièces

heaps of pieces

commutation
monoids

monoïdes
de
commutation



Pierre
Cartier



Dominique
Foata

empilements
de
pièces

heaps of pieces

commutation
monoids

monoïdes
de
commutation

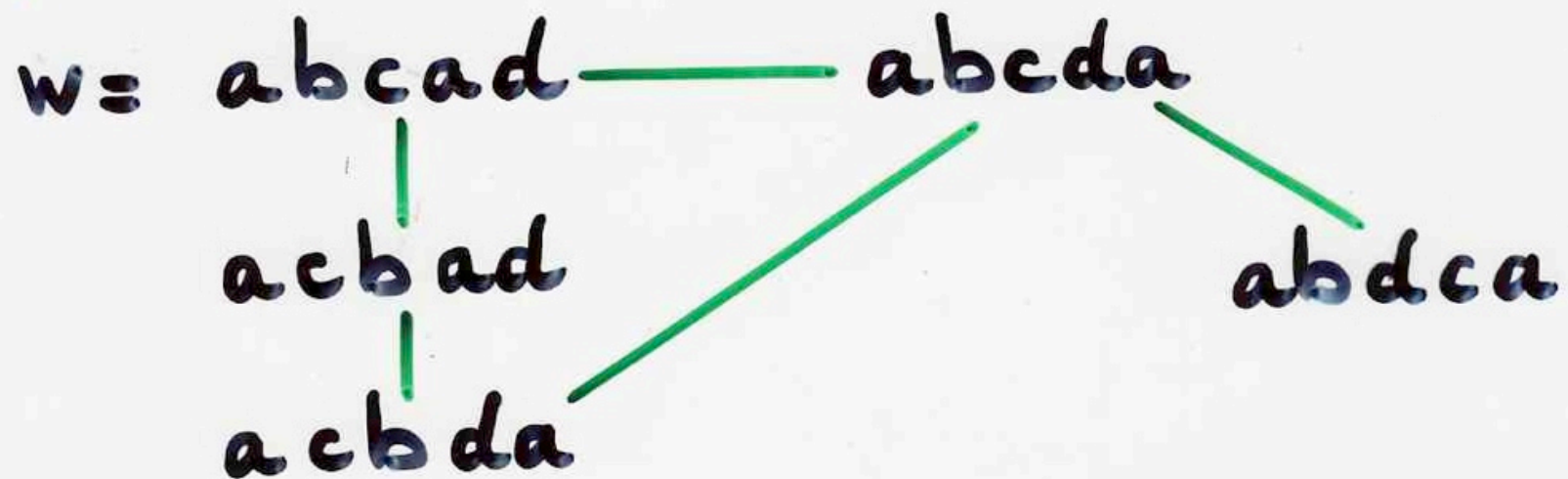
Trace monoids
Mazurkiewicz

concurrency access
to data structures

ex: $A = \{a, b, c, d\}$

$$C \begin{cases} ad = da \\ bc = cb \\ cd = dc \end{cases}$$

equivalence class



heaps of dimers
($i, i+1$)

on $\{0, 1, \dots, n-1\}$

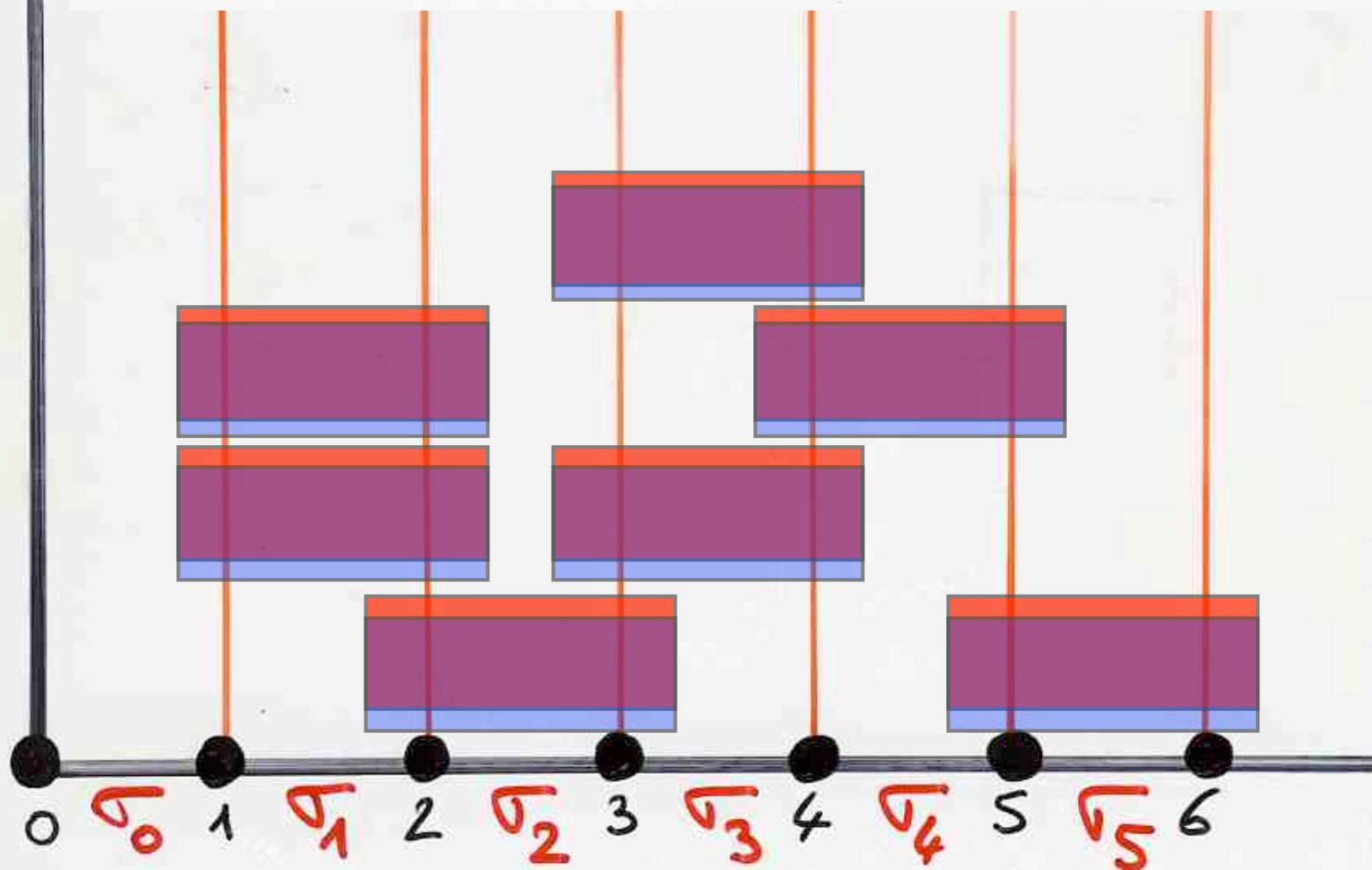
generators $\{\sigma_0, \sigma_1, \dots, \sigma_{n-1}\}$

$$\sigma_i \sigma_j = \sigma_j \sigma_i$$

iff $|i-j| \geq 2$

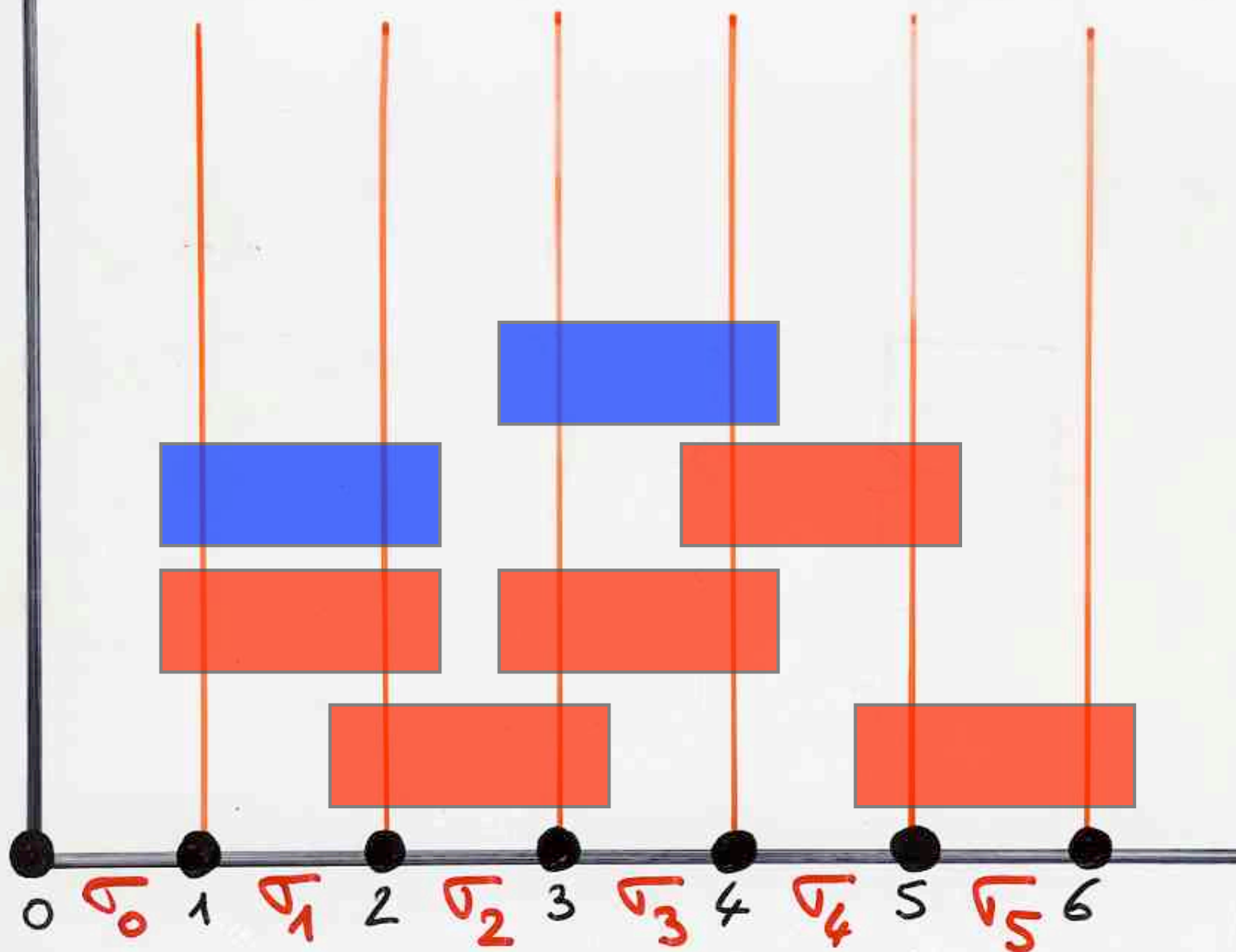
$$W = \sigma_2 \sigma_3 \sigma_5 \sigma_1 \sigma_4 \sigma_1 \sigma_3$$

$$W = \sigma_5 \sigma_2 \sigma_1 \sigma_1 \sigma_3 \sigma_4 \sigma_3$$



$$w = \sigma_2 \sigma_3 \sigma_5 \sigma_1 \sigma_4 \sigma_1 \sigma_3$$

maximal
pieces

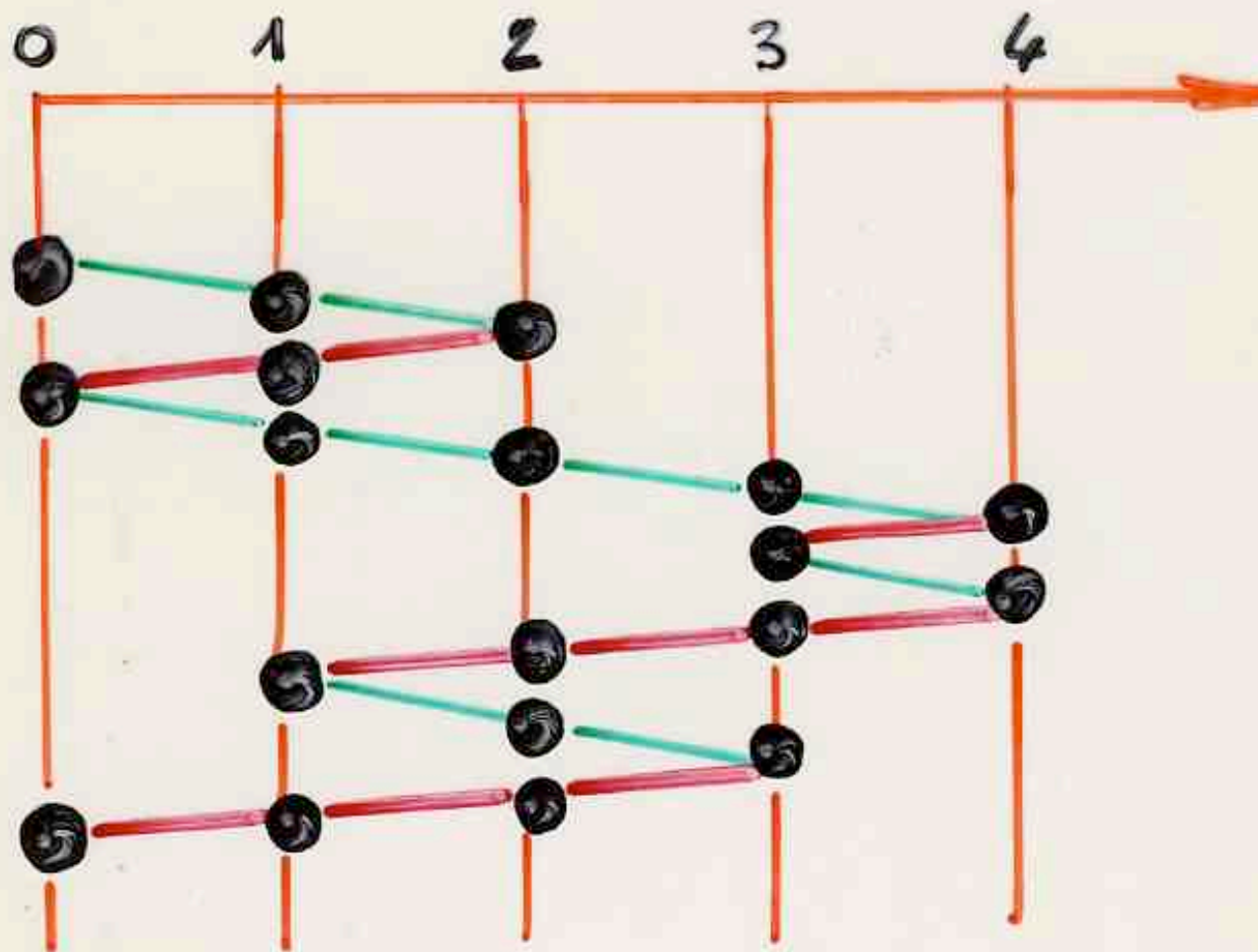


Pyramid

Def- Heap having only
one maximal piece

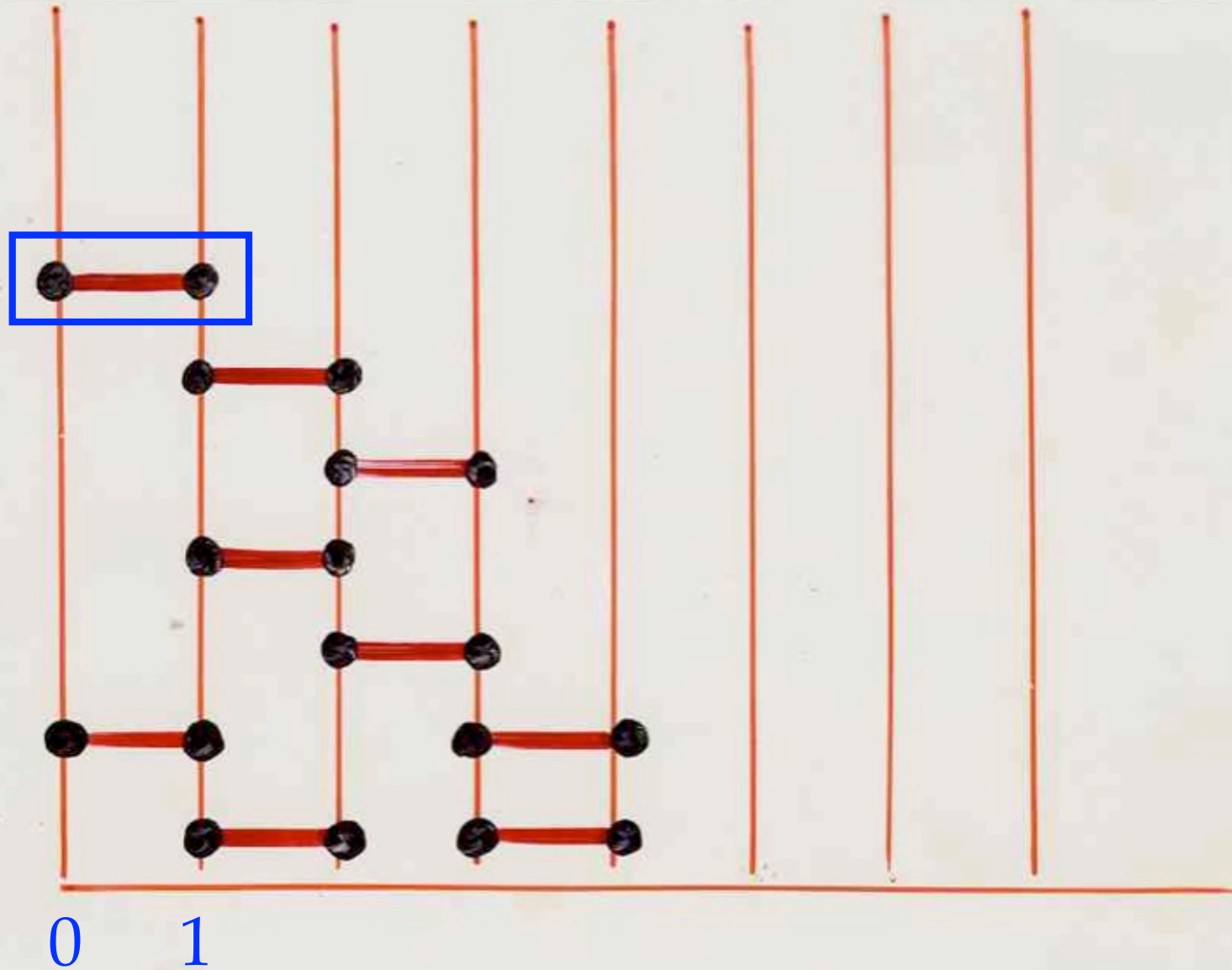


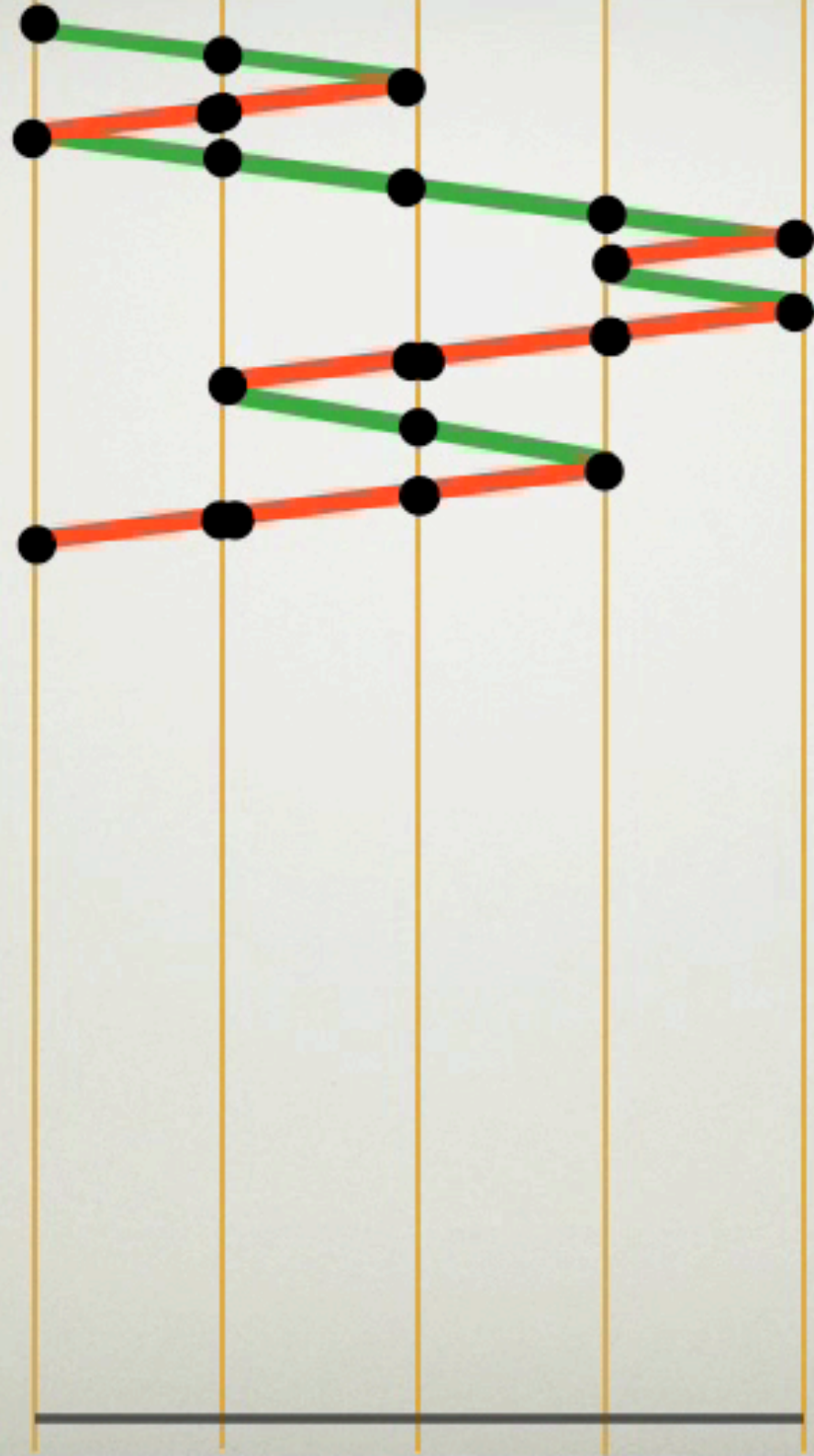
from Dyck paths
to
pyramids of dimers



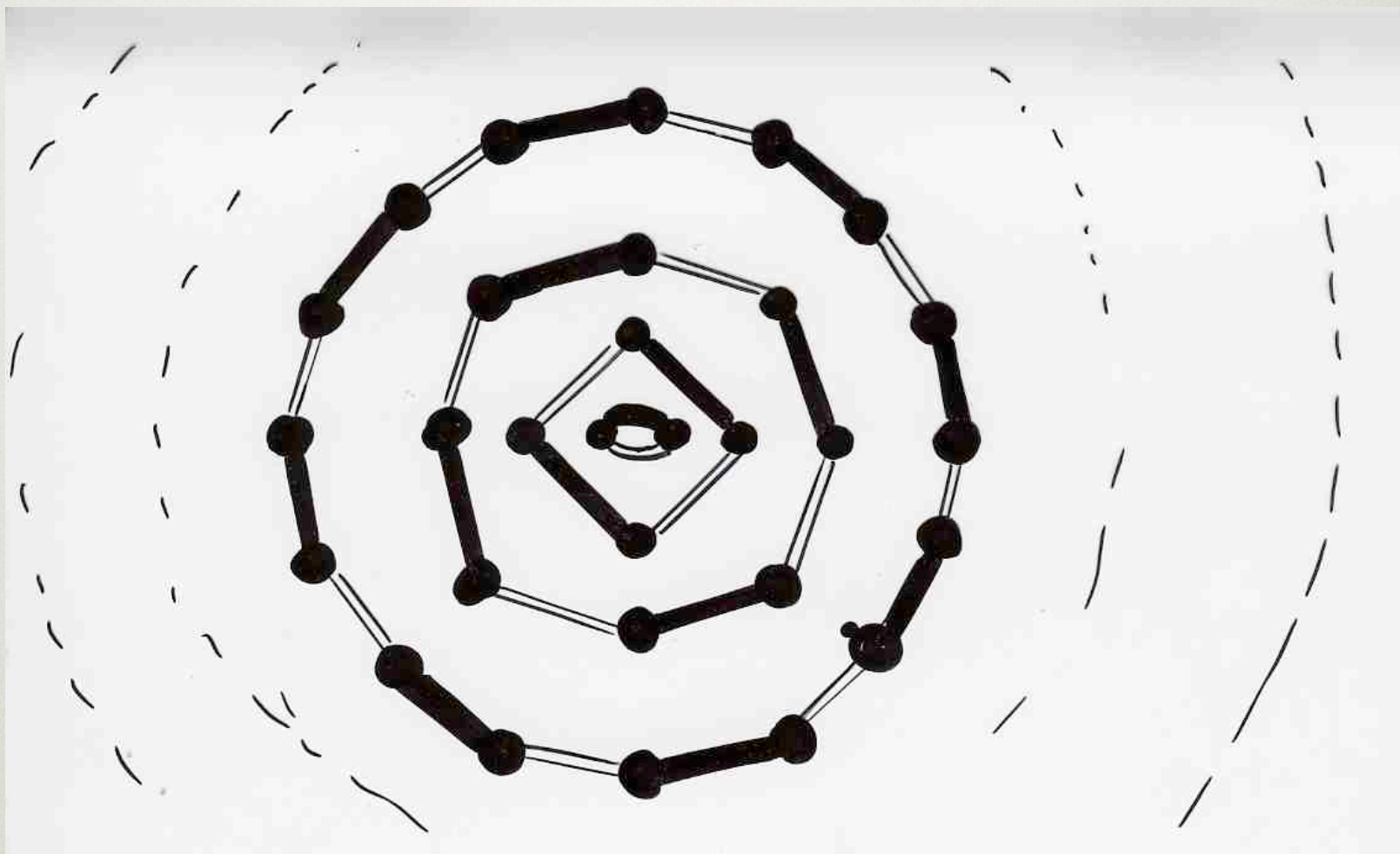
Dyck path

pyramid
with
maximal
piece
(*)






Kepler towers



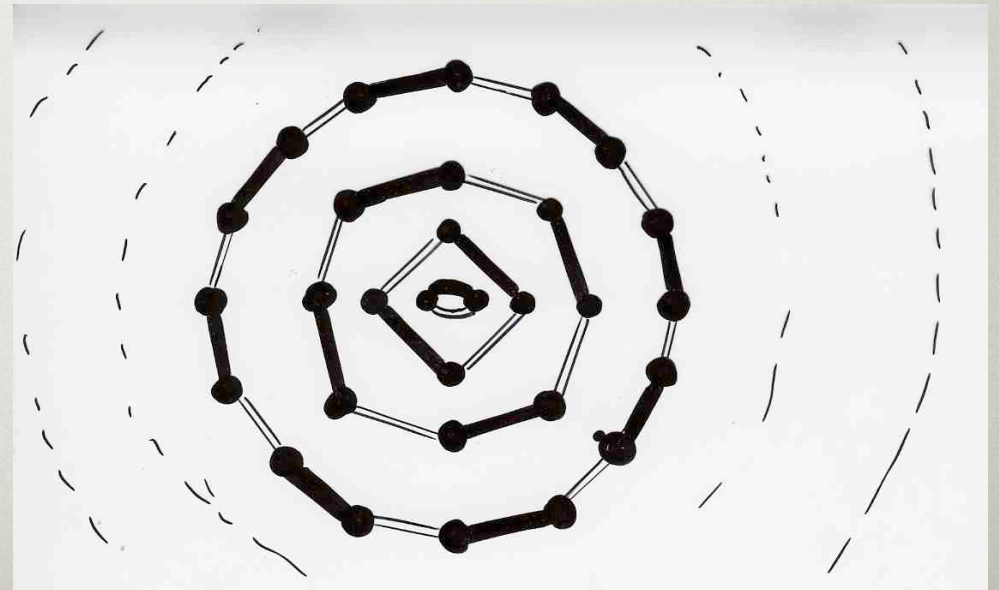
System of Kepler towers

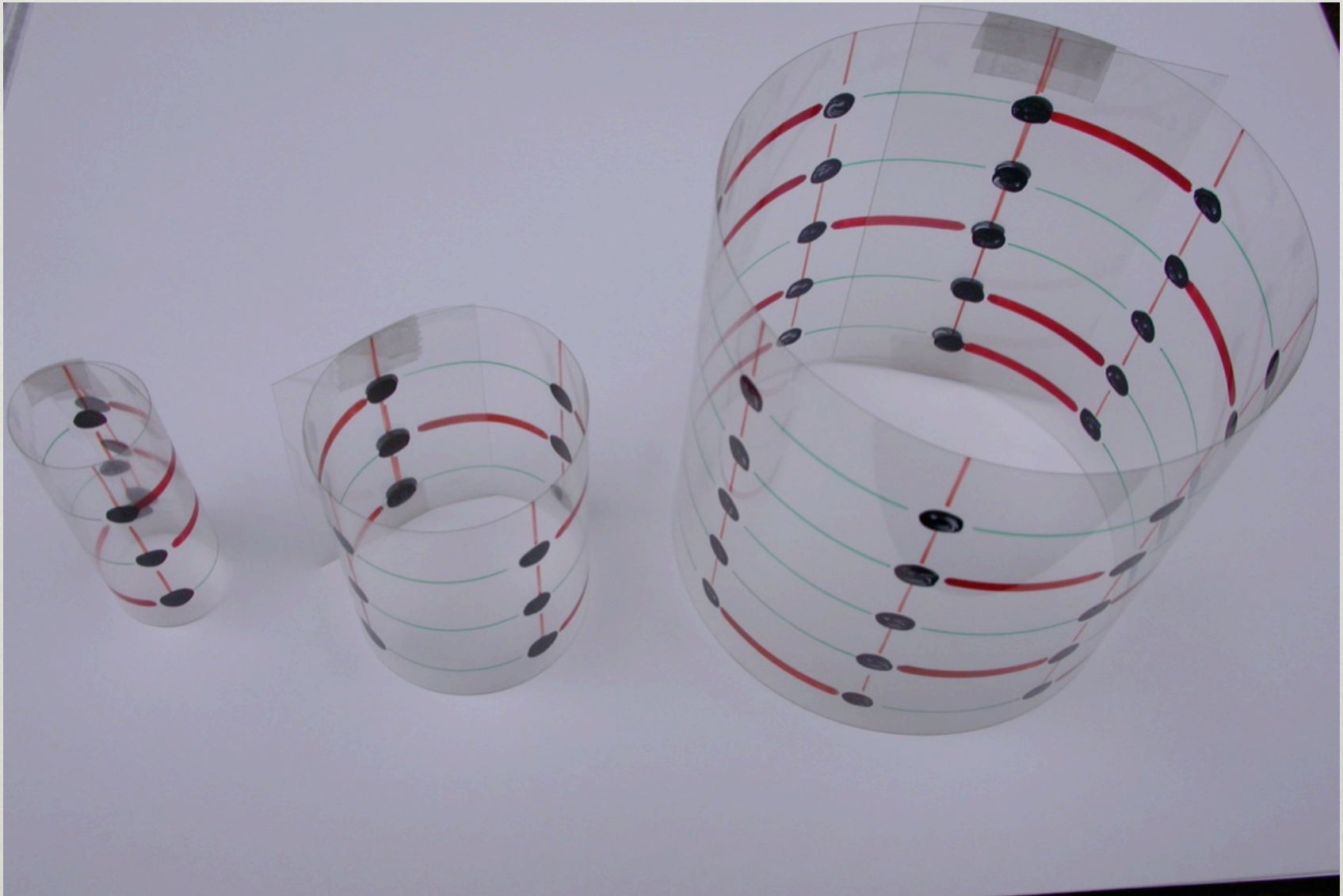
- regular polygons P_2, P_4, P_8, \dots
 P_i 2^i edges 

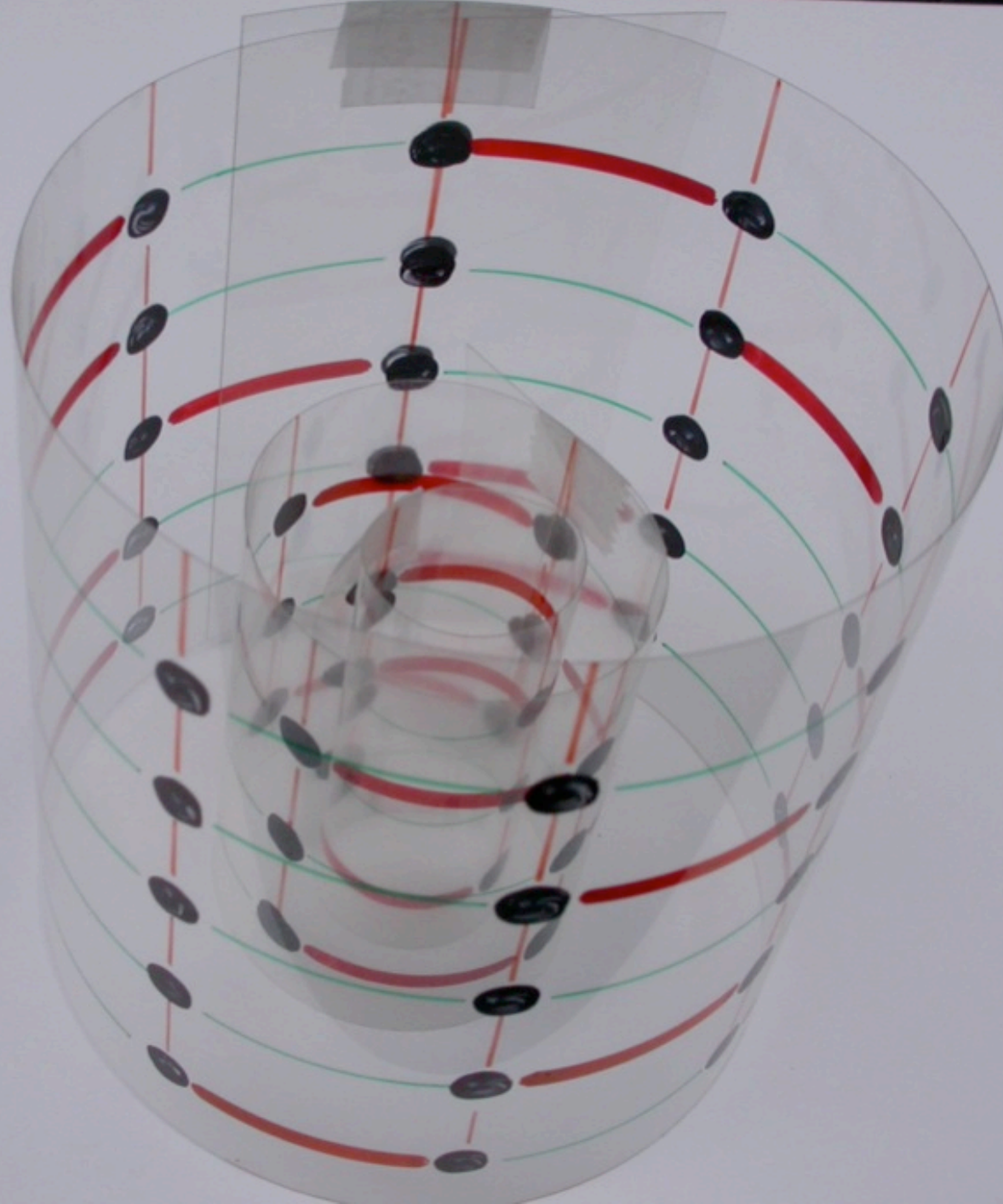
- heaps H_i H_1, \dots, H_k
 H_i heap of dimers above P_i (= tower)
 $1 \leq i \leq k$

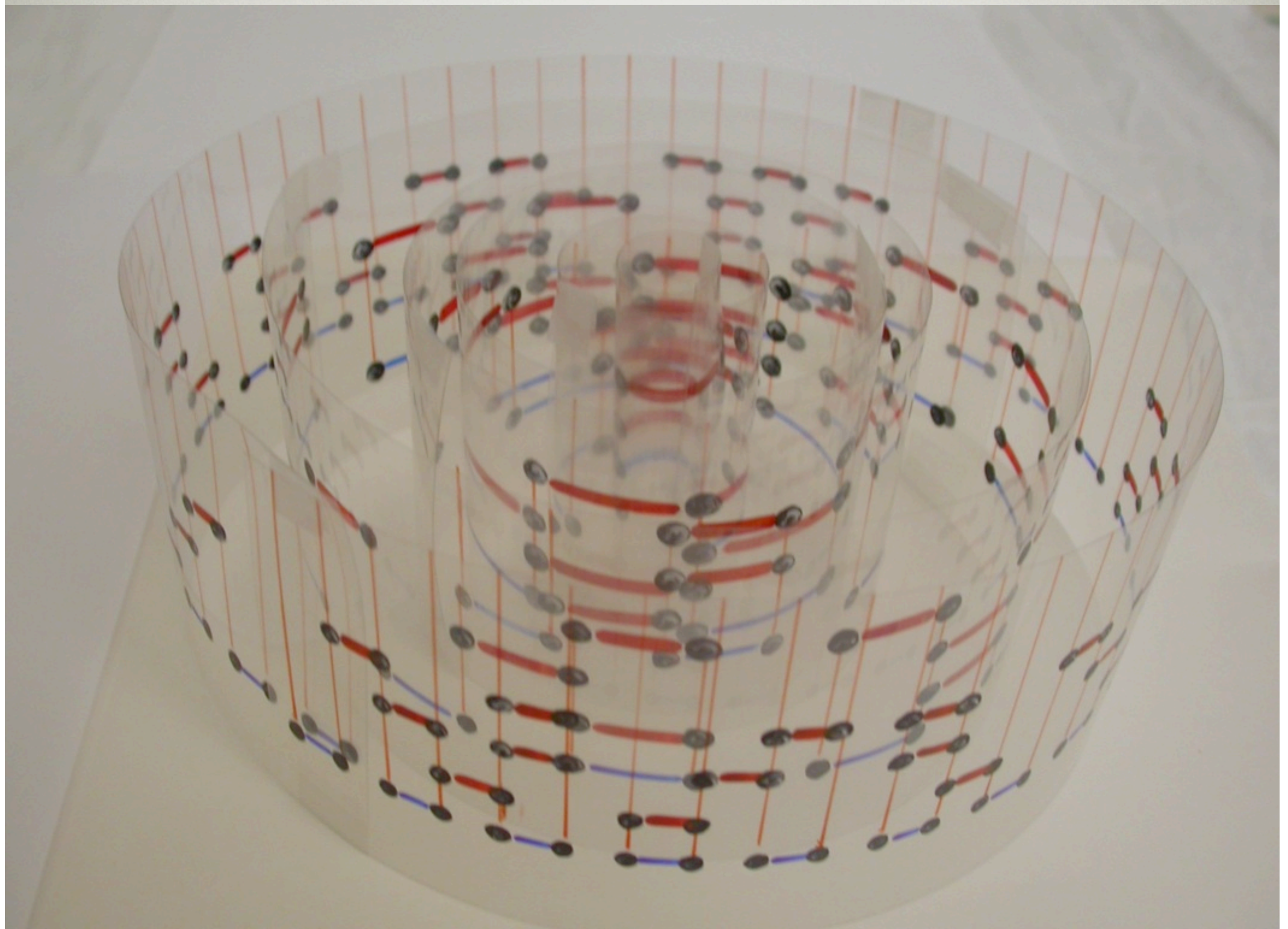
(*) at level 0 H_i contains
 all 2^{i-1} black edges of P_i

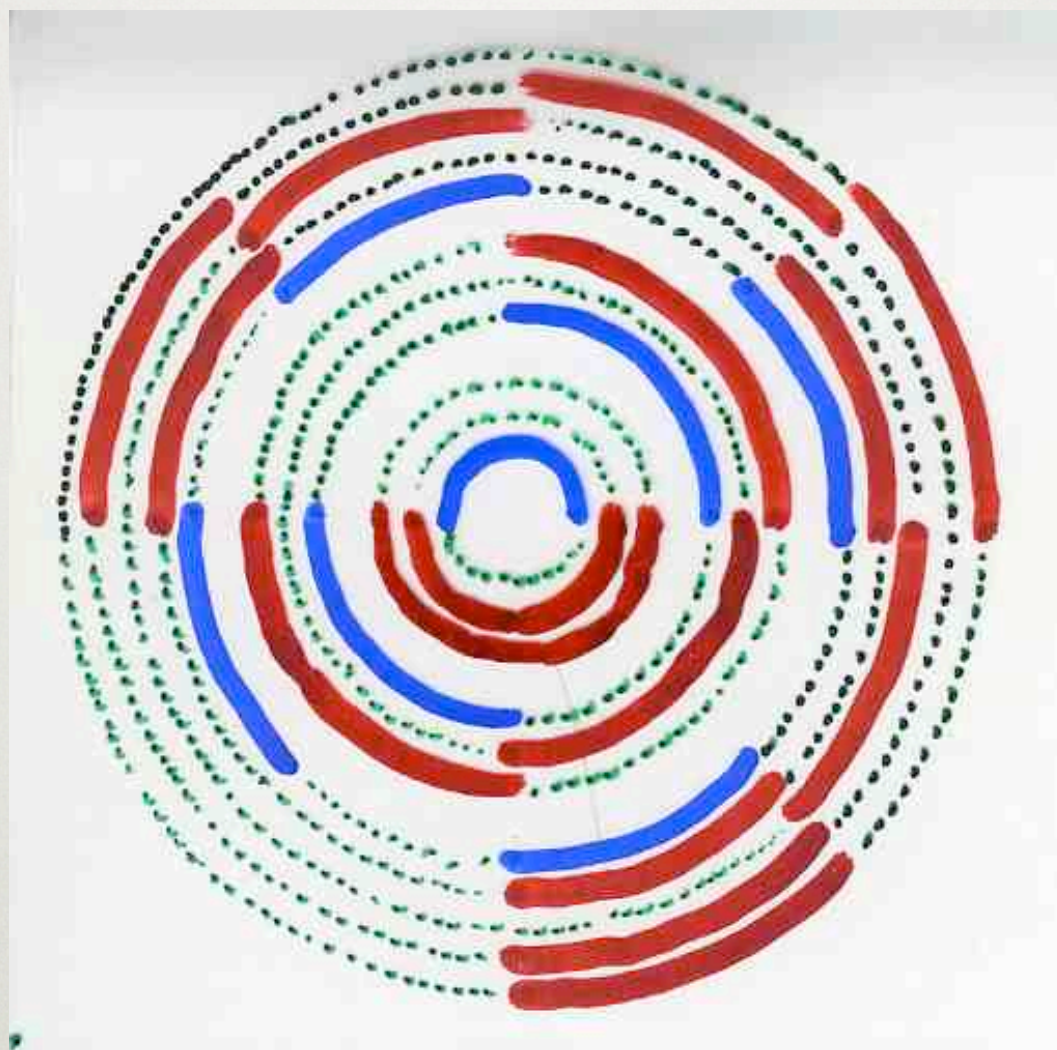
minimal
pieces











Prop. The number of **system** **Kepler towers** having n **dimers** is

Catalan
number

$$C_n = \frac{1}{n+1} \binom{2n}{n}$$

The distribution of **system** of **Kepler towers** according to the number of **towers** is the **Strahler** distribution



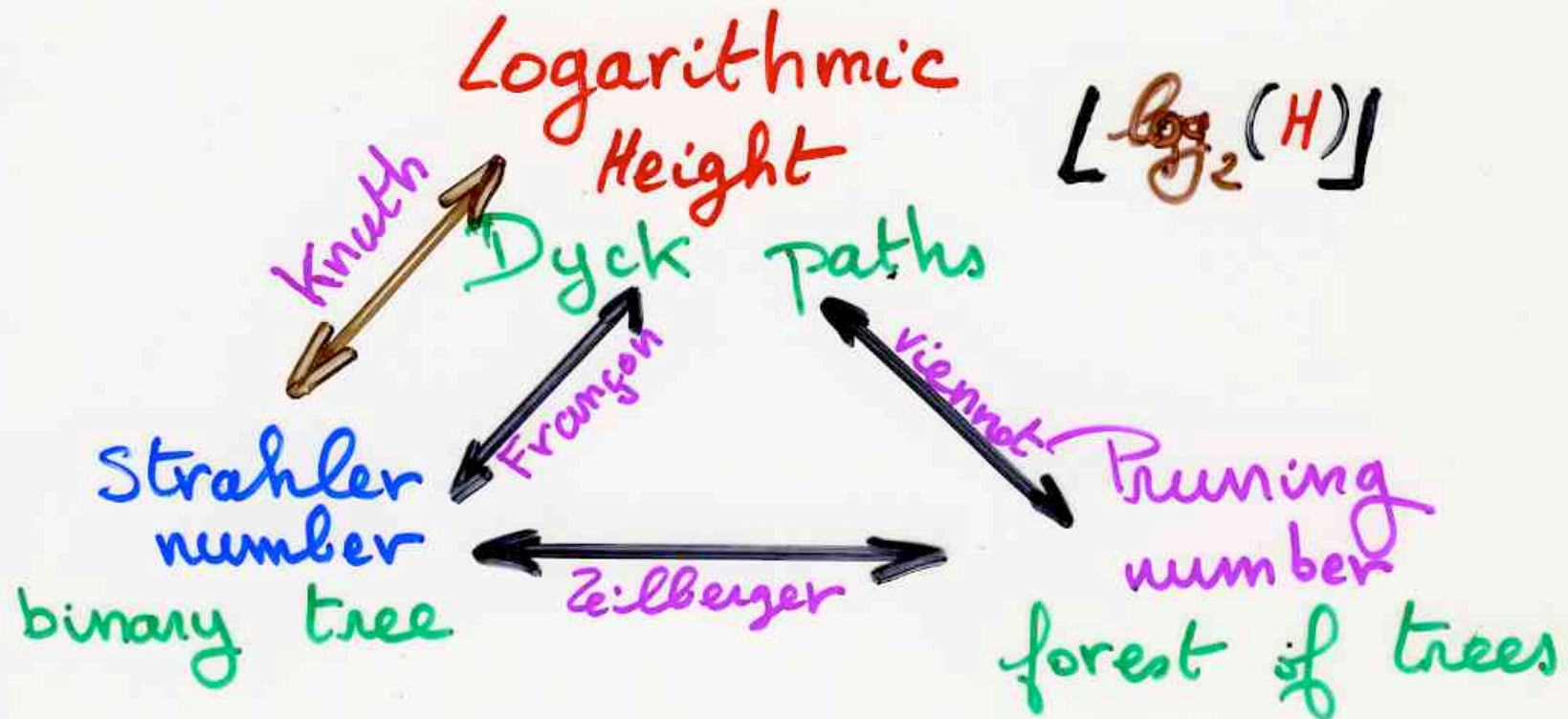
system of Kepler towers

number of towers

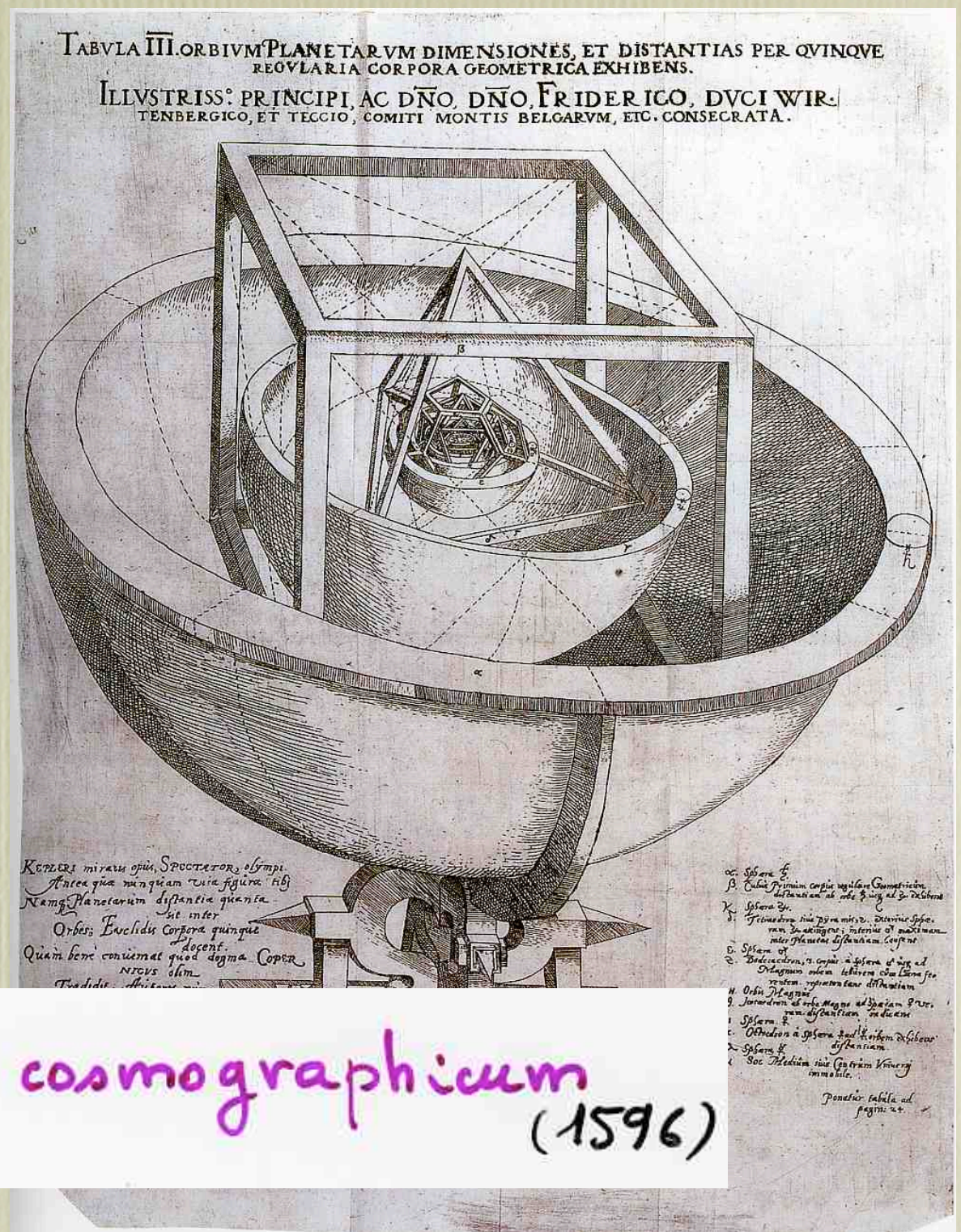
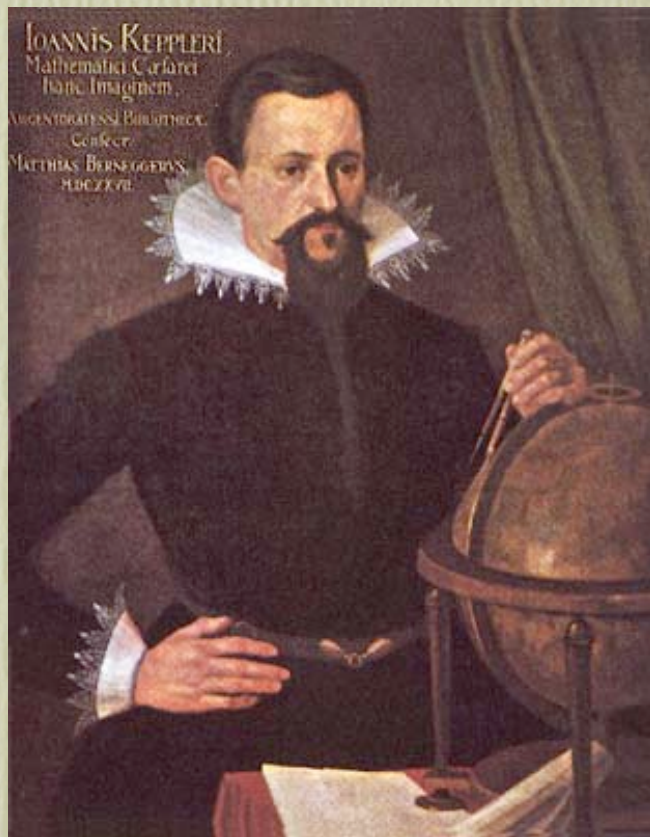
Programs to Read

[ZEILBERGER](#), [FRANÇON](#), [VIENNOT](#),
an [explanatory introduction](#),
and a [MetaPost source file for VIENNOT](#)

Three Catalan bijections
related to Strahler numbers,
pruning orders,
and Kepler towers (February 2005)

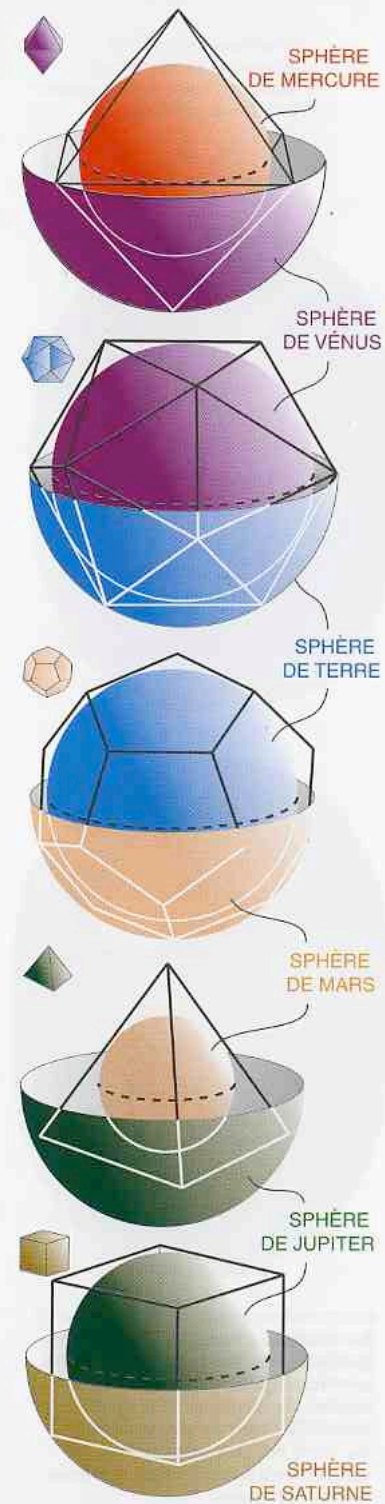
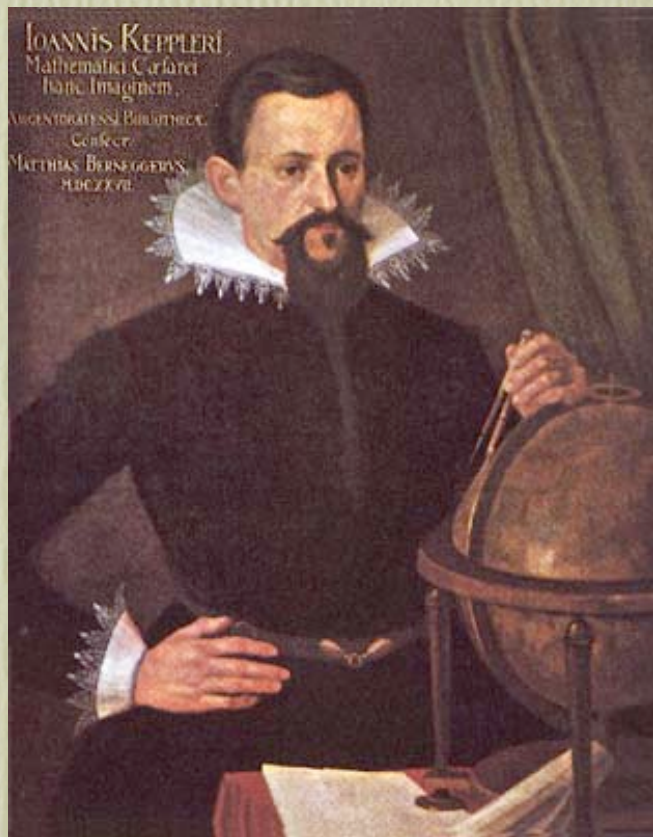


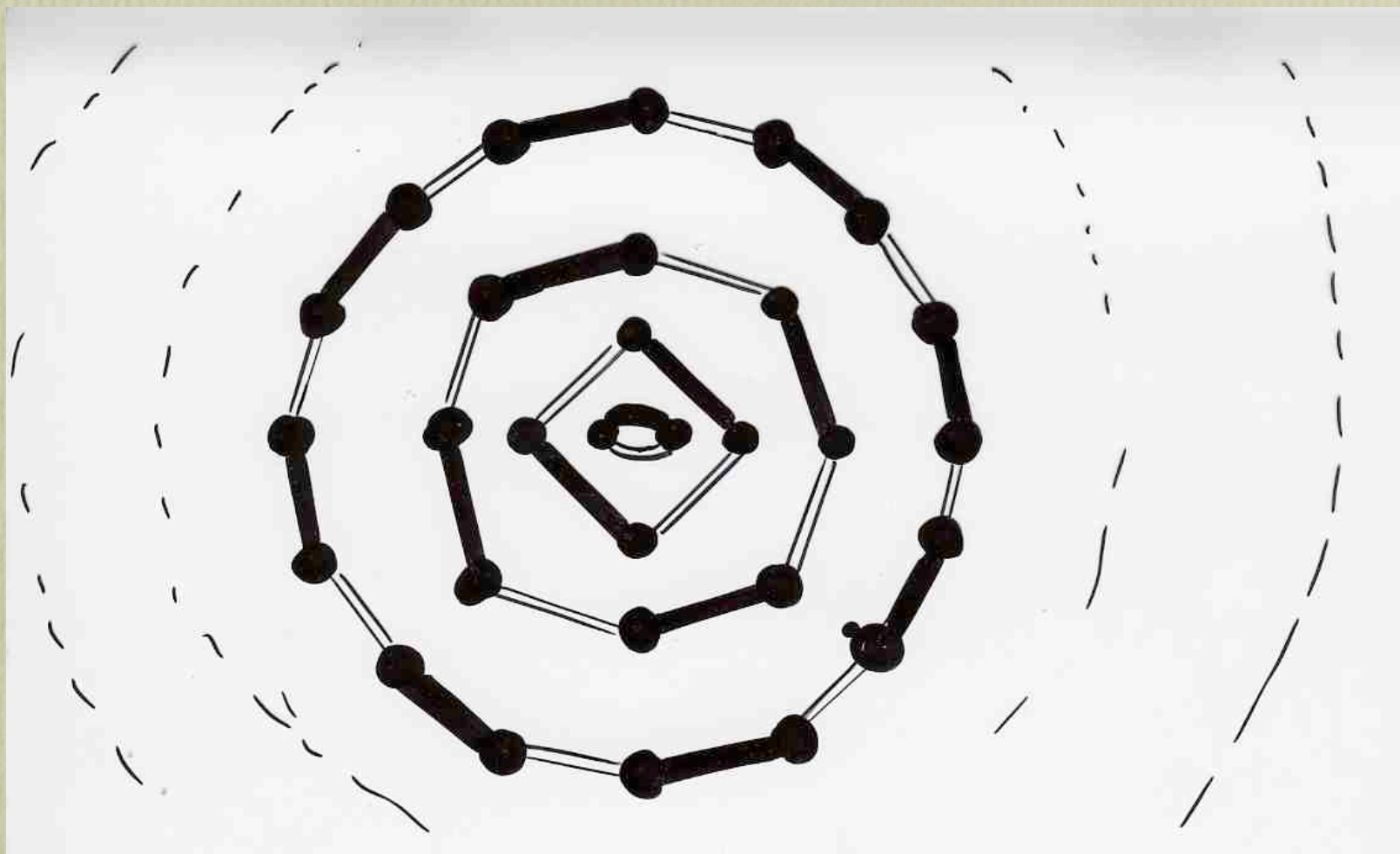
Kepler



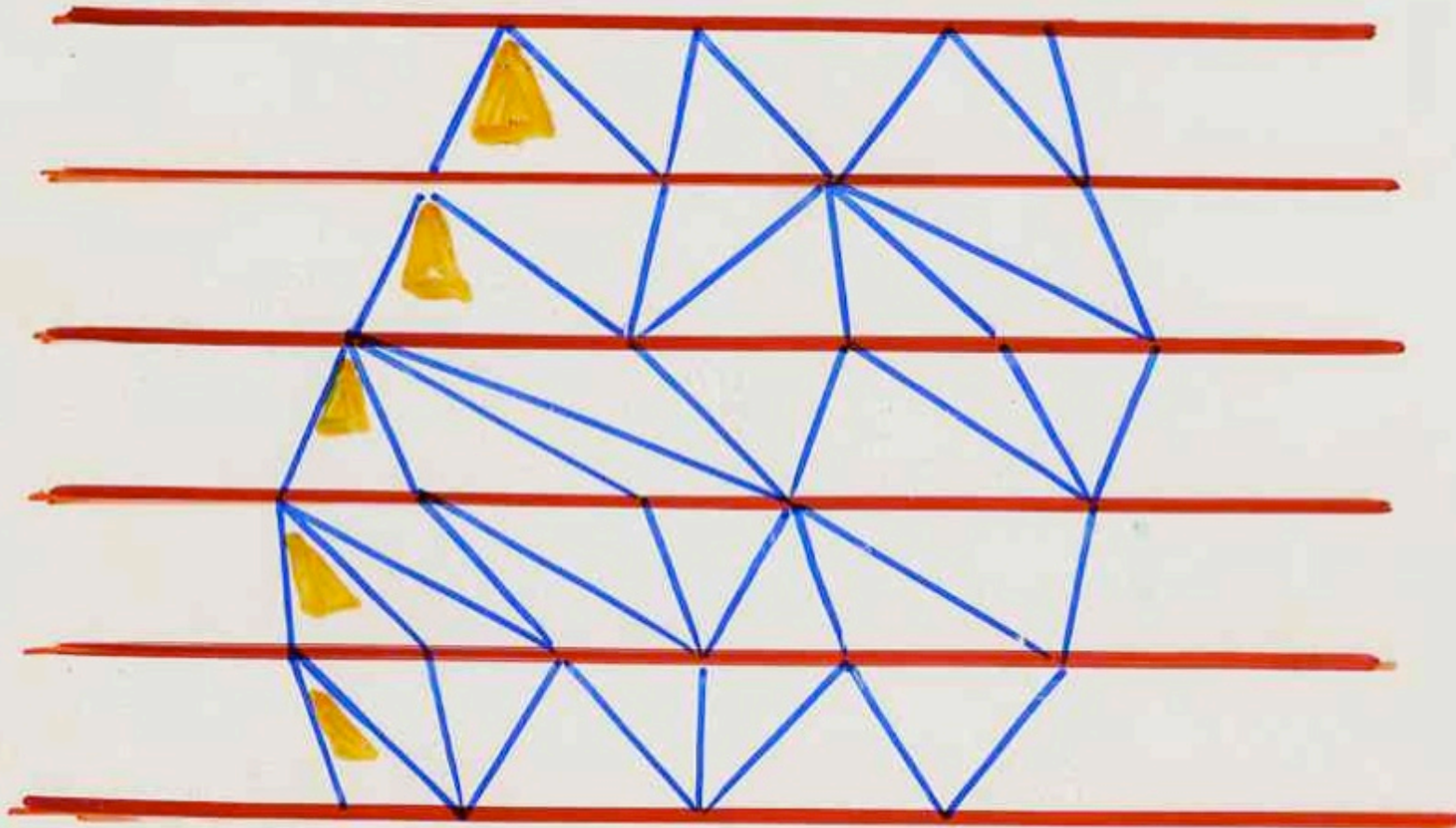
Mysterium

cosmographicum
(1596)





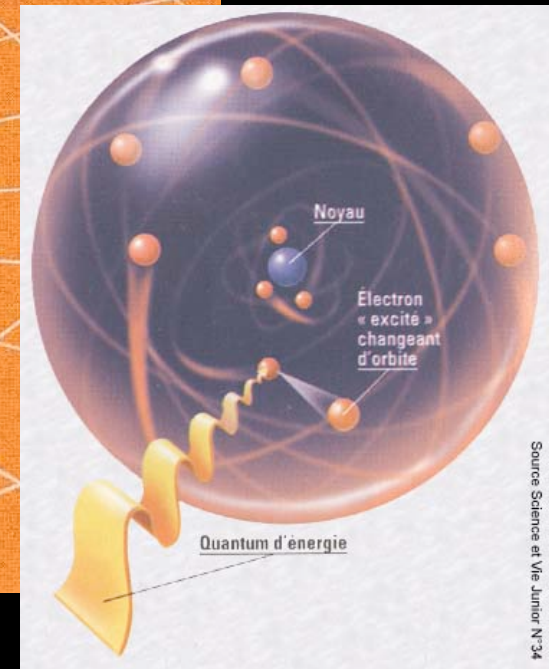
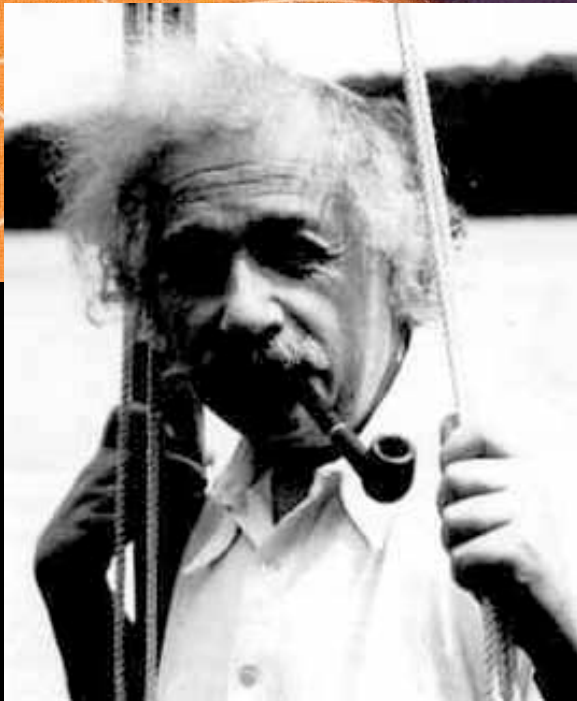
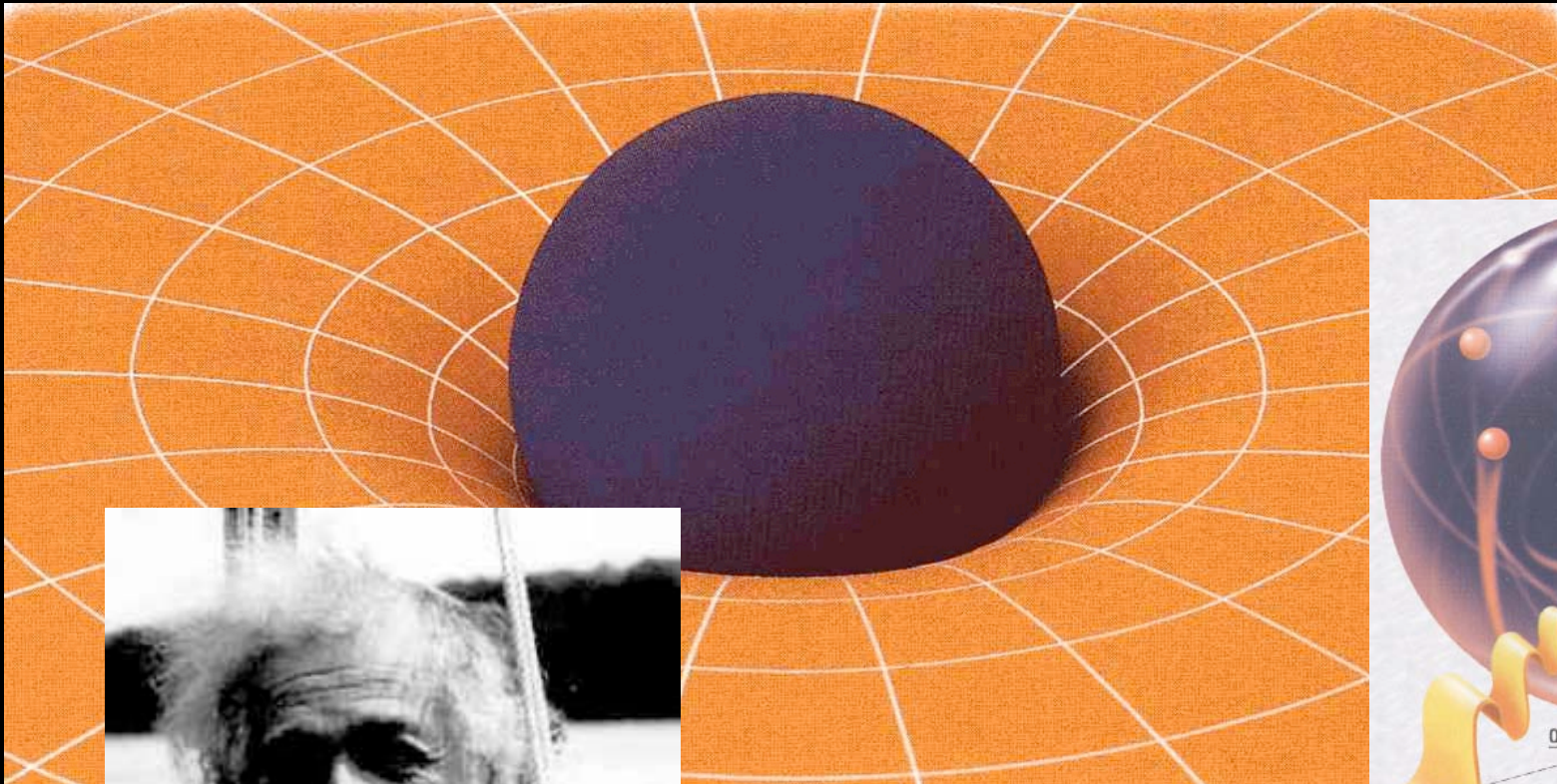
Heaps of dimers



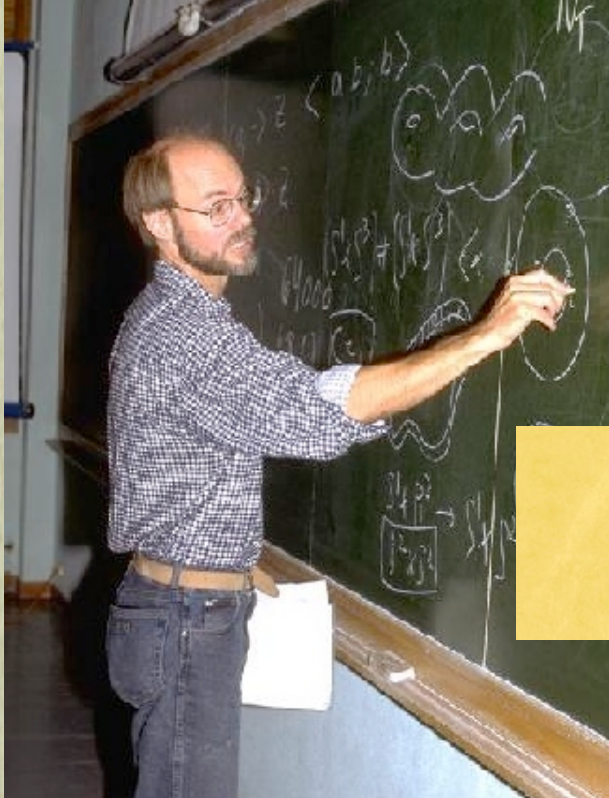
2D Lorentzian causal triangulations

general relativity

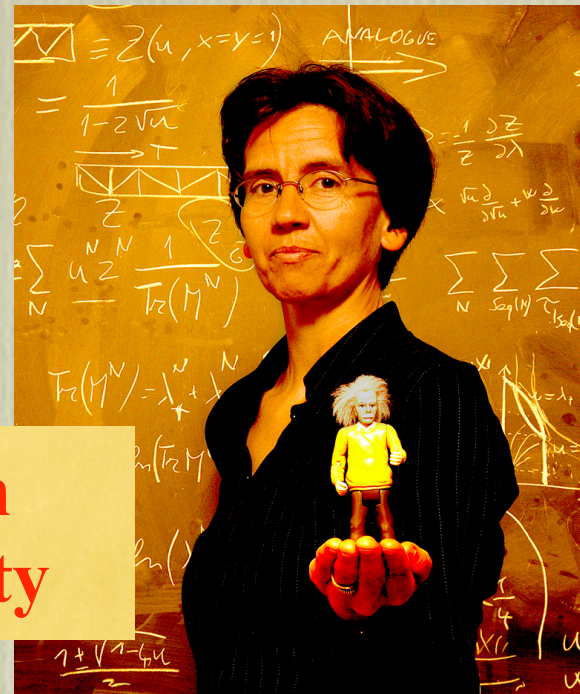
quantum mechanics



quantum gravity ?



J. Ambjørn



R. Loll

2D Lorentzian quantum gravity



P. Di Francesco

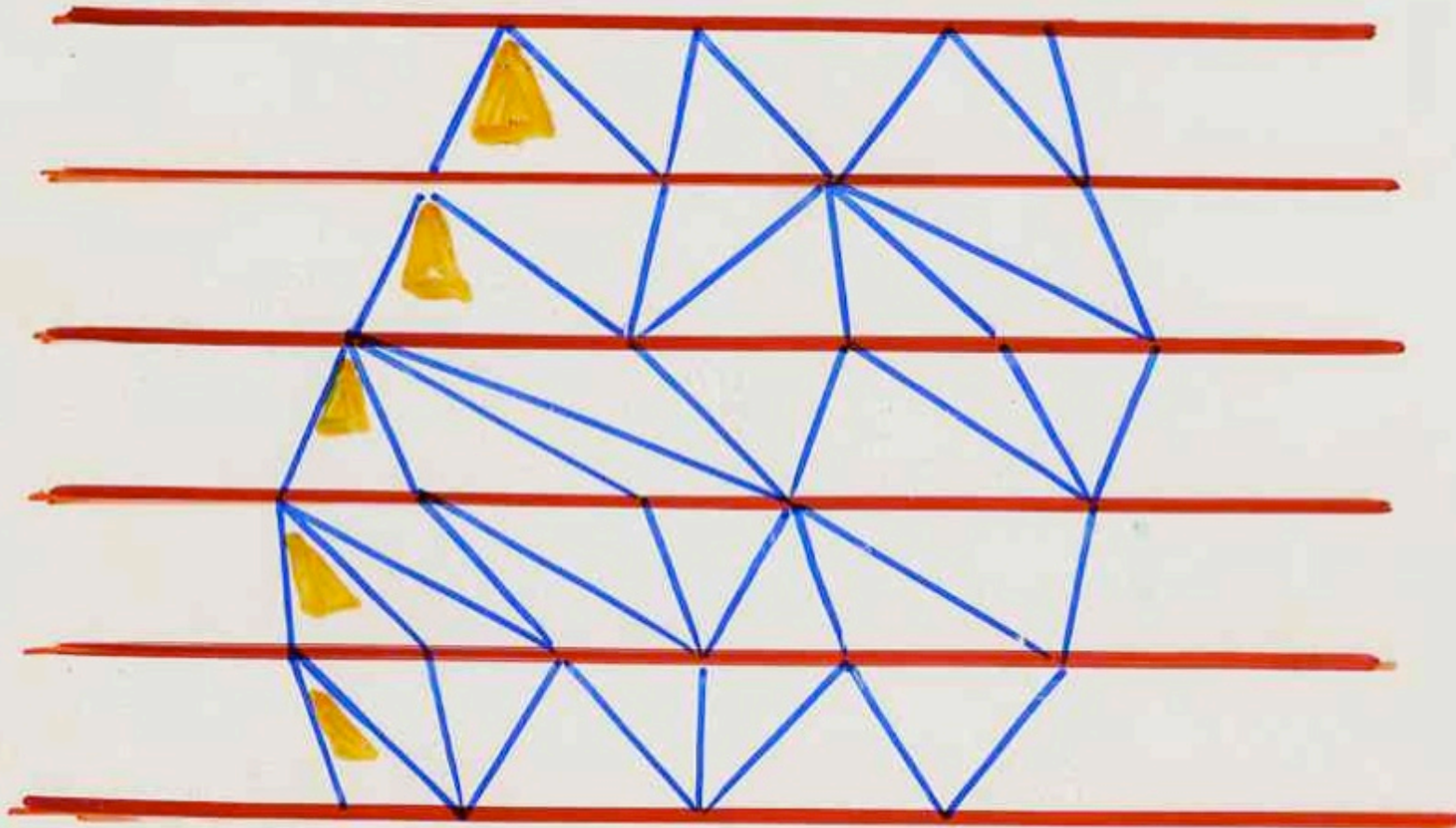


E. Guitter



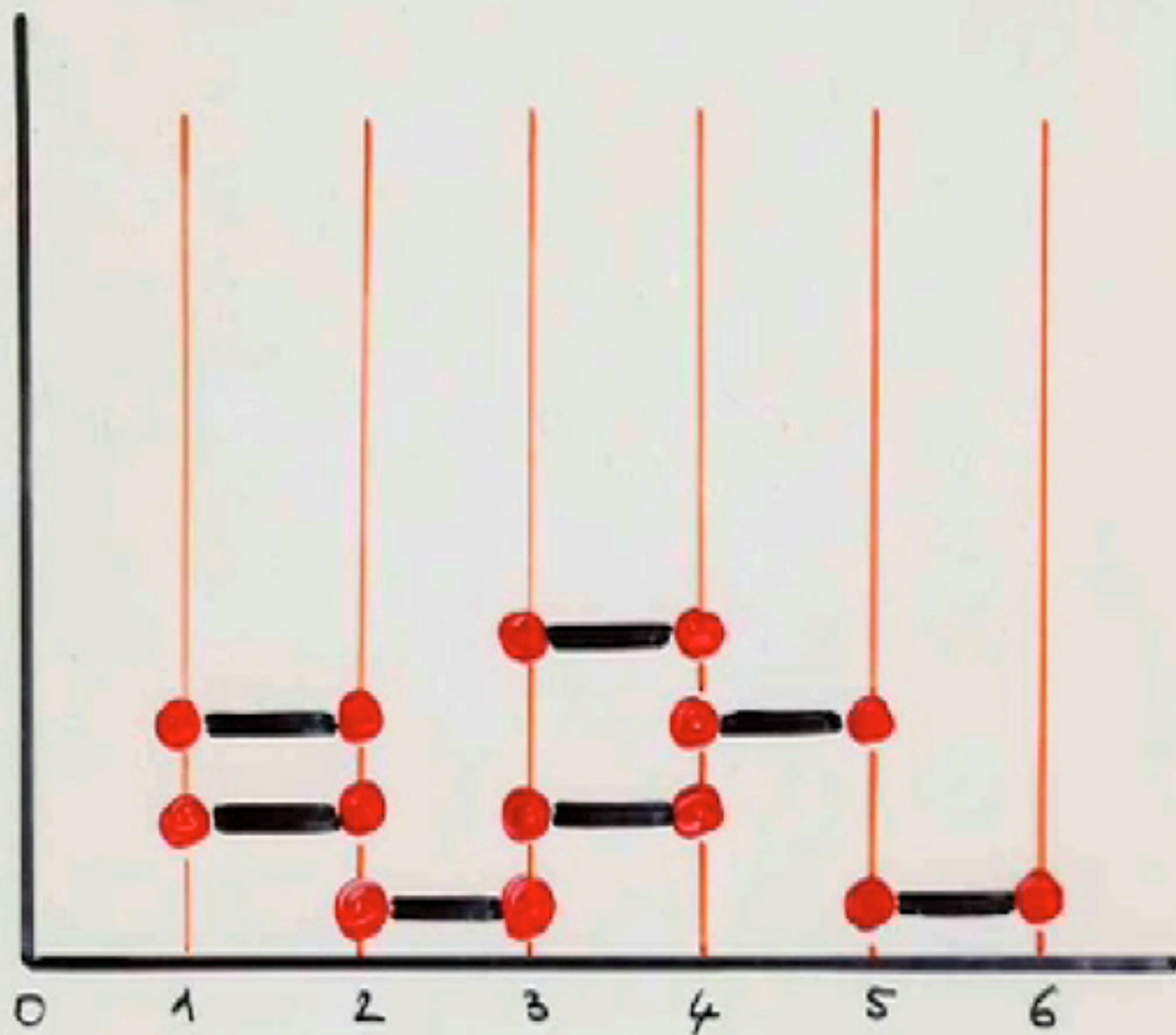
C. Kristjansen

Heaps of dimers



2D Lorentzian causal triangulations

From heaps of dimers
to Lorentzian triangulations



metamorphosis:

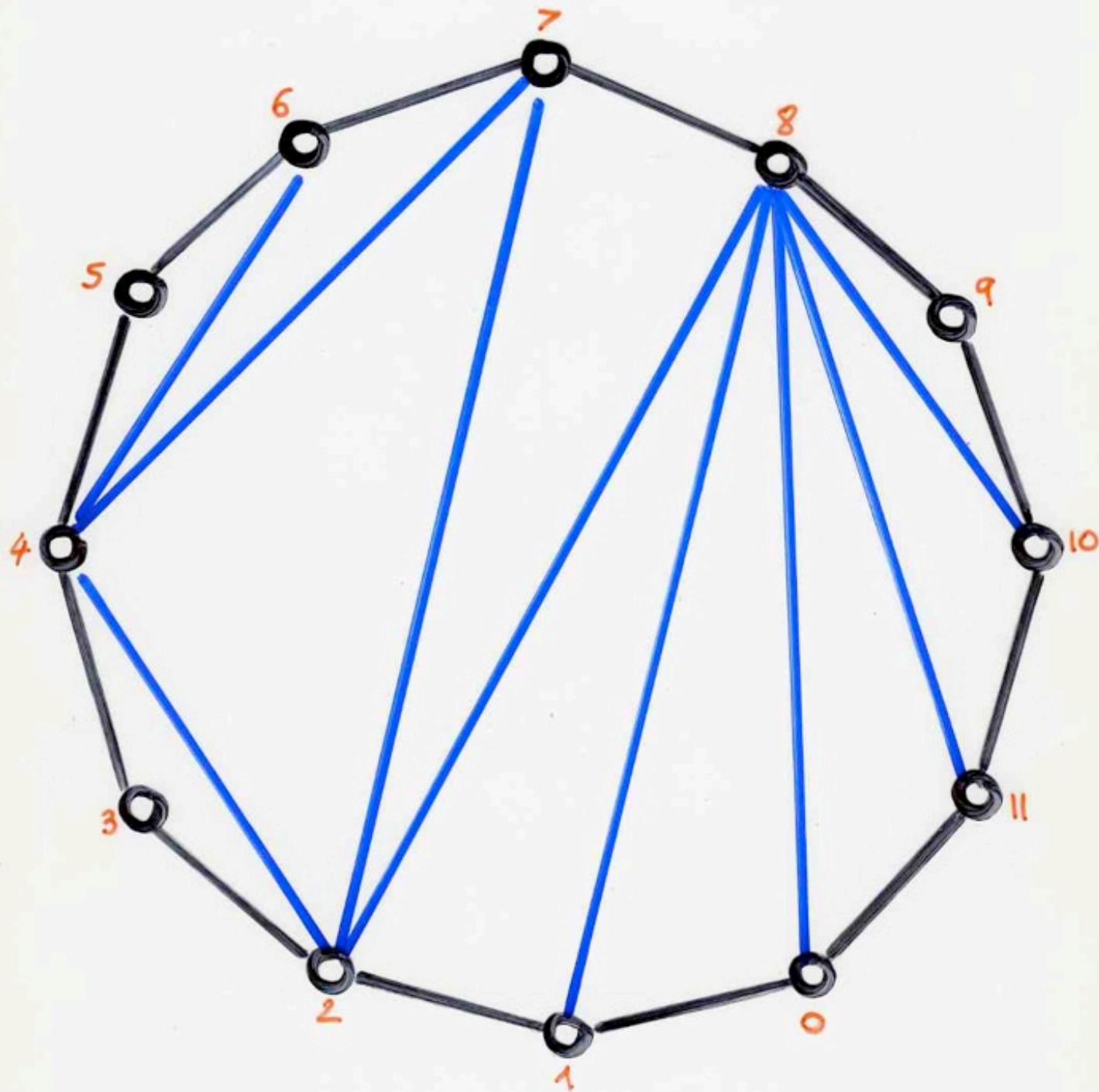
triangulation (Euler)

binary tree

Dyck path

dimer pyramid

Lorentzian triangulation



Trees everywhere !

“Trees sprout up just about everywhere in computer science,
as we’ve seen in Section 2.3 and in nearly every section of
The Art of Computer Programming”

Don Knuth

Vol 4, Fascicle 4 of TAOCP (2006)

Generating All Trees; History of Combinatorial Generation

Trees everywhere !



Some references

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other references:

from D. Knuth website, programs to read:

[ZEILBERGER](#), [FRANÇON](#), [VIENNOT](#), an [explanatory introduction](#),
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bijections
with
violins:

Mariette Freudentheil
G rard H.E. Duchamp

Marcia Pig Lagos
Xavier Viennot

Association
Cont'Science

vocal: Bombay S. Jayashri